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July 31, 2019

The Honorable Jocelyn G. Boyd  
Chief Clerk/Administrator  
Public Service Commission of South Carolina  
101 Executive Center Drive, Suite 100  
Columbia, SC 29201

**Re: Application Regarding the Acquisition of Progress Energy, Incorporated by Duke Energy Corporation and Merger of Progress Energy Carolinas, Incorporated and Duke Energy Carolinas, LLC  
Docket No. 2011-158-E**

Dear Ms. Boyd:

Pursuant to Regulatory Condition 3.10, on a quarterly basis, Duke Energy Carolinas, LLC ("DEC") and Duke Energy Progress, LLC ("DEP") must file (a) a list of all active dockets at the Federal Energy Regulatory Commission ("FERC") in which DEC, DEP, Duke Energy Corporation ("Duke Energy"), Duke Energy Business Services, LLC ("DEBS"), or Progress Energy Service Company, LLC ("PESC") is a party with new information in each quarterly filing tracked; and (b) a list of the periodic reports filed by DEC, DEP, Duke Energy, DEBS or PESC with the FERC.

Attachment A to this letter lists all active FERC dockets as of June 30, 2019, to which DEC, DEP, Duke Energy, or DEBS is a party. Attachment B to this letter lists the periodic reports filed by DEC, DEP, Duke Energy, and DEBS with the FERC during the second quarter of 2019. Black-line versions of Attachments A and B indicating the changes since the last filing are also attached. The referenced dockets and reports can be accessed from the FERC eLibrary system at <http://elibrary.ferc.gov>.

Please feel free to contact me should there be any questions or issues.

Sincerely,

Heather Shirley Smith

Enclosures

C: Ms. Nanette S. Edwards, Office of Regulatory Staff  
Ms. Dawn Hipp, Office of Regulatory Staff  
Mr. Jeff Nelson, Office of Regulatory Staff  
Mr. Michael Seaman-Huynh, Office of Regulatory Staff

## Attachment A

## Open FERC Dockets – Second Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
RM16-17	Duke Energy	Notice of Proposed Rulemaking (NOPR) to collect data for analytics and surveillance purposes from MBR sellers and entities trading virtual products or holding financial transmission rights, issued 7/21/16. On 9/16/16 Duke Energy submitted comments to supplement those of EEI, focusing on the path to create an implementable Data Dictionary and recommending a supplemental NOPR to address software development time and costs. During third quarter 2016, FERC held a technical workshop 8/11/16 on the draft Data Dictionary. On 11/2/16 FERC scheduled a second technical workshop to be held 12/7/16. No further activity.	Q2 2019
RM16-23	Duke Energy, DEC, DEP	NOPR to revise regulations to remove barriers to the participation of electric storage resources and distributed energy resource aggregations in RTO/ISO capacity, energy and ancillary service markets, issued 11/16/16. On 2/13/17 Duke Energy filed comments on behalf of its affiliates, urging FERC to treat energy storage resources in a comparable manner to current resources. On 2/15/18 FERC issued its final rule, Order No. 841, requiring each RTO/ISO to revise tariffs to establish a participation model with market rules that facilitate participation of electric storage resources in RTO/ISO markets, to be effective 90 days after publication in the Federal Register. On 2/28/18 FERC issued an errata notice correcting the table of contents for Order No. 841. During first quarter 2018, several parties filed motions for clarification or requests for rehearing. During second quarter 2018, comments, answers to requests for rehearing, and another request for rehearing were filed. On 4/13/18 FERC granted rehearing for further consideration. On 6/26/18 Xcel Energy Services requested rehearing. On 11/16/18 FERC issued Correcting Amendment, which restores regulatory text from Order Nos. 831 and	Q2 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		831-A as new paragraph 18 CFR § 35.28(g)(11) but doesn't alter previous requirements or effective dates. On 5/16/19 FERC issued order on rehearing and clarification, Order No. 841-A, reaffirming Order No. 841. (Closed 6/30/19)	
RM17-8	Duke Energy	NOPR on reform of large generator interconnection procedures and agreements, issued 12/15/16. During first and second quarters 2017, many parties filed comments, including Duke Energy on 4/13/17. On 2/2/18 FERC issued notice of technical conference to be held 4/3-4/18 to discuss issues related to coordination of affected systems raised in complaint filed by EDF Renewable Energy, Inc. against MISO, SPP and EJM in EL18-26 and the NOPR on generator interconnection in this docket. On 4/19/18 FERC issued Order No. 845, final rule amending the <i>pro forma</i> large generator interconnection procedures (LGIP) and agreement (LGIA), to improve certainty and enhance interconnection processes, effective 75 days after publication in the Federal Register. On 6/18/18 FERC granted rehearing for further consideration. On 10/3/18 FERC extended the date for compliance with Order No. 845. On 10/15/18 AWEA filed a request for rehearing of that order, which FERC subsequently denied on 11/13/18. On 2/21/19 FERC granted requests for rehearing of Order No. 845. On 4/23/19 FERC granted rehearing for further consideration.	Q2 2019
RM18-1	Duke Energy	Notice inviting comments on DOE rulemaking on grid reliability and resilience pricing, issued 10/2/17. On 10/23/17 Duke Energy filed initial comments. On 11/23/17 Duke Energy filed reply comments. During fourth quarter 2017, thousands of comments were filed. On 1/8/18 FERC terminated the rulemaking proceeding, initiated a new proceeding (AD18-7) to evaluate the resilience of the bulk power	Q2 2019

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<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
		system in RTO/ISO regions, and required RTOs/ISOs to submit information, within 60 days, on resilience issues and concerns raised in comments. During first quarter 2018, more comments were filed and one request for rehearing. On 3/8/18 FERC granted rehearing for further consideration. On 12/18/18 NRDC et al filed a motion for recusal of Commissioner McNamee. No further activity.	
RM19-5	Duke Energy, DEC, DEP	NOPR to require all transmission providers with transmission rates under an OATT, a transmission owner tariff, or a rate schedule to revise those rates to account for changes caused by the Tax Cuts and Jobs Act of 2017, issued 11/15/18. On 1/22/19 Duke Energy intervened. Comments were filed. No further activity.	Q2 2019
AC13-170	DEP	DEP filed request to use Account 182.2 “Unrecovered Plant” on 9/18/13. On 10/2/13 NCEMPA challenged the request. FERC allowed comments until 10/28/13. NCEMPA, Fayetteville PWC and NCEMC all filed protests by 10/28/13. DEP responded to the protests on 11/13/13. On 10/20/14 DEP filed a motion to hold application in abeyance. On 10/24/14 DEP filed settlement agreement resolving all issues. On 11/13/14 FERC Trial Staff filed comments on the settlement, and on 11/21/14 DEP filed reply comments. No further activity.	Q2 2019
AC19-75	Duke Energy	Request for approval to treat Cybersecurity Informational Technology-Operational Technology program as a single project for purposes of in-service and accrual of Allowance for Funds Used During Construction, filed 3/13/19. On 4/16/19 Duke Energy filed its answer to protests. Waiting for FERC order.	Q2 2019
AC19-110	DEC	Accounting entries related to Buck early retired plant, filed 4/18/19 and supplemented 6/14/19, per settlement agreement in EL17-83 and ER19-718.	Q2 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
AD16-16	Duke Energy	Notice of Technical Conference on PURPA implementation, to be held 6/29/16, issued 2/9/16. On 6/7/16 Duke Energy filed comments and speaker materials of Kendal Bowman, urging FERC to return to a needs-based implementation of PURPA, with rates established through actual incremental bona fide offers in a nondiscriminatory process. On 9/6/16 FERC invited post-conference comments by 11/7/16. On 11/7/16 Duke Energy Corp. filed comments urging FERC to implement PURPA in a manner that reflects all of the goals in the statute, the principles of cooperative federalism set forth in PURPA, and decades of judicial and Commission precedents; and encouraged FERC not to intrude into the States' PURPA rate-making jurisdiction in order to prioritize a single subordinate element of §210(a), e.g. mandating long-term contracts. Many other parties filed comments. Waiting for FERC order.	Q2 2019
AD16-18	Duke Energy	Competitive Transmission Development – Technical Conference. On 5/31/16 Duke Energy filed comments prior to the 6/27-28/16 technical conference, stating that it's far too soon after implementation of Order No. 1000 to embark on reforms. During second quarter 2016, many other parties filed comments and speaker materials. On 10/3/16 Duke Energy Corp. filed comments stating that Duke has no objection to FERC issuing policy guidance on cost containment mechanisms proposed in competitive transmission processes as warranted and requested, but does not support the issuance of a rulemaking at this time or the sort of guidance that triggers new processes where existing processes are meeting the goals of Order No. 1000. On 7/2/18 the US House Subcommittee on Energy requested an update on FERC's reconsideration of Order No.	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		1000 requirements, noting that FERC has not taken any action on reconsideration. Waiting for FERC order.	
AD16-20	Duke Energy	Data requests to RTOs/ISOs on Electric Storage Participation in Regions with Organized Markets, issued 4/11/16. On 6/6/16 Duke Energy submitted comments expressing support for FERC efforts to better understand RTO/ISO market rules' impact on storage technology and participation of energy storage resources in wholesale markets. During second quarter 2016, the RTOs/ISOs responded to the data request. On 11/17/16 FERC issued a NOPR on electric storage participation in RTO/ISO markets. On 2/15/18 FERC issued Order No. 841, final rule in electric storage participation in RTO/ITO markets. On 4/13/18 FERC granted rehearing for further consideration. During second quarter 2018, Advanced Energy Economy filed an answer to requests for rehearing, and Xcel filed a request for rehearing. On 11/16/18 FERC issued an amendment correcting an error in 18 CFR 35.28(g), caused by an omission in Order Nos. 831 and 831-A. On 5/16/19 FERC issued order on rehearing and clarification, Order No. 841-A, reaffirming Order No. 841. (Closed 6/30/19)	Q2 2019
AD17-11	Duke Energy	Notice of Technical Conference re State Policies and Wholesale Markets Operated by ISO-NE, NYISO and PJM, issued 3/3/17. Technical conference was held 5/1/17 and 5/2/17. During second quarter 2017 hundreds of parties filed comments before and after the technical conference, including Duke Energy on 6/22/17. Per 6/30/17 notice granting extension, reply comments were due 7/7/17. During third quarter 2017, many parties intervened and filed comments. During first quarter 2018, the American Council on Renewable	Q2 2019

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<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
		Energy filed comments, and FERC responded to letters from members of Congress. Waiting for FERC order.	
AD18-7	Duke Energy, DEC, DEP	New proceeding to evaluate the resilience of the bulk power system in regions operated by RTOs/ISOs, directing each RTO/ISO to submit information within 60 days, allowing comments 30 days after RTO/ISO submissions, and terminating RM18-1, issued 1/8/18. On 3/8/18 FERC granted rehearing for further consideration. On 3/9/18 the RTOs filed the required information. On 5/9/18 Duke Energy filed reply comments. Many other parties filed reply comments. During fourth quarter 2018, two parties filed motions for recusal of Commissioner McNamee. Waiting for FERC order.	Q2 2019
AD18-10	Duke Energy, DEC, DEP	Notice of Technical Conference to be held 4/10-11/18 to discuss the participation of distributed energy resource (DER) aggregations in RTO and ISO markets and to more broadly discuss the potential effects of DERs on the bulk power system, issued 2/15/18. On 3/29/18 FERC issued the agenda. On 4/10/18 FERC issued the transcript of the technical conference. On 4/27/18 FERC allowed comments to be filed within 60 days. On 6/26/18 Duke Energy Corp. filed comments. During second quarter 2018, several other parties filed comments. Comments filed. Waiting for FERC order.	Q2 2019
CP13-551	DEC, DEP	Transcontinental Gas Pipe Line Co. (Transco) application for a certificate of public convenience and necessity to construct and operate the Leidy Southeast Project, to be in service by 12/1/15, filed 9/28/13. DEC and DEP intervened on 10/23/13. On 6/12/14 FERC issued its schedule for environmental review of the project. On 12/18/14 FERC issued certificate and approved abandonment of facilities. On 12/19/14 filed its acceptance of certificate. On 4/28/15 and 5/19/15 FERC granted Transco's requests to proceed with	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		construction of certain facilities for the project. On 7/13/15 FERC granted Transco's request to use areas as additional temporary workspace. On 12/30/15 and 12/31/15 FERC authorized commencement of service for facilities but required Transco to continue filing status reports until restoration activities are complete. On 3/3/16 FERC denied requests for rehearing of 12/18/14 order granting CPCN. During second and third quarters 2016, Transco filed various reports. On 11/28/16 FERC granted the request to place the Skillman Loop in service. Construction and restoration activities continued.	
CP14-68	Duke Energy, DEC, DEP, DEBS	Texas Eastern Transmission, LP abbreviated application for a certificate of public convenience and necessity (CPCN) for its proposed Ohio Pipeline Energy Network Project to deliver production from Utica and Marcellus Shale to Gulf Coast area markets, filed 1/31/14 and revised 3/7/14. Duke Energy intervened on behalf of DEC, DEP, DEBS and other utilities on 2/11/14. On 2/25/14 DEC and DEP also intervened separately. On 6/24/14 FERC issued its schedule for environmental review of the project, which was revised on 8/11/14. FERC issued environmental assessment 8/22/14. On 12/2/14 FERC issued a certificate. On 12/5/14 Texas Eastern filed its acceptance of the certificate and subsequently on 12/15/14 and 12/22/14 submitted its implementation plan. On 4/16/15 FirstEnergy intervened out of time and requested a stay of construction authorized in Columbiana County, OH. On 4/24/15 Texas Eastern objected to the late intervention and the stay. On 4/30/15 FirstEnergy filed its answer and renewed its request for expedited action on its motion for stay. After FERC opened a new docket (RP15-971), FirstEnergy on 5/6/15 filed a motion for abeyance of action on prior motions in this	Q2 2019



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		docket. On 11/17/15 FERC granted request to place in service the remaining OPEN facilities not included in prior in-service approvals. On 12/1/15 Texas Eastern filed notice of commencement of service on those remaining facilities. Post-construction activities continued.	
CP15-16	DEC, DEP	Transco application for a CPCN for Hillabee Expansion Project, filed 11/18/14, and supplemented on 12/22/14 and 12/23/14. On 12/22/14 DEC and DEP intervened. On 5/13/15 FERC issued schedule for environmental review of the Southeast Market Pipelines Project, of which Transco's application is part. On 2/2/16 FERC issued CPCN. On 3/2/16 Transco filed its acceptance of CPCN, and several parties filed requests for rehearing of 2/2/16 order. On 3/29/16 FERC granted rehearing for further consideration of its 2/2/16 order. On 9/7/16 FERC denied requests for clarification of its 2/2/16 order with respect to Transco accounting for fuel costs via fuel tracker filings. On 5/18/17 Sierra Club asked FERC to deny requests until DC Circuit Court rules on pending matter. On 9/27/17 FERC issued Draft Supplemental EIS. On 2/2/18 Transco filed an emergency request for issuance of the Final Supplemental EIS concurrently with an order on remand reissuing the certificates for Sabal Trail, Florida Southeast Connection and the Hillabee expansion projects (the Southeast Market Pipeline or SMP), to allow continued gas transportation service following the DC Circuit Court's 8/22/17 decision and 1/31/18 order denying rehearing. On 2/5/18 FERC issued the Final Supplemental EIS. On 3/14/18 FERC issued its order on remand, reinstating certificates and abandonment authority for the SMP project. On 8/10/18 FERC denied requests for rehearing and dismissed request for stay as moot. Construction activities continued.	Q2 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
CP15-29	DEC, DEP	Transco's application for a CPCN for Gulf Trace Expansion Project, filed 12/15/14. On 1/12/15 DEC and DEP intervened. On 10/21/15 FERC issued the CPCN, with conditions including completion of construction and placement into service within 2 years. On 11/3/15 Transco accepted the CPCN. On 11/20/15 Transco requested clarification or rehearing on air emissions modeling requirements. On 12/14/15 FERC granted rehearing for further consideration. On 6/16/16 FERC dismissed Transco's rehearing request as moot. During fourth quarter 2016, FERC issued Environmental and Construction Inspection Reports and granted the request to place the Duralde Meter Station facilities into service. On 9/10/18 FERC issued inspection report finding Transco in compliance with the environmental conditions of the 10/21/15 Order Issuing Certificate for the Project and recommending no further inspections. Restoration activities continued.	Q2 2019
CP15-89	DEC, DEP	Transco's application for an abbreviated CPCN for Garden State Expansion Project, filed 2/18/15. On 3/23/15 DEC and DEP intervened. On 2/18/16 Transco filed draft implementation plan. On 3/28/16 Transco renewed its request for prompt approval of CPCN. On 4/7/16 FERC issued certificate. On 4/11/16 Transco accepted certificate. On 6/8/16 FERC denied the stay but granted rehearing for further consideration. On 7/1/16 Transco filed a request for partial notice to proceed with construction. On 11/9/16 FERC denied requests for rehearing. On 1/6/17 the town of Bordentown, NJ filed a petition for review with the 3d Cir. Court of Appeals. On 3/22/17 Bordentown protested the request for a notice to proceed. On 3/24/17 FERC approved the 3/21/17 request to construct the Chesterfield Meter Station and related equipment. On 3/29/17 Bordentown filed a	Q2 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		motion for stay of the 3/24/17 notice to proceed. On 4/3/17 Transco filed answer to 3/29/17 motion to stay. On 9/8/17 FERC allowed Transco to commence service of Phase I facilities. On 3/9/18 Transco requested to place into service phase 2 of the Garden State Expansion project, which FERC granted 3/16/18. D On 3/29/18 Transco notified FERC that phase 2 had been placed into service on 3/23/18. During second and third quarters 2018, Transco filed various reports and certification of environmental compliance. On 9/5/18 3 <sup>rd</sup> Cir. Court upheld FERC order. During fourth quarter 2018, Transco filed quarterly status report. Restoration activities were completed in first quarter 2019. On 6/10/19 FERC issued construction inspection report indicating that future inspections weren't needed. (Closed 6/30/19)	
CP15-117	DEC, DEP	Transco's application for an abbreviated CPCN for the Dalton Expansion Project, filed 4/1/15. On 4/22/15 DEC and DEP intervened. On 8/3/16 FERC issued a CPCN, subject to certain conditions. On 8/8/16 NCUC and NYPSC filed a joint request for rehearing. On 9/6/16 FERC granted rehearing for further consideration. On 3/29/17 FERC authorized Transco to begin partial path interim service. On 7/26/17 FERC granted the request to commence service on the remaining facilities. On 8/4/17 Transco notified FERC that the facilities had been placed in service. On 11/21/17 FERC denied requests for rehearing. On 12/14/17 the US Dept. of the Interior filed comments. On 3/12/18 FERC certified the record from this docket in the pending case before the DC Circuit: NCUC v. FERC (Case No. 18-1020). During third and fourth quarters 2018, Transco filed various reports. Post-construction activities continued.	Q2 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
CP15-118	DEC, DEP	Transco's application for CPCN for Virginia Southside Expansion Project II, filed 3/23/15. On 4/22/15 DEC and DEP intervened. On 7/7/18 FERC issued the CPCN, subject to certain conditions. On 8/8/16 NCUC and NYPSC filed a joint request for rehearing. On 9/6/16 FERC granted rehearing for further consideration. On 9/13/17 FERC issued an environmental inspection report. On 11/21/17 FERC denied requests for rehearing. On 11/27/17 FERC granted authorization to commence service. On 12/5/17 Transco filed notice that service commenced 12/1/17. On 3/12/18 FERC certified the record from this docket in the pending case before the DC Circuit: NCUC v. FERC (Case No. 18-1018). During fourth quarter 2018, FERC issued a construction inspection report. Post-construction activities continued.	Q2 2019
CP15-138	DEC, DEP	Transco's application for an abbreviated CPCN for Atlantic Sunrise Project, filed 3/30/15. On 4/29/15 DEC and DEP intervened. On 2/3/17 FERC issued CPCN with conditions, and on 2/6/17 Transco accepted the CPCN. On 3/13/17 FERC granted rehearing for further consideration of its 2/3/17 ruling. On 3/29/17 FERC granted Transco's request to proceed with constructing meter and regulator facilities in NC. On 5/11/17 Hilltop Hollow LP filed a petition for review of FERC's 2/3/17 and 3/13/17 orders with the DC Circuit Court. On 8/31/17 FERC denied Allegheny's 2/10/17 request for stay, which led to several requests for rehearing. On 9/26/17 Transco filed its answer to those filings. On 1/19/18 NCUC filed petition for review with the DC Cir. Court. On 3/1/18 FERC denied Sierra Club's requests for rehearing. On 3/12/18 FERC certified the record from this docket in the pending case before the DC Circuit: NCUC v. FERC (Case No. 18-1018). On 5/15/18 FERC granted request to	Q2 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		begin partial path service for certain facilities, which Transco placed in service 6/1/18. On 10/4/18 FERC issued authorization to place facilities in service. On 10/7/18 Transco notified FERC that facilities had been placed in service. On 11/14/18 Transco filed a motion to amend the Certificate. Post-construction activities continued.	
CP15-499	DEC, DEP	Texas Eastern Transmission, LP's application for an abbreviated CPCN for its proposed South Texas Expansion Project, filed 5/21/15. On 6/23/15 DEC and DEP intervened. On 2/15/18 FERC issued certificate with conditions. On 3/27/18 Texas Eastern filed its notice of commencement of construction. During third quarter 2018, FERC issued an inspection report finding Texas Eastern in compliance with the 2/15/18 Order Issuing Certificate On 11/28/18 FERC authorized Texas Eastern to commence service. On 12/11/18 Texas Eastern notified FERC that certain facilities had been placed in service. Post-construction and restoration activities continued.	Q2 2019
CP15-554; CP15-555; CP15-556	DEC, DEP	Atlantic Coast Pipeline (ACP) application, filed by Dominion Transmission, ACP and Piedmont Natural Gas Co. On 2/17/17 DEC and DEP filed supplemental comments in support of the pipeline. On 7/21/17 FERC issued the final EIS. On 10/13/17 FERC granted certificate with conditions. On 12/11/17 FERC granted rehearing for further consideration. On 3/14/18 Appalachian Voices filed petition for writ with 4 <sup>th</sup> Circuit Court to stay FERC's 10/13/17 order authorizing construction. On 3/26/18 FERC granted rehearing for further consideration (of order allowing limited tree felling), following protests. On 3/28/18 FERC denied request to modify time-of-year tree felling restrictions. On 5/11/18 Defenders of Wildlife et al. filed a motion for stay and request for rehearing. During second quarter 2018, ACP filed supplemental information, various reports,	Q2 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		requests for variance, and a response to motion for stay; and FERC responded. On 6/28/18 FERC issued a data request. During third quarter 2018, ACP filed supplemental information and responses to FERC data requests; parties filed comments and petitions for review with the 4th Circuit Court of Appeals; and FERC issued environmental compliance monitoring reports, variance requests and authorizations to proceed with work in NC, WV and PA. FERC also granted rehearing for further consideration of several orders and letter orders. During fourth quarter 2018, FERC issued environmental monitoring reports; and opposition to the pipeline continued in the DC and 4th Circuit Courts. Construction activities continued. [See more detailed reports filed in NCUC Docket Nos. E-2, Sub 1052 and E-7, Sub 1062.]	
CP16-3	DEC, DEP	Texas Eastern Transmission, LP's application for an abbreviated CPCN for proposed Access South, Adair Southwest and Lebanon Extension Projects, filed 10/8/15. On 11/9/15 DEC and DEP intervened. On 12/21/16 FERC issued the CPCN and approved abandonment of facilities. On 12/29/16 Texas Eastern filed its Initial Implementation Plan. On 1/9/17 Texas Eastern accepted the CPCN terms. On 3/31/17 FERC granted Texas Eastern's request to begin construction of the Berne Compressor Station in Ohio. During second quarter 2017, FERC issued an Environmental Inspection Report. On 7/19/17 FERC granted request to place facilities in service. On 8/8/17 Texas Eastern notified FERC that facilities commenced service 8/1/17. On 10/31/17 FERC authorized Texas Eastern to place Access South and Adair Southwest Projects into interim service. On 11/9/17 Texas Eastern filed notice that the 2 projects had been placed in	Q2 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		interim service on 11/1/17. Texas Eastern filed on 5/3/18 its final notice of commencement of service. Restoration activities continued.	
CP16-10	DEC, DEP	Mountain Valley Pipeline (MVP) application for CPCN to build 301 miles of new interstate natural gas pipeline, 3 compressor stations and other facilities in WV and VA, filed 10/23/15, requesting a final order by 10/15/16. On 11/12/15 DEC and DEP intervened. On 3/31/17 FERC revised the schedule for environmental review. On 6/23/17 FERC issued the Final Environmental Impact Statement. On 10/13/17 FERC issued certificate, subject to 41 conditions. On 10/20/17 MVP accepted the certificate. On 12/13/17 FERC granted rehearing for further consideration of 10/13/17 order. On 3/26/18 FERC granted rehearing for further consideration of various 2018 letter orders authorizing construction. During second and third quarters 2018, many parties filed comments and petitions for review with the DC Circuit Court of Appeals; and FERC authorized construction on some segments. During fourth quarter 2018, the Army Corps of Engineers suspended its permit after the 4th Cir. Court of Appeals vacated the permit; and FERC issued environmental monitoring reports. Construction activities continued.	Q2 2019
CP16-494	DEC	Transco abbreviated CPCN application to build and operate the Gulf Connector Expansion Project, filed 8/16/16, an expansion of its interstate transmission system in Texas and Louisiana. On 9/15/16 DEC and DEP intervened. On 9/21/17 FERC issued its Environmental Assessment of the project. On 11/21/17 FERC granted a CPCN for the project, subject to conditions. On 12/1/17 Transco accepted the CPCN. On 12/6/17 Transco filed its implementation plan. On 1/10/18 FERC authorized construction of facilities. On 2/13/18 Transco notified FERC that construction began 2/8/18. During third	Q2 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		and fourth quarters 2018, Transco filed status reports and a request to amend the certificate to reflect increased capital costs for the Project, replace the proposed phasing-in of the Project with a single in-service date, and revise the initial recourse rate for firm transportation service. On 11/20/18 FERC amended the CPCN that had been granted 11/21/17. On 12/6/18 Transco notified FERC that certain facilities had been placed in service. Post-construction activities continued.	
CP16-501	DEC, DEP	Texas Eastern's abbreviated application for CPCN for proposed Marshall County Mine Panel Project in WV, filed 9/15/16. On 10/20/16 DEC and DEP intervened. On 1/26/17 FERC issued a CPCN, and on 2/7/17 Texas Eastern accepted the CPCN order. During first quarter 2017, Texas Eastern filed a notice to proceed with construction, and FERC approved it 3/7/17. On 4/5/17 Texas Eastern filed a Notice of Commencement of Construction. Texas Eastern filed a notice of commencement of service on 8/28/18. On 11/8/18 Texas Eastern notified FERC that construction and initial restoration activities have been completed; quarterly reports will be filed until restoration work is complete. Restoration activities continued.	Q2 2019
CP17-6	DEC, DEP	Texas Eastern abbreviated application for approval to abandon 16.5 miles of pipeline facilities previously removed from active gas service in OH, WV and PA, filed 10/28/16. On 11/30/16 DEC and DEP intervened. On 6/15/17 FERC approved abandonment of the facilities, with environmental conditions. On 2/21/18 FERC granted the request to proceed with abandonment. On 11/2/18 Texas Eastern notified FERC that abandonment activities had been completed. Restoration activities continued.	Q2 2019



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## Open FERC Dockets – Second Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
CP17-46	DEC, DEP	Southern Natural Gas Co. (SNG) CPCN application for the Fairburn Expansion Project in Georgia, filed 2/3/17. On 3/7/17 DEC and DEP intervened. On 8/18/17 FERC issued Environmental Assessment of the project. On 2/15/18 FERC issued CPCN for the project, and SNG filed its acceptance. On 3/15/18 SNG notified FERC that construction began on 3/7/18. On 5/16/18 SNG filed request to place SM2LL facilities in-service. SNG filed a notice of commencement of service on 6/27/18. During fourth quarter 2018, FERC authorized SNG to place Fairborn Expansion Project in service, and SNG notified FERC that the project was placed in service 12/18/18. Post-construction activities continued.	Q2 2019
CP17-58	DEC, DEP	Transco abbreviated CPCN application for the St. James Supply Project in Louisiana, filed 2/6/17. On 3/7/17 DEC and DEP intervened. On 1/18/18 FERC issued CPCN with conditions. During first quarter 2018, Transco accepted the certificate, filed an implementation plan, filed notices of construction commencement and execution of firm contract for capacity levels and terms in the signed precedent agreement. During second and third quarters 2018, Transco filed supplemental information, status reports and requests for variance; and FERC granted the requests and authorized construction of facilities in LA. Post-construction activities continued.	Q2 2019
CP17-101	DEC, DEP	Transco abbreviated CPCN application to construct and operate the Northeast Supply Enhancement Project, filed 3/26 /17. DEC and DEP intervened on 4/27/17. On 3/23/18 FERC issued notice of the draft EIS, with comments due 5/14/18. On 5/3/19 FERC issued certificate. Requests for rehearing were filed. Waiting for FERC order.	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
CP17-212	DEC	Transco variance request for a route modification in Luzerne and Wyoming counties, part of the Atlantic Sunrise Project, filed 4/19/17 and supplemented 4/27/17 and 5/16/17. DEC intervened on 5/12/17. On 5/18/17 FERC granted the certificate to abandon, with conditions. On 5/24/17 Transco accepted the certificate. On 7/31/17 Transco filed implementation plan. On 9/5/17 Transco filed request for Notice to Proceed, which FERC granted 9/15/17. On 10/4/18 FERC authorized Transco to place the project in service. Post-construction activities continued.	Q2 2019
CP17-450	DEC, DEP	Transco application for approval to abandon gathering facilities located in offshore Texas, filed 5/25/17. During second quarter 2017, several parties intervened, including DEC and DEP on 6/19/17; FERC issued a data request, and Transco responded. On 7/25/17 Transco filed supplemental information. On 8/2/17 FERC issued the Environmental Assessment. On 8/8/17 FERC granted the request to abandon pipeline facilities and required Transco to notify the Commission within 10 days of abandonment. On 5/7/19 Transco filed motion to vacate abandonment authorization. Waiting for FERC order.	Q2 2019
CP18-10	DEC, DEP	Texas Eastern LP abbreviated CPCN application for Texas Industrial Market Expansion and Louisiana Market Expansion Projects (TX-LA Projects), filed 10/19/17 requesting authorization by 9/1/18. On 11/21/17 DEC and DEP intervened. On 7/19/18 FERC issued Certificate. On 7/25/18 Texas Eastern accepted Certificate. On 8/29/18 Texas Eastern filed implementation plan. On 2/7/19 FERC authorized modifications at Gillis Compressor Station, and 2/26/19 Texas Eastern filed its notice of commencement of construction. On	Q2 2019

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<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
		5/30/19 Texas Eastern filed notice of commencement of service. Post-construction activities continued.	
CP18-18	DEC, DEP	Transco abbreviated CPCN application for Gateway Expansion Project, filed 11/15/17. On 12/12/17 DEC and DEP intervened. On 1/2/18 FERC issued notice of intent to prepare an environmental assessment (EA) for the project and requested comments by 2/2/18. On 5/24/18 FERC issued schedule for environmental review. On 12/12/18 FERC issued the CPCN, with Commissioner Glick dissenting. On 12/20/18 Transco filed an implementation plan. On 2/11/19 FERC granted rehearing for further consideration. Construction activities continued.	Q2 2019
CP18-145	DEC, DEP	Transco abbreviated CPCN application for reduced operating pressures and partial abandonment of capacity of Caverns 5, 6, and 7 at the Eminence Storage Field located in Covington County, MI, filed 3/27/18. On 4/9/18 DEC and DEP intervened. On 1/24/19 FERC approved abandonment and amended Certificate. On 3/26/19 FERC granted rehearing for further consideration. On 4/4/19 FERC granted clarification. On 4/5/19 Transco accepted FERC's 1/24/19 order. Abandonment activities continued.	Q2 2019
CP18-186	DEC, DEP	Transco abbreviated CPCN application to construct Southeastern Trail Project, filed 4/11/18. On 5/24/18 DEC and DEP intervened. Comment period and filing of supplemental information continued.	Q2 2019
CP18-485	DEC, DEP	Joint Texas Eastern and Transco abbreviated application to abandon offshore gathering facilities, including a lateral line and related metering and other facilities, located in federal waters in the Gulf of Mexico near LA, filed 5/17/18. On 6/14/18 DEC and DEP intervened. On 10/25/18 FERC issued Environmental Assessment. Waiting for FERC order.	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
CP18-486	DEC, DEP	Joint Texas Eastern, Transco and Northern Natural Gas abbreviated application to abandon a 12-mile offshore supply lateral and related facilities located in offshore federal waters in the Gulf of Mexico near LA, filed 5/18/18. On 6/14/18 DEC and DEP intervened. During second quarter 2018, several other parties intervened, and Texas Eastern filed supplemental information. On 10/25/18 FERC issued Environmental Assessment. On 4/24/19 and 6/24/19 Texas Eastern requested prompt issuance of abandonment authorization. Waiting for FERC order.	Q2 2019
CP18-493	DEC, DEP	Saltville Gas application to drill new injection and withdrawal wells, to replace abandoned wells and one existing well, to maintain the deliverability of the Early Grove depleted reservoir facility, as well as to abandon one existing monitoring well at its Early Grove storage facility in Scott and Washington Counties, VA, filed 5/31/18. On 8/7/18 FERC issued its Environmental Assessment. Construction activities continued.	Q2 2019
CP18-498	DEC, DEP	Dominion Energy Carolina Gas Transmission, LLC (DECG) 60-Day Notice Request for authorization to construct, own and operate a delivery point for Bushy Park Extension Project, filed 6/8/18. On 8/13/18 FERC issued an Environmental Assessment. During second and third quarters 2018, DECG filed supplemental information and responses to FERC data requests; and several parties intervened, including DEC and DEP on 8/9/18. On 12/14/18 DECG filed final report. (Closed 6/30/19)	Q2 2019
CP18-533	DEC, DEP	Texas Eastern abbreviated application to abandon facilities (lateral pipeline and associated facilities located in Harrison and Marion Counties, TX), filed 7/24/18. DEC and DEP intervened on 8/28/18. On 9/6/18 FERC issued notice of intent to prepare Environmental	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		Assessment (EA). On 1/25/19 FERC issued Environmental Assessment. Comment period and filing of supplemental information continued.	
CP19-14	DEC, DEP	Mountain Valley Pipeline (MVP) CPCN application for the Southgate Project, filed 11/6/18. DEC and DEP intervened on 12/10/18. Comment period and filing of supplemental information continued.	Q2 2019
EL13-88; ER16-1969	Duke Energy, DEC, DEP, DEBS	Complaint of Northern Indiana Public Service Co. (NIPSCO) against MISO and PJM to remedy flaws in the interregional planning process between the two, filed on 9/11/13. Duke Energy intervened on 9/30/13 on behalf of its subsidiaries that sell into the PJM market. Comments and reply comments were filed, and a technical conference was held 6/15/15 to explore issues raised in the complaint. On 4/21/16 FERC granted complaint in part and directed MISO and PJM to submit compliance filings and informational filings pertaining to their interregional planning process. On 6/17/16 FERC granted rehearing for further consideration. On 8/19/16 MISO and PJM filed a joint report on efforts to improve transmission expansion planning coordination, as required by 4/21/16 order. On 10/18/16 (supplemented 10/25/16) MISO and PJM filed another report in response to 4/21/16 order. On 4/24/17 MISO filed compliance filing and motion for extension in ER16-1969, to allow MISO to continue working with stakeholders on cost allocation methodology. On 6/27/17 FERC granted the extension until 10/31/18. On 9/17/18 MISO and MISO TOs filed an expedited joint motion for a limited extension of the compliance deadline and a request for a shortened comment period. During fourth quarter 2018, MISO and MISO TOs requested an extension of compliance deadline, which the Generator Group subsequently opposed. On 2/28/19 MISO filed compliance	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		filing in Docket Nos. ER16-1969 and ER19-1156. On 6/24/19 FERC rejected the compliance filing.	
EL14-37; ER17-1433	Duke Energy, DEC, DEP	Investigation to address whether PJM's current OATT applies the Financial Transmission Rights (FTR) forfeiture rule to Up to Congestion transactions justly, issued 8/29/14. On 9/22/14 Duke Energy intervened. On 9/24/14 the Financial Marketers Coalition (FMC) filed a motion for clarification and request for expedited consideration. On 10/22/14 FERC granted rehearing for further consideration of its 8/29/14 order initiating the investigation. On 12/16/14 FERC denied the FMC motion. The technical conference was held 1/7/15. On 1/19/17 FERC issued its Order on investigation, finding PJM's application of its FTR forfeiture rule to virtual transactions to be unjust and unreasonable; requiring PJM to make a compliance filing within 90 days; and holding the issue of uplift in abeyance, pending the outcome of a NOPR in a separate proceeding. On 7/14/17 PJM filed a statement of support. On 4/18/17 PJM filed compliance filing in ER17-1433. During second and third quarters of 2017, parties intervened and filed protests. Waiting for FERC order.	Q2 2019
EL15-67	DEC, DEP, DEBS	Complaint of Linden VFT, LLC against PJM re PJM's proposed cost allocations for projects resulting from PJM's 2013 Regional Transmission Expansion Plan, filed 5/22/15 and amended 7/10/15. On 7/1/15 DEBS intervened on behalf of several affiliates. On 11/24/15 FERC accepted the tariff revisions but suspended them for 5 months, subject to refund, to become effective 4/25/16 or on a date set forth in future order following a technical conference. On 12/4/15 FERC scheduled technical conference to be held 1/12/16. During first quarter 2016, technical conference was held, and parties filed comments. On 4/22/16 FERC denied complaint. On 6/21/16 FERC	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		granted rehearing for further consideration. On 5/16/17 ConEd filed a motion to reopen the record and lodge the 4/6/17 letter from PJM CEO which addresses questions FERC posed at the technical conference. On 5/31/17 PSEG responded in opposition to motions. On 9/11/17 ConEd filed a motion to reopen the record and to lodge a PJM report. During third quarter 2017, PJM and others responded to ConEd's motion. On 10/3/17 ConEd filed its answer to PJM's 9/29/17 response. On 3/20/18 ConEd filed motion to reopen record and accept PJM's 2/27/17 answer in EL18-54 which is relevant to this case. During third quarter 2018, FERC established settlement judge procedures. On 9/17/18 FERC granted rehearing for further consideration. Settlement judge procedures continued.	
EL15-79	Duke Energy, DEC, DEBS	Complaint of Tran Source LLC alleging that PJM refused to provide data underlying cost estimates in System Impact Studies for queue positions, filed 6/23/15. On 5/27/16 Duke Energy intervened on behalf of subsidiaries that sell into PJM. In second quarter 2016, FERC established hearing procedures (after having held proceeding in abeyance), scheduled and then rescheduled the prehearing conference for 8/2/16. On 6/16/16 FERC allowed Duke Energy and others to intervene. During third quarter 2016, a prehearing conference was held 8/22/16, and the Chief Judge issued a procedural schedule. In fourth quarter 2016, prehearing conferences were held on 10/26/16 and 12/20/16; On 8/29/17 FERC modified the unopposed procedural schedule that Transco had proposed. Testimony was filed, and hearing was held 9/12/17. During fourth quarter 2017, parties filed briefs. On 1/19/18 FERC ALJ issued initial decision finding PJM practices nontransparent and discriminatory and requiring PJM to refund monies TranSource paid and to restore TranSource's	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		original queue position. On 2/20/18 parties filed briefs and exceptions. On 3/12/18 opposition briefs were filed. Waiting for FERC order.	
EL15-95	DEC, DEP, DEBS	Complaint of Delaware PSC and MD PSC against PJM, alleging that use of DFAX cost allocation method is unreasonable, filed 8/28/15. On 10/12/15 DEBS intervened on behalf of several affiliates. On 12/4/15 FERC scheduled technical conference to be held 1/12/16. On 4/22/16 FERC denied complaint and accepted PJM's cost responsibility assignments for transmission projects. During second quarter 2016 several parties requested rehearing. On 6/21/16 FERC granted rehearing for further consideration. On 8/5/16 PJM advised that the Artificial Island project, a transmission line in the Regional Transmission Extension Plan approved by the PJM Board, has been suspended until analysis can be completed. On 8/23/16 complainants moved to defer ruling on requests for rehearing. On 4/12/17 PJM filed an informational filing on status of Artificial Island: PJM Board approved lifting of suspension. On 4/27/17 ODEC filed comments on 4/12/17 PJM filing. On 9/6/17 complainants jointly moved to reopen the record and to lodge a PJM report, which led to answers or comments of several parties during third quarter 2017. On 7/19/18 FERC granted rehearing and established paper hearing procedures. On 2/28/19 FERC denied rehearing, established a reasonable rate for transmission facilities that address stability-related reliability concerns, and required a compliance filing in 30 days. On 5/1/19 FERC granted rehearing for further consideration. [See also ER15-2562 and ER15-2653 below.]	Q2 2019
EL16-49	Duke Energy, DEC, DEBS	Complaint of Calpine Corp., Dynegy, et al. against PJM, asking FERC to expand the Minimum Offer Price Rule to prevent the	Q2 2019



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		artificial suppression of prices in the Reliability Pricing Model market by below-cost offers for existing resources whose continued operation is being subsidized by state-approved out-of-market payments, filed 3/21/16. On 4/5/16 Duke Energy intervened. On 6/29/18 FERC rejected PJM tariff revisions, granted in part and denied in part Calpine's complaint, and instituted Section 206 proceeding in EL18-178. On 8/29/18 FERC granted rehearing for further consideration. See EL18-178 for updates.	
EL17-29	Duke Energy, DEC, DEP, DEBS	Complaint of American Municipal Power (AMP) alleging that MISO's assessment of congestion and administrative charges associated with dispatches of an AMP generating resource by PJM is unjust and unreasonable, filed 12/19/16 and supplemented 12/20/16. On 1/17/17 Duke Energy intervened. On 1/25/17 MISO filed its answer to the complaint and also a joint motion with PJM to hold the matter in abeyance. On 3/27/17 MISO and PJM filed an informational update on efforts to resolve the congestion overlap issue. On 5/26/17 MISO and PJM filed a status update. On 7/25/17 and 9/25/17 MISO/PJM filed a status update. On 11/22/17 MISO/PJM filed another update on the efforts of the RTOs to develop proposed solutions to the congestion overlap issue related to pseudo-ties. On 1/23/18 MISO/PJM filed another update, and AMP filed a response 2/7/18. On 4/6/18 MISO/PJM filed another update. On 6/1/18 AMP et al. filed a joint request for prompt action, to which MISO responded on 6/13/18. On 6/28/18 AMP et al. replied to PJM filing. Settlement judge procedures continued.	Q2 2019
EL17-62	Duke Energy, DEC, DEP	Complaint of Potomac Economics asking FERC to direct PJM to revise its OATT and Reliability Assurance Agreement Among Load-Serving Entities in the PJM Region (RAA) to eliminate the existing	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		requirement that resources located external to PJM seeking to offer as Capacity Performance Resources in PJM be pseudo-tied into PJM, filed 4/6/17. During second quarter 2017, many parties intervened and filed comments, including Duke Energy on 4/13/17. On 5/8/17 PJM filed a motion to dismiss, and several parties filed comments. On 6/20/17 PJM filed its answer to comments and protests. On 5/14/18 Brookfield Energy Marketing intervened out of time. On 6/19/18 PJM filed notice of appellate precedent on Market Monitor standing, and on 6/26/18 the Independent Market Monitor responded. On 7/2/18 Potomac Economics filed a letter opposing PJM's motion to lodge recent DC Cir. Court decision. No further activity.	
EL17-64	Duke Energy, DEC, DEP	Complaint of Energy Storage Association (ESA) alleging PJM's changes to its Frequency Regulation market have been discriminatory and capricious, causing harm to ESA members who participate in that market, filed 4/13/17. On 5/22/18 Duke Energy intervened out-of-time. [See EL17-65 for updates.]	Q2 2019
EL17-65	Duke Energy, DEC, DEP	Complaint of Renewable Energy Systems Americas (RESA) and Invenergy Storage Development LLD alleging that PJM's implementation of a frequency regulation signal inconsistent with the operational design of PJM's market for energy-limited resources negatively affected storage devices and is discriminatory, filed 4/14/17. Duke Energy intervened on 5/12/17. On 3/30/18 FERC issued order granting the Energy Storage Assoc. (ESA) complaint (EL17-64) in part and directing Staff to convene a technical conference on remaining issues (EL17-65). In response to a 5/30/18 joint request, on 6/6/18 FERC postponed the technical conference and appointed a settlement judge. Settlement conferences were held 6/14/18 and 6/26/18. On 4/23/19 an Offer of Settlement was filed. On	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
		6/26/19 settlement judge procedures were terminated. Waiting for FERC order.	
EL17-68	Duke Energy, DEC, DEP	Linden VFT complaint and request for fast track processing, alleging that PJM's cost reallocations for BLC project are unjust and unreasonable, filed 4/28/17. During second quarter 2017, several parties intervened, including Duke Energy on 5/2/17. See EL15-67 above.	Q2 2019
EL17-83	DEC	Complaint of Piedmont Municipal Power Agency (PMPA) alleging DEC has assessed charges in violation of service agreement for wholesale generation service, filed 8/1/17. On 9/11/17 DEC filed its answer and a motion for summary judgement. On 2/15/18 FERC granted complaint and established hearing and settlement judge procedures. During first quarter 2018, FERC designated a judge, and settlement conferences were held.. On 7/3/18 FERC accepted refund report and denied protests. On 12/28/18 DEC filed notice that settlement had been reached and a settlement agreement filed in ER19-718. On 1/30/19 uncontested settlement was certified. On 2/4/19 settlement judge procedures were terminated. (Closed 6/30/19)	Q2 2019
EL17-84; ER17-2073	Duke Energy, DEC, DEP	Section 206 investigation into the justness of Hudson Transmission Partners LLC (HTP) being unable to convert its Firm Withdrawal Rights into Non-Firm Transmission Withdrawal Rights following FERC's rejection of PJM's unexecuted amendment to Interconnection Service Agreement, issued 9/8/17. On 9/18/17 Duke Energy intervened. On 10/10/17 PJM responded to 9/8/17 show cause order. On 12/15/17 FERC issued order requiring PJM to permit conversion of firm to non-firm transmission withdrawal rights and required PJM to amend the agreement with HTP. On 2/9/18 FERC	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
		granted rehearing for further consideration of 12/15/17 order. During first quarter 2018, several parties filed requests for rehearing/clarification and answers to same. Settlement judge procedures continued. See EL15-67 above.	
EL17-94	Duke Energy, DEC, DEP	NY Power Authority (NYPA) complaint against PJM, seeking refund of Regional Transmission Expansion Plan (RTEP) charges associated with the Hudson Transmission Project's Firm Transmission Withdrawal Rights following Hudson Transmission Partners, LLC's (HTP) surrender of those rights, filed 9/28/17. On 10/4/17 Duke Energy intervened. On 10/18/17 PJM and PJM Transmission Owners filed answers. On 11/2/17 NYPA filed its response. On 2/16/18 NYPA filed a motion to lodge relevant documents from other dockets, supplemented on 2/23/18. Settlement judge procedures continued. See EL15-67 above.	Q2 2019
EL18-7	Duke Energy, DEC, DEP	AEP complaint against MISO, alleging that MISO failed to provide AEP and other PJM Transmission Owners with more than \$4.8 million of system elimination charge/cost adjustments (SECA), filed 10/10/17. On 11/10/17 Duke Energy intervened. On 11/13/17 MISO filed its answer to the complaint. On 1/28/18 AEP filed its response. On 2/7/18 FERC scheduled a conference call on 2/14/18 to discuss factual questions. On 3/7/18 MISO filed a supplemental answer. During first quarter 2018, other parties filed comments on MISO's answer. During third quarter 2018 FERC initiated settlement judge procedures in its 9/14/18 order. On 11/13/18 FERC granted rehearing for further consideration. Settlement judge procedures continued.	Q2 2019
EL18-34	Duke Energy, DEC, DEP	Order and Notice instituting investigation into whether PJM's practices re pricing of fast-start resources may be unjust and unreasonable, issued 12/21/17. On 1/3/18 Duke Energy intervened.	Q2 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		On 2/12/18 PJM filed its initial brief. Comments, answers and briefs were filed. On 4/18/19 FERC directed PJM to revise tariff.	
EL18-169	Duke Energy, DEC, DEP	Complaint of CPV Power Holdings, Calpine and Eastern Generation, LLC alleging that PJM's proposals to protect its Reliability Pricing Model (RPM) market from below-cost offers for resources receiving out-of-market subsidies are inadequate, filed 5/31/18. On 6/4/18 Duke Energy intervened. During second quarter 2018, many other parties intervened and filed comments or protests. On 6/19/18 PJM filed its answer to protests. Waiting for FERC order.	Q2 2019
EL18-178	Duke Energy, DEC, DEP	Paper hearing proceeding initiated by FERC when it rejected complaint in EL16-49, issued 6/29/18. Notice of Section 206 proceeding and refund effective date, issued 7/2/18. During third quarter 2018, many parties intervened and filed comments, including Duke Energy on 7/23/18. During fourth quarter 2018, many parties filed comments, reply comments and briefs. On 3/11/19 PJM filed its information plan in preparation for 2022-23 Base Residual Auction. Waiting for FERC order.	Q2 2019
EL19-18	Duke Energy, DEC, DEP	AEP complaint alleging that PJM's OATT is unjust because it does not include indemnifications provisions consistent with FERC's <i>pro forma</i> Large Generator Interconnection Agreement, filed 11/16/18. On 12/19/18 Duke Energy filed comments. On 5/10/19 FERC granted complaint in part and denied it in part. AEP filed a request for clarification. Waiting for FERC order.	Q2 2019
EL19-47	Duke Energy, DEC, DEP	Complaint of PJM IMM against PJM, alleging lack of effective market power mitigation in the capacity market, filed 2/21/19. On 4/17/19 Duke Energy intervened. Waiting for FERC order.	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
EL19-58; ER19-1486	Duke Energy, DEC, DEP	PJM proposed revision to its Operating Agreement to implement reserve market enhancements. On 5/13/19 Duke Energy intervened. Waiting for FERC order.	Q2 2019
EL19-79	Duke Energy, DEC, DEP	Complaint of LSP Transmission Holding II, LLC, Cardinal Point Electric, LLC and LS Power Mid-continent, LLC against MISO, alleging flaws in economic planning process, filed 6/5/19. On 6/24/19 Duke Energy intervened. Waiting for FERC order.	Q2 2019
ER13-1924	Duke Energy, DEC, DEP	PJM Order No. 1000 Compliance filing re interregional transmission planning and coordination per Joint Operating Agreement with MISO, filed 7/10/13. Duke Energy intervened on 9/5/13. On 12/18/14 FERC rejected in part and conditionally accepted in part PJM's compliance filing and directed PJM to submit further compliance filing within 60 days. On 1/20/15 MISO TOs requested rehearing. On 2/9/15 FERC granted rehearing for further consideration of its 12/18/14 order. On 6/15/15 FERC held a technical conference to explore issues raised. On 4/5/16 FERC denied rehearing, conditionally accepted MISO and PJM's second compliance filings, subject to further compliance filings. On 5/4/16 FERC granted an extension until 6/20/16 to comply with 4/5/16 order. On 6/2/16 FERC granted rehearing for further consideration. On 6/13/16 PJM Transmission Owners requested an extension of time to comply with 4/5/16 order: until 30 days after FERC rules on pending motions for clarification. On 6/20/16 FERC denied the motion for extension. On 10/28/16 FERC denied rehearing and conditionally accepted third compliance filings subject to further compliance filings. On 12/27/16 MISO TOs filed a petition for review with the DC Circuit Court of Appeals. On 6/22/18 DC Circuit	Q2 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		affirmed FERC decision on MISO cost allocation. Petition for review was filed 12/27/18. No further activity.	
ER14-972	Duke Energy, DEC, DEP, DEBS	PJM amendments to Schedules 6 and 12 to incorporate cost responsibility assignments for 111 upgrades in the recent update to its Regional Transmission Expansion Plan, filed on 1/10/14. Duke Energy intervened on 1/15/14. On 4/9/14 FERC accepted the filing but required a compliance filing. On 5/9/14 PJM submitted the compliance filing. Several parties requested rehearing, and on 6/9/14 FERC granted rehearing for further consideration. On 8/20/14 PJM filed a motion to hold docket in abeyance, to allow parties to discuss cost allocation for the contested projects. On 12/16/14 PJM filed a motion to release the stay for this docket but not for the related docket, ER14-1485. On 6/18/15 FERC denied the complaint, denied rehearing and accepted ConEd's compliance filing. On 6/25/15 Con Ed filed a petition for review, and on 6/26/15 Linden VTF did likewise. On 8/19/15 FERC granted rehearing for further consideration of its 6/18/15 order. On 11/25/15 FERC accepted and suspended PJM's proposed tariff revisions and scheduled a technical conference on 1/12/16. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. On 4/22/16 FERC accepted PJM's cost responsibility assignments for transmission projects in recent RTEP. In a separate order on 4/22/16, FERC denied rehearing requests associated with PJM tariff filings. On 6/17/16 and 6/21/16 FERC granted rehearing for further consideration of the two 4/22/16 orders. Waiting for FERC order. [See also ER14-1485, ER15-2562 and ER15-2563.]	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
ER14-1461	Duke Energy, DEC, DEP, DEBS	PJM revision of its Reliability Assurance Agreement and OATT Attachment DD to reform the current Reliability Pricing Model market rules that incent sellers in 3-year forward auctions to submit speculative offers that can undermine long-term reliability, filed 3/10/14 and amended 3/14/14. Duke Energy intervened on 3/28/14. On 5/9/14 FERC rejected tariff changes and instituted a Section 206 proceeding (EL14-48); a technical conference will be held. On 7/8/14 FERC granted rehearing for further consideration. On 8/18/14 PJM requested deferral until FERC has acted on PJM's capacity performance filing, which will be made in December 2014. On 9/10/14 AEP and Dayton P&L responded to PJM's request to defer action. On 10/29/15 PJM filed a report and requested continued deferral of action in this docket; PJM committed to file a further report by 11/23/16. On 11/23/16 PJM filed a further report and request for continued deferral of action. On 12/30/16 PJM IMM filed a motion to lodge a report on the issues raised and a motion to commence the compliance process set forth in 5/9/14 order. On 1/17/17 PJM Load Group filed answer to IMM motions. On 2/9/17 PJM filed answer. On 1/23/18 PJM IMM filed motion to lodge an updated report on issues under investigation. On 3/9/18 PJM filed incremental auction reforms for approval and requested that FERC continue to defer action in the Replacement Capacity proceeding while it considers PJM's proposed tariff revisions. Waiting for FERC order.	Q2 2019
ER15-2562	DEC, DEP, DEBS	PJM revisions to OATT and Operating Agreement to incorporate cost responsibility assignments for 19 of 31 baseline upgrades included in recent RTEP update approved by PJM Board, filed 8/28/15. On 10/12/15 DEBS intervened on behalf of several affiliates. On	Q2 2019



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## Open FERC Dockets – Second Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		11/25/15 FERC issued order accepting and suspending tariff revisions and scheduled a technical conference on 1/12/16, to discuss reasonableness of DFAX cost allocation method. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. On 4/22/16 FERC accepted PJM's cost responsibility assignments for transmission projects in recent RTEP. On 6/21/16 FERC granted rehearing for further consideration of the 4/22/16 order. On 5/16/17 ConEd filed a motion to reopen the record and lodge the 4/6/17 letter from PJM CEO which addresses questions FERC posed in the technical conference. On 5/31/17 PSEG responded in opposition. On 9/11/17 ConEd filed a motion to reopen the record and to lodge a PJM report. During third quarter 2017, PJM and other responded to ConEd's motion. On 3/20/18 ConEd filed motion to reopen record and accept PJM's 2/27/17 answer in EL18-54 which is relevant to this case. Settlement judge procedures continued. [See also EL15-67, ER14-972, ER14-1485, and ER15-2563.]	
ER15-2563	DEC, DEP, DEBS	PJM revisions to OATT and Operating Agreement to incorporate cost responsibility assignments for 12 of 31 baseline upgrades included in recent RTEP update approved by PJM Board, filed 8/28/15. On 10/12/15 DEBS intervened on behalf of several affiliates. On 11/25/15 FERC issued order accepting and suspending tariff revisions and scheduled a technical conference on 1/12/16, to discuss reasonableness of DFAX cost allocation method. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. On 4/22/16 FERC denied complaint and accepted PJM's cost responsibility assignments for transmission projects. On 6/21/16 FERC granted rehearing for further consideration. On 4/12/17 PJM filed an informational filing on status of the Artificial Island: PJM	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		board approved lifting the suspension. On 4/27/17 ODEC filed comments on the 4/12/17 PJM filing. On 9/6/17 complainants jointly moved to reopen the record and to lodge a PJM report, which led to answers or comments of several parties during third quarter 2017. During fourth quarter 2017, FERC responded to governors who filed comments. Waiting for FERC order. [See also EL15-95, ER14-972, ER14-1485, and ER15-2562.]	
ER16-372	DEC, DEP, DEBS	PJM revision of its OATT and Operating Agreement to allow Market Sellers to submit day-ahead offers that vary by hour and to allow Market Sellers to update offers in real time on an hourly basis under certain circumstances, filed 11/20/15. On 12/10/15 DEBS intervened on behalf of several affiliates. On 6/17/16 FERC rejected PJM's 11/20/15 filing and directed PJM to submit another compliance filing within 30 days. On 8/16/16 PJM submitted its compliance filing. On 2/3/17 FERC accepted the compliance filing and required a further compliance filing in 30 days. On 7/31/17 PJM amended its 3/6/17 filing to better describe how PJM will implement hourly offers and calculate penalties. On 9/29/17 PJM informed FERC of readiness to implement intraday offers by 11/1/17. On 6/19/18 PJM filed notice of appellate precedent on Market Monitor standing, and on 6/26/18 the PJM IMM responded. On 4/29/19 FERC conditionally accepted compliance filings and denied motions for clarification. On 6/24/19 FERC granted rehearing for further consideration. Waiting for FERC order.	Q2 2019
ER17-905	Duke Energy, DEC, DEP	NYISO proposed revisions to Joint Operating Agreement (JOA) with PJM, to address interchange scheduling and the implementation of Market-to-Market (M2M) coordination at the ABC Interface and JK Interface on the border of southeastern NY and northern NJ, filed	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		1/31/17. On 3/31/17 FERC accepted the filing. During second quarter 2017, parties intervened out-of-time, including Duke Energy on 4/13/17, and several requested rehearing. On 5/26/17 FERC granted rehearing for further consideration. On 10/6/17 FERC accepted PJM filing and denied NYISO request for rehearing. On 12/4/17 FERC granted rehearing for further consideration. Waiting for FERC order.	
ER17-950	Duke Energy, DEC, DEP	PJM proposed revisions to its OATT Schedule 12 appendices to revise cost responsibility assignments for Regional Facilities and Necessary Lower Voltage Facility and Lower Voltage Facilities included in the PJM Regional Transmission Expansion Plan (RTEP) due to termination of long-term firm point-to-point transmission service agreements entered between PJM and ConEd, filed 2/8/17 and amended 2/13/17. During second quarter 2017, parties intervened out-of-time, including Duke Energy on 4/6/17, and several parties filed answers. On 4/25/17 FERC accepted PJM's filings and required a compliance filing. On 4/26/17 PJM filed its compliance filing. On 6/20/17 FERC accepted PJM's compliance filing, and on 6/23/17 FERC granted rehearing for further consideration. See EL15-67 above. Settlement judge procedures continued.	Q2 2019
ER17-1138	Duke Energy, DEC, DEP	PJM revision of OATT and Reliability Assurance Agreement re enhancements to rules governing resources physically outside the PJM region that serve as capacity for loads in the PJM region, filed 3/9/17. On 3/28/17 Duke Energy intervened. On 11/17/17 FERC accepted PJM's proposal subject to conditions and required a compliance filing within 30 days. On 12/15/17 PJM filed compliance filing. On 1/16/18 FERC granted rehearing for further consideration of 11/17/17 order. On 1/18/18 PJM filed answer to request for	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		clarification. On 5/9/18 Southern Power Co. withdrew its request for rehearing, due to FERC order in ER18-1455. Waiting for FERC order.	
ER17-1357	DEC, DEP	Revision of Joint OATT to add Attachment W memorializing the methodology to calculate real power loss factors and to change the real power loss factor for DEC and DEP to be calculated June 1 annually, filed 3/31/17. On 9/27/17 FERC accepted and suspended rates, subject to refund, and established settlement judge procedures. On 12/13/18 DEP and DEP filed an offer of settlement and a motion to implement interim settlement rates. On 12/27/18 FERC granted that motion. On 3/7/19 the ALJ certified the uncontested settlement to the Commission. On 3/12/19 settlement judge procedures were terminated. On 4/18/19 FERC approved settlement agreement. On 5/17/19 DEC and DEP filed a settlement compliance filing. (Closed 6/30/19)	Q2 2019
ER17-1420	Duke Energy, DEC, DEP	PJM proposed revisions to OATT Schedule 12 Appendix A to incorporate cost responsibility assignments for new baseline upgrades included in the recent RTEP approved by the PJM Board of Managers, filed 4/13/17 and amended 4/28/17. During second quarter 2017, parties intervened, including Duke Energy on 5/18/17. On 6/1/17 FERC accepted PJM's filing. On 10/5/17 FERC issued order that accepted PJM's Tariff revisions, subject to the outcome of pending rehearing requests in EL15-95 and ER15-2563, with new cost assignments for Artificial Island Project to become effective 10/10/17 as requested and the proposed ministerial revisions to become effective 11/30/16. No further activity. [See EL15-95 and ER15-2563.]	Q2 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
ER17-1553	DEP	Proposed revision to PPA with Fayetteville PWC (FPWC), filed 5/5/17 and supplemented 5/25/17. On 7/20/17 FERC accepted filing. On 10/18/17 FPWC challenged DEP's 2015 true-up. On 4/23/18 Order granted formal challenge. On 5/23/18 DEP requested rehearing. On 6/20/18 FERC granted rehearing for further consideration. On 8/31/18 DEP filed a refund report, per the 4/23/18 order. On 3/19/19 FERC granted DEP's motion to hold request for rehearing in abeyance and to shorten answer period for responding to that motion. No further activity.	Q2 2019
ER18-136; ER18-137	Duke Energy, DEC, DEP	MISO and PJM amendment to Joint Operating Agreement with PJM to provide for a phased resolution of issues involving overlapping congestion charges affecting pseudo-tied generation in both RTOs, filed 10/23/17. On 5/31/18 MISO revised the effective date for the Phase 1 solution. On 6/12/18 Duke Energy intervened out-of-time. On 7/31/18 FERC accepted the tariff revisions and required informational filings monthly detailing progress towards filing and implementing a solution to the remainder of the overlapping congestion charges. On 8/30/18 MISO submitted the required filing, and American Municipal Power requested rehearing of 7/31/18 order. On 10/1/18 FERC granted rehearing for further consideration. Waiting for FERC order.	Q2 2019
ER18-459; ER18-460	Duke Energy, DEC, DEP	PJM and Ohio Valley Electric Corp. (OVEC) revisions to OATT, Operating Agreement, Resource Adequacy Agreement and Consolidated Transmission Owners Agreement (CTOA) in connection with OVEC's proposed integration into PJM, filed 12/15/17 and with errata filing for CTOA on 12/19/17. On 1/3/18 Duke Energy intervened. On 2/13/18 FERC accepted the PJM/OVEC filing. On 2/26/18 PJM and OVEC requested that FERC defer the	Q2 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		3/1/18 effective date until 6/1/18. On 7/2/18 FERC granted the motion to delay the effective date and the request for limited waiver. On 7/12/18 OVEC submitted its compliance filing. On 8/31/18 FERC accepted the 7/12/18 filing. On 9/27/18 PJM submitted a further compliance filing. On 10/29/18 FERC accepted the filing. On 11/30/18 OVEC filed compliance tariffs. On 4/4/19 FERC accepted compliance filings. (Closed 6/30/19)	
ER18-462	Duke Energy, DEC, DEP, DEBS	MISO request that FERC reaffirm that its existing Resource Adequacy-related Tariff provisions are just and reasonable, filed 12/15/17. On 12/21/17 Duke Energy intervened. On 2/28/18 FERC accepted MISO's filing. On 3/30/18 several parties requested rehearing of the 2/28/18 order. On 4/27/18 FERC granted rehearing for further consideration. Waiting for FERC order.	Q2 2019
ER18-614	Duke Energy, DEC, DEP	PJM revisions to OATT and Operating Agreement to incorporate cost responsibility assignments for 45 new baseline upgrades, including the first interregional transmission project between PJM and MISO, filed 1/5/18 and amended 1/9/18. On 2/1/18 Duke Energy intervened. On 7/2/18 FERC issued order on cost allocation report and tariff revisions and instituted a Section 206 proceeding. On 7/31/18 PJM submitted a compliance filing. On 8/1/18 PJM TOs submitted compliance filing. On 8/29/18 FERC granted rehearing for further consideration. On 6/20/19 FERC denied rehearing and accepted compliance filing. (Closed 6/30/19)	Q2 2019
ER18-1314	Duke Energy, DEC, DEP	PJM amendment to Reliability Pricing Model (RPM) rules in its OATT to propose 2 alternatives to address the price suppressing effects of state out-of-market support for certain resources, filed 4/9/18. On 4/30/18 Duke Energy intervened. On 6/29/18 FERC rejected the proposed tariff revisions, granted in part and denied in	Q2 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		part Calpine's complaint in Docket EL16-49 on PJM's Minimum Offer Price Rule, established a refund effective date, and invited comment in a new Section 206 proceeding, EL18-178. On 7/10/18 Calpine expressed concern about remedy FERC proposed. On 8/29/18 FERC granted rehearing for further consideration. On 3/11/19 PJM filed its information plan in preparation for 2022-23 Base Residual Auction. [See EL18-178 for update.]	
ER18-1730	Duke Energy, DEC, DEP	PJM amendment to OATT and Operating Agreement (OA) related to pseudo-ties from PJM into MISO, filed 6/1/18. On 6/12/18 Duke Energy intervened. On 7/31/18 FERC accepted tariff revisions. On 10/1/18 FERC granted rehearing for further consideration. Waiting for FERC order.	Q2 2019
ER18-2068	Duke Energy, DEC, DEP	PJM request for temporary waiver of certain Financial Transmission Rights (FTR) rules in the PJM OATT Attachment K Appendix and identical provisions of Operating Agreement Schedule 1, to ensure an orderly and efficient liquidation of the large FTR portfolio of a recently defaulted PJM member, to minimize distortion to the FTR markets, filed 7/26/18 and supplemented 8/24/18. During third quarter 2018, several parties intervened and filed comments, including Duke Energy on 8/16/18. On 1/30/19 FERC denied request for waiver. On 3/26/19 FERC granted rehearing for further consideration. Settlement judge procedures were initiated.	Q2 2019
ER18-2231	DEC	Revised depreciation accrual rates for use in formula rates for wholesale power sales and transmission service, filed 8/16/18. On 10/11/18 FERC accepted the filing. On 11/9/18 DEC filed compliance tariff. On 1/11/19 DEC filed revised tariff. On 4/29/19 FERC accepted DEC filing. (Closed 6/30/19)	Q2 2019

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<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
ER18-2362	Duke Energy, DEC, DEP	NTE Ohio, LLC proposed cost-based revenue requirement for the provision of Reactive Supply and Voltage Control from Generation Sources Service under Schedule 2 of the PJM OATT, filed 8/30/18. On 9/11/18 Duke Energy intervened. On 10/29/18 FERC established hearing and settlement judge procedures. Settlement judge procedures continued. On 6/21/19 FERC granted motion for interim implementation of settlement rates.	Q2 2019
ER19-19; ER19-25	Duke Energy, DEC, DEP	PJM revision to its OATT Attachment K and Operating Agreement, filed 10/1/18 in ER19-19. PJM also filed an alternative solution in the event that FERC declined to adopt the FTR proposal, filed 10/1/18 in ER19-25. On 10/22/18 Duke Energy intervened. On 11/29/18 FERC accepted the first proposal subject to conditions and rejected the alternative proposal. On 12/28/18 PJM filed a compliance filing. (Closed 6/30/19)	Q2 2019
ER19-210	Duke Energy, DEC, DEP	PJM revision to its OATT and Operating Agreement, filed 10/29/18. On 11/16/18 Duke energy intervened, and on 11/19/18 filed comments. On 2/14/19 PJM filed revised tariff following deficiency letter. On 4/15/19 FERC accepted PJM filing. (Closed 6/30/19)	Q2 2019
ER19-366	Duke Energy	Public Service Co. of Colorado (PSCO) revisions to its Large Generator Interconnection Procedures, filed 11/19/18. On 12/12/18 Duke Energy filed comments. On 1/31/19 FERC rejected filing. On 3/4/19 rehearing was requested. On 5/16/19 FERC denied rehearing and clarification requests. (Closed 6/30/19)	Q2 2019
ER19-718	DEC	Settlement agreement with Piedmont Municipal Power Agency and NCEMC to resolve complaint in EL17-83, filed 12/28/18. On 2/4/19 FERC accepted filing. On 4/29/19 DEC filed revised tariffs reflecting approval. On 6/7/19 FERC accepted compliance filing. (Closed 6/30/19)	Q2 2019



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<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
ER19-789	DEC, DEP	Notice of Cancellation of 4 OATT service agreements with Cube Hydro Partners, filed 1/9/19. On 3/6/19 FERC accepted the filing. (Closed 6/30/19)	Q2 2019
ER19-915	Duke Energy, DEC, DEP	MISO revisions to certain generator outage provisions of its Open Access Transmission, Energy, and Operating Reserve Markets Tariff, filed 1/30/19. On 2/19/19 Duke Energy intervened. Waiting for FERC order.	Q2 2019
ER19-1124; ER19-1125	Duke Energy, DEC, DEP	MISO revision to its Open Access Transmission, Energy and Operating Reserve Markets Tariff and new Attachment FF-7 to expand and clarify identification and cost allocation of transmission facilities providing regional and local economic benefits in the MISO footprint, filed 2/25/19. Duke Energy intervened on 2/25/19 (ER19-1125) and 2/28/19 (ER19-1124). On 6/24/19 FERC rejected tariff revisions.	Q2 2019
ER19-1156	Duke Energy, DEC, DEP	MISO revision to its Open Access Transmission, Energy and Operating Reserve Markets Tariff to cost allocation methodology for MISO's share of the cost of certain Interregional Economic Projects with PJM and Southwest Power Pool, Inc., filed 2/28/19. On 3/25/19 Duke Energy intervened. On 6/24/19 FERC rejected proposed revisions and compliance filing and required further compliance filing.	Q2 2019
ER19-1160	DEP	Revision to Amended and Restated Power Supply and Coordination Agreement with NCEMC (Rate Schedule No. 182), filed 3/1/19. On 4/19/19 FERC accepted filing. (Closed 6/30/19)	Q2 2019
ER19-1167	DEC	Notice of Cancellation of 3 OATT service agreements with NRG Power Marketing LLC and Noble Americas Gas & Power Corp., filed 2/28/19. On 4/1/19 FERC accepted filing. (Closed 6/30/19)	Q2 2019

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<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
ER19-1250	DEC	Revised NITSA with Rutherford EMC, filed 3/12/19. On 4/26/19 FERC accepted filing. (Closed 6/30/19)	Q2 2019
ER19-1312	DEP	Notice of Cancellation of Facilities Upgrade Agreement with Dominion, Rate Schedule No. 203, filed 3/15/19. On 4/23/19 FERC accepted filing. (Closed 6/30/19)	Q2 2019
ER19-1339	DEP	Revisions to Joint OATT Formula Transmission Rates to allow recovery of DEP 2018 storm costs, filed 3/12/19 and revised 5/3/19. On 5/14/19 FERC accepted filing. (Closed 6/30/19)	Q2 2019
ER19-1403	DEC	Notice of Cancellation of 3 OATT service agreements with J.P. Morgan Ventures Energy Corp. and Calpine Power Services Corp., filed 3/21/19. On 4/23/19 FERC accepted filing. (Closed 6/30/19)	Q2 2019
ER19-1476	DEP	Revision to Amended and Restated Power Supply and Coordination Agreement with Fayetteville Public Works Commission (Rate Schedule No. 184), filed 3/29/19. On 5/20/19 FERC accepted filing. (Closed 6/30/19)	Q2 2019
ER19-1507	DEC, DEP	Amendment to Joint OATT provisions re Large Generator Interconnection Procedures, in compliance with Order Nos. 845 and 845-A, filed 4/2/19, 4/24/19 and 5/20/19. On 6/13/19 FERC found the filings deficient.	Q2 2019
ER19-1526	DEC	Notice of Cancellation of power purchase agreements with cities of Concord and Kings Mountain, NC and Greenwood, SC, filed 4/5/19. On 5/9/19 FERC accepted filing. (Closed 6/30/19)	Q2 2019
ER19-1565	DEC	Notice of cancellation of NITSAs with AEP Service Corp., filed 4/12/19. On 5/21/19 FERC accepted filing. (Closed 6/30/19)	Q2 2019
ER19-1576	DEC, DEP	Clean-up revisions to Joint OATT formula transmission rates to delete obsolete provisions, eliminate ambiguity, and correct formatting errors, filed 4/15/19. On 6/11/19 FERC accepted filing. On 6/20/19 DEP filed compliance tariffs.	Q2 2019

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<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
ER19-1651	Duke Energy, DEC, DEP	PJM Offer of Settlement for 2 complaint dockets on automated frequency regulation (EL17-64 and EL17-65) and request for waiver of regulations necessary to effectuate settlement, filed 4/23/19. On 5/21/19 Duke Energy intervened. Waiting for FERC order.	Q2 2019
ER19-1692	DEP	Notice of termination of 5 power supply and coordination agreements with towns of Black Creek, Lucama, Sharpsburg, Stantonsburg and Winterville, filed 4/29/19. On 6/7/19 FERC accepted filing. (Closed 6/30/19)	Q2 2019
ER19-1722	DEC	Revised power purchase agreements with towns of Prosperity, SC and Due West, SC, filed 4/30/19. On 6/10/19 FERC accepted filing. (Closed 6/30/19)	Q2 2019
ER19-1724	DEC	Revised power purchase agreement with Central EPC, filed 4/30/19. On 6/11/19 FERC accepted filing. (Closed 6/30/19)	Q2 2019
ER19-1786	DEP	Revised Partial Requirements Services Agreement with Haywood EMC, filed 5/6/19. On 6/18/19 FERC accepted filing. (Closed 6/30/19)	Q2 2019
ER19-1788	DEP	Revised Partial Requirements Services Agreement with Piedmont EMC, filed 5/6/19. On 6/18/19 FERC accepted filing. (Closed 6/30/19)	Q2 2019
ER19-1789	DEC	Amended power purchase agreements with Blue Ridge EMC, Piedmont EMC, Rutherford EMC and Haywood EMC, filed 5/6/19. On 6/3/19 FERC accepted filing. (Closed 6/30/19)	Q2 2019
ER19-1876	DEC, DEP	Petition for waiver of provisions of transmission formula rates to address discrete effects of the Tax Cuts & Jobs Act in 2019 annual updates, filed 5/15/19. Waiting for FERC order.	Q2 2019
ER19-1932	DEP	Certificate of Concurrence to Amended Joint Operating Agreement with PJM Interconnection, filed 5/22/19. Waiting for FERC order.	Q2 2019

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<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
ER19-2068; ER19-2170	DEC, DEP	Dynamic Transfer Agreements with Towns of Black Creek, Lucama, Sharpsburg, Stantonburg and Winterville, filed by DEC 6/7/19 and Certificate of Concurrence filed by DEP 6/17/19. Waiting for FERC order.	Q2 2019
ER19-2105	DEC, DEP	PJM Transmission Owners' revisions to PJM OATT Schedule 7 and 8 to update the PJM Border rate, filed 6/11/19. Duke Energy intervened on 6/28/19.	Q2 2019
ER19-2106	DEP	Petition for waiver of provisions of formula rate power sales agreements with wholesale customers to address discrete effects of the Tax Cuts & Jobs Act in annual process to true-up 2018 rates, filed 6/11/19. Waiting for FERC order.	Q2 2019
ER19-2258	DEC	Revised NITSA with NCEMC, filed 6/26/19. Waiting for FERC order.	Q2 2019
PL18-1	Duke Energy, DEC, DEP	Notice of Inquiry re certification of new interstate natural gas facilities in light of changes in the natural gas industry and increased stakeholder interest in how FERC reviews natural gas pipeline proposals, issued 4/19/18. On 8/16/18 Duke Energy filed comments on behalf of its subsidiaries. Comment period continued. No further activity	Q2 2019
PL19-3	Duke Energy, DEC, DEP	Notice of Inquiry re FERC's electric transmission incentives policy, issued 3/21/19. On 6/26/19 Duke Energy filed comments. Comment period continued.	Q2 2019
P-432	DEP	Walters Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q2 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
P-2206	DEP	Yadkin-Pee Dee Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 10/29/18 FERC acknowledged notification of the inoperability of the Blewett Falls Hydro Station due to extreme flooding conditions experienced during Hurricane Florence. License Amendment: On 11/20/18 DEP filed a Non-Capacity License Amendment Application for several changes to the license, one of which is the installation of an inflatable gate on the Blewett Falls Spillway that will replace the existing wooden flash board system. Other changes include minor edits to Exhibit A, a revised Authorized Installed Capacity due to the calculation using 18 CFR 11(i), and revisions to the upstream American eel and downstream American shad passage requirements of the New License. On 5/8/19 FERC issued an Order approving the License Amendment, with the exception of the Authorized Installed Capacity. This will be recalculated to include the house unit at the Tillery Development.	Q2 2019
P-2232	DEC	Catawba-Wateree Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. We filed our compliance report for 2018 operations by 6/30/19.	Q2 2019

## Attachment A

## Open FERC Dockets – Second Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
P-2331	DEC	Ninety-Nine Islands Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. Responding to our submittal to the FERC on 12/6/16, on 5/14/19 FERC issued an Order approving our proposed water balance methodology utilizing sequential reservoir storage changes adjusted by measured Project flow releases, to quantify an estimate of 15-minute Project inflows (used in certain continuous minimum flow compliance determinations). In adopting our proposed methodology, FERC amended the Streamflow and Reservoir Gaging Plan to remove a former requirement to install and maintain an additional US Geological Survey gaging station at the Project headwaters.	Q2 2019
P-2332	DEC	Gaston Shoals Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 8/9/18 DEC filed an application with FERC to transfer Project License to Northbrook Carolina Hydro II, LLC consistent with a pending sales agreement that includes the Gaston Shoals Project and other DEC hydro assets. In response, FERC issued a Notice of Application for Transfer of Licensee 10/9/18. FERC issued an Order Approving Transfer of Licenses for Gaston Shoals and the other projects on 12/27/18, contingent on the parties fulfilling the transfer of licensee records and	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		FERC receipt from Northbrook of all instruments of conveyance and signed acceptance sheets. Due to extended timeframe for approval of the sale of assets by the NCUC and the PSCSC, on 1/18/19 DEC and Northbrook requested an extension until 8/26/19 to file documents required by the Order Approving Transfer of License. On 6/6/19 and 6/26/19, the NCUC and the PSCSC, respectively, had issued Orders granting approval of the (collective NC/SC hydro projects) asset sale and transfer. The sale and final transfer of license remained pending, with a proposed 8/9/19 closing date.	
P-2380	DEP	Marshall Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q2 2019
P-2503	DEC	Keowee-Toxaway Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q2 2019
P-2601	DEC	Bryson Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 8/9/18 DEC filed an application with FERC to transfer the Project License to Northbrook Carolina Hydro	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		II, LLC (Northbrook) consistent with a pending sales agreement that includes the Bryson Hydro Project and other DEC hydro assets. In response, FERC issued a Notice of Application for Transfer of Licensee on 10/9/18. FERC issued Order Approving Transfer of Licenses for Bryson and the other projects on 12/27/18, contingent on the parties fulfilling the transfer of licensee records and FERC receipt from Northbrook of all instruments of conveyance and signed acceptance sheets. Due to extended timeframe for approval of the sale of assets by the NCUC and the PSCSC, on 1/18/19 DEC and Northbrook requested an extension until 8/26/19 to file documents required by the Order Approving Transfer of License. On 6/6/19 and 6/26/19 the NCUC and the PSCSC, respectively, had issued Orders granting approval of the (collective NC/SC hydro projects) asset sale and transfer. The sale and final transfer of license remained pending, with a proposed 8/9/19 closing date.	
P-2603	DEC	Franklin Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 8/9/18 DEC filed an application with FERC to transfer the Project License to Northbrook Carolina Hydro II, LLC (Northbrook) consistent with a pending sales agreement that includes the Franklin Hydro Project and other DEC hydro assets. In response, FERC issued a Notice of Application for Transfer of Licensee 10/9/18. FERC issued Order Approving Transfer of Licenses for Franklin and the other projects 12/27/18, contingent on the parties fulfilling the transfer of licensee records and FERC receipt	Q2 2019



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## Open FERC Dockets – Second Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		from Northbrook of all instruments of conveyance and signed acceptance sheets. Due to extended timeframe for approval of the sale of assets by the NCUC and the PSCSC, on 1/18/19 DEC and Northbrook requested an extension until 8/26/19 to file documents required by the Order Approving Transfer of License. On 6/6/19 and 6/26/19 the NCUC and the PSCSC, respectively, had issued Orders granting approval of the (collective NC/SC hydro projects) asset sale and transfer. The sale and final transfer of license remained pending, with a proposed 8/9/19 closing date.	
P-2619	DEC	Mission Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 8/9/18 DEC filed an application with FERC to transfer the Project License to Northbrook Carolina Hydro II, LLC (Northbrook) consistent with a pending sales agreement that includes the Mission Hydro Project and other DEC hydro assets. In response, FERC issued a Notice of Application for Transfer of Licensee on 10/9/18. FERC issued Order Approving Transfer of Licenses for Mission and the other projects on 12/27/18, contingent on the parties fulfilling the transfer of licensee records and FERC receipt from Northbrook of all instruments of conveyance and signed acceptance sheets. Due to extended timeframe for approval of the sale of assets by the NCUC and the PSCSC, on 1/18/19 DEC and Northbrook requested an extension until 8/26/19 to file documents required by the Order Approving Transfer of License. On 6/6/19 and 6/26/19 the NCUC and the PSCSC, respectively, had issued Orders	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
		granting approval of the (collective NC/SC hydro projects) asset sale and transfer. The sale and final transfer of license remained pending, with a proposed closing date of 8/9/19.	
P-2686	DEC	West Fork Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q2 2019
P-2692	DEC	Nantahala Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q2 2019
P-2694	DEC	Queens Creek Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q2 2019
P-2698	DEC	East Fork Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
P-2740	DEC	Bad Creek Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 4/23/18 DEC filed a Non-Capacity Amendment Application with the FERC to upgrade all four generating units at the project resulting in an increase of the installed capacity from 1065 MW to 1400 MW. On 8/6/18 FERC issued an Order approving the license amendment request to upgrade the generating units.	Q2 2019
RP13-1359	DEC, DEP	Transco cash-out report for the period 8/1/12 – 7/31/13 and report of cash-out refunds during that period, filed 9/27/13. DEC and DEP intervened on 10/9/13. (Closed 6/30/19)	Q2 2019
RP18-415	DEC, DEP	Petition by coalition of natural gas industry for FERC to initiate a show cause proceeding to require interstate pipeline and storage companies to demonstrate that rates are just and reasonable following passage of the federal tax reform legislation in December 2017. On 2/13/18 DEC and DEP intervened. On 7/18/18 FERC issued Order No. 849 which adopts procedures and Form 501-G for determining which interstate natural gas pipelines may be collecting unreasonable rates in light of the Tax Cuts and Jobs Act and FERC's revised policy concerning tax allowances to address the double recovery issue. On 8/17/18 National Gas Pipeline of America requested rehearing. On 9/17/18 FERC granted rehearing for further consideration. On 4/18/19 FERC issued Final Rule, Order No. 849-A, and denied rehearing. (Closed 6/30/19)	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
RP18-1126	DEC, DEP	Transco rate increase application, filed 8/31/18. During third quarter 2018, many parties intervened, filed comments and protests including DEC and DEP on 9/12/18. On 9/28/18 FERC issued an order accepting and suspending the tariff records, subject to refund and establishing hearing and settlement procedures. Settlement conferences were held, and status reports filed. Settlement procedures continued.	Q2 2019
RP18-1221	DEC, DEP	Transco cash-out report for the year ended 7/31/18, filed 9/26/18. On 10/9/18 DEC and DEP intervened. (Closed 6/30/19)	Q2 2019
RP19-63	DEP	East Tennessee Natural Gas report on effect of Tax Cuts and Jobs Act, filed 10/11/18. On 10/23/18 DEP intervened. On 11/30/18 FERC accepted the rate reduction and established a hearing schedule to consider whether a further rate decrease is justified. Procedural schedule was set 1/2/19 (with decision expected by 1/8/20). Proposed settlement was filed 5/23/19. Waiting for FERC order.	Q2 2019
RP19-270	DEP	Dominion Energy Carolina Gas Transmission report on effect of Tax Cuts and Jobs Act, filed 11/8/18. On 11/20/18 DEP intervened. On 4/4/19 FERC terminated the Form 501-G proceeding. (Closed 6/30/19)	Q2 2019
RP19-987	DEC, DEP	East Tennessee Natural Gas, LLC 2017-2018 Cashout Report, filed 3/28/19. On 4/9/19 DEC and DEP intervened.	Q2 2019
RP19-1098	DEC	Trunkline Gas Co. revised gas tariff, filed 4/2/19. On 4/15/19 Duke Energy intervened. On 5/24/19 FERC accepted Trunkline filing. (Closed 6/30/19)	Q2 2019
RP19-1225	DEC, DEP	Transco compliance filing per 9/7/18 order in RP18-314, filed 5/16/19. On 5/28/19 DEC and DEP intervened. On 6/18/19 FERC accepted Transco filing. (Closed 6/30/19)	Q2 2019

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## Open FERC Dockets – Second Quarter 2019

<b>Docket No(s).</b>	<b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b>	<b>Description of Proceeding</b>	<b>Quarter Reported Pursuant to Reg. Condition 3.10</b>
RP19-1308	DEC, DEP	Transco tariff to track rate changes attributable to storage service purchased from Texas Eastern, filed 6/5/19. On 6/17/19 DEC and DEP intervened. On 6/26/19 FERC accepted Transco filing. (Closed 6/30/19)	Q2 2019
TS19-1	Duke Energy, DEC, DEP	AMP Transmission, LLC application for waiver of standards of conduct requirements, filed 10/12/18. On 11/1/18 Duke Energy intervened on behalf of utilities. No further activity.	Q2 2019

## Attachment B

## Periodic Reports Filed with FERC – Second Quarter 2019

<b>Docket No(s).</b>	<b>Filing Entity (Duke Energy, DEC, DEBS)</b>	<b>Description of Report</b>
N/A	DEC, DEP	Form 1 – Annual Report for Major Electric Utilities, Licensees and Others
N/A	DEC, DEP	Form 3Q – Quarterly Financial Report
N/A	DEBS	Form 60 – Annual Report by Centralized Service Company
N/A	Duke Energy	FERC-61 – Narrative Description of Service Company Functions
ID-3916, et al	Duke Energy	Form 561 – Annual Report of Interlocking Positions
N/A	DEC, DEP	FERC No. 582 – Annual Charges Report
N/A	DEC, DEP	Form 714 – Annual Electric Balancing Authority Area and Planning Report
ER09-1165; ER11-3585	DEC, DEP	Annual Update for OATT Formula Transmission Rates
OA07-53; OA08-100	DEC, DEP	Annual Operational Penalty Assessments and Distributions
ZZ19-1	DEC, DEP	Independent Auditor's Report

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Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
RM16-17	Duke Energy	Notice of Proposed Rulemaking (NOPR) to collect data for analytics and surveillance purposes from MBR sellers and entities trading virtual products or holding financial transmission rights, issued 7/21/16. On 9/16/16 Duke Energy submitted comments to supplement those of EEI, focusing on the path to create an implementable Data Dictionary and recommending a supplemental NOPR to address software development time and costs. During third quarter 2016, FERC held a technical workshop 8/11/16 on the draft Data Dictionary. On 11/2/16 FERC scheduled a second technical workshop to be held 12/7/16. No further activity.	Q <del>2</del> 2019
RM16-23	Duke Energy, DEC, DEP	NOPR to revise regulations to remove barriers to the participation of electric storage resources and distributed energy resource aggregations in RTO/ISO capacity, energy and ancillary service markets, issued 11/16/16. On 2/13/17 Duke Energy filed comments on behalf of its affiliates, urging FERC to treat energy storage resources in a comparable manner to current resources. On 2/15/18 FERC issued its final rule, Order No. 841, requiring each RTO/ISO to revise tariffs to establish a participation model with market rules that facilitate participation of electric storage resources in RTO/ISO markets, to be effective 90 days after publication in the Federal Register. On 2/28/18 FERC issued an errata notice correcting the table of contents for Order No. 841. During first quarter 2018, several parties filed motions for clarification or requests for rehearing. During second quarter 2018, comments, answers to requests for rehearing, and another request for rehearing were filed. On 4/13/18 FERC granted rehearing for further consideration. On 6/26/18 Xcel Energy Services requested rehearing. On 11/16/18 FERC issued Correcting Amendment, which restores regulatory text from Order Nos. 831 and	Q <del>2</del> 2019

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Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		831-A as new paragraph 18 CFR § 35.28(g)(11) but doesn't alter previous requirements or effective dates. <del>(Closed 3/31/19)</del> <u>On 5/16/19 FERC issued order on rehearing and clarification, Order No. 841-A, reaffirming Order No. 841. (Closed 6/30/19)</u>	
RM17-8	Duke Energy	NOPR on reform of large generator interconnection procedures and agreements, issued 12/15/16. During first and second quarters 2017, many parties filed comments, including Duke Energy on 4/13/17. <del>During third quarter 2017, 2 parties intervened out of time.</del> On 2/2/18 FERC issued notice of technical conference to be held 4/3-4/18 to discuss issues related to coordination of affected systems raised in complaint filed by EDF Renewable Energy, Inc. against MISO, SPP and EJM in EL18-26 and the NOPR on generator interconnection in this docket. <del>During first quarter 2018, FERC issued supplemental notices with the agenda and list of speakers.</del> On 4/19/18 FERC issued Order No. 845, final rule amending the <i>pro forma</i> large generator interconnection procedures (LGIP) and agreement (LGIA), to improve certainty and enhance interconnection processes, effective 75 days after publication in the Federal Register. <del>During second quarter 2018, several parties filed comments, requests for rehearing, answers to requests for rehearing, and requests for extension of time to comply with new requirements.</del> On 6/18/18 FERC granted rehearing for further consideration. <del>On 9/24/18 EEI requested extension of time to submit compliance filing, which Wind Energy Assoc. subsequently opposed.</del> On 10/3/18 FERC extended the date for compliance with Order No. 845. On 10/15/18 AWEA filed a request for rehearing of that order, which FERC subsequently denied on 11/13/18. On 2/21/19 FERC granted requests for rehearing of Order No. 845. <u>On 4/23/19 FERC granted rehearing for further consideration.</u>	<del>Q2</del> 2019



## Attachment A

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
RM18-1	Duke Energy	Notice inviting comments on DOE rulemaking on grid reliability and resilience pricing, issued 10/2/17. On 10/23/17 Duke Energy filed initial comments. On 11/23/17 Duke Energy filed reply comments. During fourth quarter 2017, thousands of comments were filed. On 1/8/18 FERC terminated the rulemaking proceeding, initiated a new proceeding (AD18-7) to evaluate the resilience of the bulk power system in RTO/ISO regions, and required RTOs/ISOs to submit information, within 60 days, on resilience issues and concerns raised in comments. During first quarter 2018, more comments were filed and one request for rehearing. On 3/8/18 FERC granted rehearing for further consideration. On 12/18/18 NRDC et al filed a motion for recusal of Commissioner McNamee. No further activity.	Q <del>2</del> + 2019
RM19-5	Duke Energy, DEC, DEP	NOPR to require all transmission providers with transmission rates under an OATT, a transmission owner tariff, or a rate schedule to revise those rates to account for changes caused by the Tax Cuts and Jobs Act of 2017, issued 11/15/18. On 1/22/19 Duke Energy intervened. Comments were filed. <u>No further activity.</u>	Q <del>2</del> + 2019
AC13-170	DEP	DEP filed request to use Account 182.2 “Unrecovered Plant” on 9/18/13. On 10/2/13 NCEMPA challenged the request. FERC allowed comments until 10/28/13. NCEMPA, Fayetteville PWC and NCEMC all filed protests by 10/28/13. DEP responded to the protests on 11/13/13. On 10/20/14 DEP filed a motion to hold application in abeyance. On 10/24/14 DEP filed settlement agreement resolving all issues. On 11/13/14 FERC Trial Staff filed comments on the settlement, and on 11/21/14 DEP filed reply comments. No further activity.	Q <del>2</del> + 2019
AC19-75	Duke Energy	Request for approval to treat Cybersecurity Informational Technology-Operational Technology program as a single project for	Q <del>2</del> + 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		purposes of in-service and accrual of Allowance for Funds Used During Construction, filed 3/13/19. <u>On 4/16/19 Duke Energy filed its answer to protests. Waiting for FERC order.</u>	
<u>AC19-110</u>	<u>DEC</u>	<u>Accounting entries related to Buck early retired plant, filed 4/18/19 and supplemented 6/14/19, per settlement agreement in EL17-83 and ER19-718.</u>	<u>Q2 2019</u>
AD16-16	Duke Energy	Notice of Technical Conference on PURPA implementation, to be held 6/29/16, issued 2/9/16. On 6/7/16 Duke Energy filed comments and speaker materials of Kendal Bowman, urging FERC to return to a needs-based implementation of PURPA, with rates established through actual incremental bona fide offers in a nondiscriminatory process. On 9/6/16 FERC invited post-conference comments by 11/7/16. On 11/7/16 Duke Energy Corp. filed comments urging FERC to implement PURPA in a manner that reflects all of the goals in the statute, the principles of cooperative federalism set forth in PURPA, and decades of judicial and Commission precedents; and encouraged FERC not to intrude into the States' PURPA rate-making jurisdiction in order to prioritize a single subordinate element of §210(a), e.g. mandating long-term contracts. <del>During fourth quarter 2016 M</del> many other parties filed comments. <del>On 1/5/17 NARUC filed a motion to append an addendum to its 11/7/16 comments. During third quarter 2017, Xcel Energy supplemented its 11/7/16 post technical conference comments; Alliant Energy and IECA filed their testimony before the US House Subcommittee on Energy. On 10/5/17 Xcel filed its testimony before the US House Subcommittee on Energy. During fourth quarter 2017, FERC responded to several Representatives who filed comments. During second quarter 2018, several parties filed supplemental comments, including EEI. During</del>	<del>Q2</del> 2019

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Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<del>third and fourth quarters 2018, several parties filed comments.</del> Waiting for FERC order.	
AD16-18	Duke Energy	Competitive Transmission Development – Technical Conference. On 5/31/16 Duke Energy filed comments prior to the 6/27-28/16 technical conference, stating that it's far too soon after implementation of Order No. 1000 to embark on reforms. During second quarter 2016, many other parties filed comments and speaker materials. On 10/3/16 Duke Energy Corp. filed comments stating that Duke has no objection to FERC issuing policy guidance on cost containment mechanisms proposed in competitive transmission processes as warranted and requested, but does not support the issuance of a rulemaking at this time or the sort of guidance that triggers new processes where existing processes are meeting the goals of Order No. 1000. On 7/2/18 the US House Subcommittee on Energy requested an update on FERC's reconsideration of Order No. 1000 requirements, noting that FERC has not taken any action on reconsideration. <del>No further activity</del> Waiting for FERC order.	<del>Q2</del> 2019
AD16-20	Duke Energy	Data requests to RTOs/ISOs on Electric Storage Participation in Regions with Organized Markets, issued 4/11/16. On 6/6/16 Duke Energy submitted comments expressing support for FERC efforts to better understand RTO/ISO market rules' impact on storage technology and participation of energy storage resources in wholesale markets. During second quarter 2016, the RTOs/ISOs responded to the data request. On 11/17/16 FERC issued a NOPR on electric storage participation in RTO/ISO markets. On 2/15/18 FERC issued Order No. 841, final rule in electric storage participation in RTO/ITO markets. On 4/13/18 FERC granted rehearing for further consideration. During second quarter 2018, Advanced Energy	<del>Q2</del> 2019

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Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		Economy filed an answer to requests for rehearing, and Xcel filed a request for rehearing. On 11/16/18 FERC issued an amendment correcting an error in 18 CFR 35.28(g), caused by an omission in Order Nos. 831 and 831-A. <del>(Closed 3/31/19)</del> <u>On 5/16/19 FERC issued order on rehearing and clarification, Order No. 841-A, reaffirming Order No. 841. (Closed 6/30/19)</u>	
AD17-11	Duke Energy	Notice of Technical Conference re State Policies and Wholesale Markets Operated by ISO-NE, NYISO and PJM, issued 3/3/17. Technical conference was held 5/1/17 and 5/2/17. During second quarter 2017 hundreds of parties filed comments before and after the technical conference, including Duke Energy on 6/22/17. Per 6/30/17 notice granting extension, reply comments were due 7/7/17. During third quarter 2017, many parties intervened and filed comments. During first quarter 2018, the American Council on Renewable Energy filed comments, and FERC responded to letters from members of Congress. <del>No further activity.</del> <u>Waiting for FERC order.</u>	Q <del>2</del> 2019
AD18-7	Duke Energy, DEC, DEP	New proceeding to evaluate the resilience of the bulk power system in regions operated by RTOs/ISOs, directing each RTO/ISO to submit information within 60 days, allowing comments 30 days after RTO/ISO submissions, and terminating RM18-1, issued 1/8/18. <del>During first quarter 2018, dozens of parties filed comments and requests for rehearing.</del> On 3/8/18 FERC granted rehearing for further consideration. On 3/9/18 the RTOs filed the required information. On 5/9/18 Duke Energy filed reply comments. <del>During second and third quarters 2018, dozens of</del> <u>Many</u> other parties filed reply comments. During fourth quarter 2018, two parties filed motions for recusal of Commissioner McNamee. Waiting for FERC order.	Q <del>2</del> 2019

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Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
AD18-10	Duke Energy, DEC, DEP	Notice of Technical Conference to be held 4/10-11/18 to discuss the participation of distributed energy resource (DER) aggregations in RTO and ISO markets and to more broadly discuss the potential effects of DERs on the bulk power system, issued 2/15/18. On 3/29/18 FERC issued the agenda. On 4/10/18 FERC issued the transcript of the technical conference. On 4/27/18 FERC allowed comments to be filed within 60 days. On 6/26/18 Duke Energy Corp. filed comments. During second quarter 2018, several other parties filed comments. Comments filed. Waiting for FERC order.	Q <del>2</del> 2019
CP13-551	DEC, DEP	Transcontinental Gas Pipe Line Co. (Transco) application for a certificate of public convenience and necessity to construct and operate the Leidy Southeast Project, to be in service by 12/1/15, filed 9/28/13. DEC and DEP intervened on 10/23/13. On 6/12/14 FERC issued its schedule for environmental review of the project. On 12/18/14 FERC issued certificate and approved abandonment of facilities. On 12/19/14 filed its acceptance of certificate. On 4/28/15 and 5/19/15 FERC granted Transco's requests to proceed with construction of certain facilities for the project. On 7/13/15 FERC granted Transco's request to use areas as additional temporary workspace. On 12/30/15 and 12/31/15 FERC authorized commencement of service for facilities but required Transco to continue filing status reports until restoration activities are complete. On 3/3/16 FERC denied requests for rehearing of 12/18/14 order granting CPCN. During second and third quarters 2016, Transco filed various reports. On 11/28/16 FERC granted the request to place the Skillman Loop in service. Construction and restoration activities continued.	Q <del>2</del> 2019

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Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
CP14-68	Duke Energy, DEC, DEP, DEBS	Texas Eastern Transmission, LP abbreviated application for a certificate of public convenience and necessity (CPCN) for its proposed Ohio Pipeline Energy Network Project to deliver production from Utica and Marcellus Shale to Gulf Coast area markets, filed 1/31/14 and revised 3/7/14. Duke Energy intervened on behalf of DEC, DEP, DEBS and other utilities on 2/11/14. On 2/25/14 DEC and DEP also intervened separately. On 6/24/14 FERC issued its schedule for environmental review of the project, which was revised on 8/11/14. FERC issued environmental assessment 8/22/14. On 12/2/14 FERC issued a certificate. On 12/5/14 Texas Eastern filed its acceptance of the certificate and subsequently on 12/15/14 and 12/22/14 submitted its implementation plan. On 4/16/15 FirstEnergy intervened out of time and requested a stay of construction authorized in Columbiana County, OH. On 4/24/15 Texas Eastern objected to the late intervention and the stay. On 4/30/15 FirstEnergy filed its answer and renewed its request for expedited action on its motion for stay. After FERC opened a new docket (RP15-971), FirstEnergy on 5/6/15 filed a motion for abeyance of action on prior motions in this docket. On 11/17/15 FERC granted request to place in service the remaining OPEN facilities not included in prior in-service approvals. On 12/1/15 Texas Eastern filed notice of commencement of service on those remaining facilities. Post-construction activities continued.	Q <del>2</del> 2019
CP15-16	DEC, DEP	Transco application for a CPCN for Hillabee Expansion Project, filed 11/18/14, and supplemented on 12/22/14 and 12/23/14. On 12/22/14 DEC and DEP intervened. On 5/13/15 FERC issued schedule for environmental review of the Southeast Market Pipelines Project, of which Transco's application is part. <del>During third quarter 2015, Transco filed the Draft Environmental Impact Statement. During</del>	Q <del>2</del> 2019

## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<p><del>fourth quarter 2015, public meetings were held; comments were filed; and Transco filed Final Environmental Impact Statement (EIS).</del> On 2/2/16 FERC issued CPCN. On 3/2/16 Transco filed its acceptance of CPCN, and several parties filed requests for rehearing of 2/2/16 order. On 3/29/16 FERC granted rehearing for further consideration of its 2/2/16 order. On 9/7/16 FERC denied requests for clarification of its 2/2/16 order with respect to Transco accounting for fuel costs via fuel tracker filings. <del>During fourth quarter 2016, Transco filed variance requests and various reports; and FERC issued an Environmental Inspection Report.</del> On 5/18/17 Sierra Club asked FERC to deny requests until DC Circuit Court rules on pending matter. <del>During third quarter 2017, Transco filed status reports and supplemental information.</del> On 9/27/17 FERC issued Draft Supplemental EIS. On 2/2/18 Transco filed an emergency request for issuance of the Final Supplemental EIS concurrently with an order on remand reissuing the certificates for Sabal Trail, Florida Southeast Connection and the Hillabee expansion projects (the Southeast Market Pipeline or SMP), to allow continued gas transportation service following the DC Circuit Court's 8/22/17 decision and 1/31/18 order denying rehearing. <del>Several parties filed responses to Transco's request.</del> On 2/5/18 FERC issued the Final Supplemental EIS. On 3/14/18 FERC issued its order on remand, reinstating certificates and abandonment authority for the SMP project. On 8/10/18 FERC denied requests for rehearing and dismissed request for stay as moot. <del>During fourth quarter 2018, Transco filed reports, supplemental information and variance requests.</del> Construction activities continued.</p>	



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
CP15-29	DEC, DEP	Transco's application for a CPCN for Gulf Trace Expansion Project, filed 12/15/14. On 1/12/15 DEC and DEP intervened. <del>On 9/14/15 Transco filed comments and clarifications on draft Environmental Assessment.</del> On 10/21/15 FERC issued the CPCN, with conditions including completion of construction and placement into service within 2 years. On 11/3/15 Transco accepted the CPCN. On 11/20/15 Transco requested clarification or rehearing on air emissions modeling requirements. On 12/14/15 FERC granted rehearing for further consideration. On 6/16/16 FERC dismissed Transco's rehearing request as moot. During fourth quarter 2016, FERC issued Environmental and Construction Inspection Reports and granted the request to place the Duralde Meter Station facilities into service. <del>During first and second quarters 2017, Transco filed status reports, supplemental information and various requests; and FERC responded to requests and issued an Environmental Inspection Report.</del> On 9/10/18 FERC issued inspection report finding Transco in compliance with the environmental conditions of the 10/21/15 Order Issuing Certificate for the Project and recommending no further inspections. Restoration activities continued.	Q <del>2</del> 2019
CP15-89	DEC, DEP	Transco's application for an abbreviated CPCN for Garden State Expansion Project, filed 2/18/15. On 3/23/15 DEC and DEP intervened. On 2/18/16 Transco filed draft implementation plan. On 3/28/16 Transco renewed its request for prompt approval of CPCN. On 4/7/16 FERC issued certificate. On 4/11/16 Transco accepted certificate. On 6/8/16 FERC denied the stay but granted rehearing for further consideration. On 7/1/16 Transco filed a request for partial notice to proceed with construction. On 11/9/16 FERC denied requests for rehearing. On 1/6/17 the town of Bordentown, NJ filed a	Q <del>2</del> 2019



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		petition for review with the 3d Cir. Court of Appeals. On 3/22/17 Bordentown protested the request for a notice to proceed. On 3/24/17 FERC approved the 3/21/17 request to construct the Chesterfield Meter Station and related equipment. On 3/29/17 Bordentown filed a motion for stay of the 3/24/17 notice to proceed. On 4/3/17 Transco filed answer to 3/29/17 motion to stay. On 9/8/17 FERC allowed Transco to commence service of Phase I facilities. On 3/9/18 Transco requested to place into service phase 2 of the Garden State Expansion project, which FERC granted 3/16/18. D On 3/29/18 Transco notified FERC that phase 2 had been placed into service on 3/23/18. During second and third quarters 2018, Transco filed various reports and certification of environmental compliance. On 9/5/18 3 <sup>rd</sup> Cir. Court upheld FERC order. During fourth quarter 2018, Transco filed quarterly status report. Restoration activities were completed in first quarter 2019. <u>On 6/10/19 FERC issued construction inspection report indicating that future inspections weren't needed.</u> (Closed <u>63/304/19</u> )	
CP15-90	DEC, DEP	<del>Texas Eastern's abbreviated application for a CPCN for Gulf Markets Expansion Project, filed 2/19/15. On 3/23/15 DEC and DEP intervened. During first quarter 2015, Texas Eastern supplemented its application, and other parties intervened or filed comments. During third quarter 2015, Texas Eastern filed an Environmental Assessment Report and supplemental information. On 12/17/15 FERC issued order granting CPCN, subject to conditions including completion of construction and placement into service within 2 years. On 1/7/16 Texas Eastern accepted CPCN. On 1/19/16 Texas Eastern filed request for rehearing or clarification of 12/17/15 order. On 2/16/16 FERC granted rehearing for further consideration of its 12/17/15 order. On 4/8/16 and 4/29/16 Texas Eastern requested authorization</del>	<del>Q1 2019</del>

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<del>to commence construction of additional facilities, which FERC granted on 4/11/16 and 5/3/16. On 5/20/16 FERC denied rehearing and granted clarification. On 9/14/16 and 9/26/16 Texas Eastern requested authorization to begin service at certain facilities, both of which FERC granted. On 10/7/16 Texas Eastern advised FERC of commencement of service for Phase 1 facilities. During third quarter, FERC granted Texas Eastern's request to place into service all project facilities, and Texas Eastern filed its final status report, stating that all construction and restoration activities have been completed. On 11/28/17 FERC Staff filed inspection report on Texas Eastern's compliance with environmental conditions of FERC order granting CPCN and found no instances of noncompliance. Restoration activities were completed in first quarter 2019. (Closed 3/31/19)</del>	
CP15-117	DEC, DEP	Transco's application for an abbreviated CPCN for the Dalton Expansion Project, filed 4/1/15. On 4/22/15 DEC and DEP intervened. <del>On 4/22/15 NCUC and NYPSC filed comments and requested an evidentiary hearing. On 5/12/15 Transco filed its answer to NCUC / NYPSC protest. On 5/27/15 the NCUC and NYPSC responded to Transco's answer. Many other parties intervened, and Transco filed supplemental information during second quarter 2015. On 6/17/15 EPA expressed concern about route's impact on a federally mandated conservation easement. During first quarter 2016, FERC issued Environmental Assessment of project.</del> On 8/3/16 FERC issued a CPCN, subject to certain conditions. On 8/8/16 NCUC and NYPSC filed a joint request for rehearing. On 9/6/16 FERC granted rehearing for further consideration. On 3/29/17 FERC authorized Transco to begin partial path interim service. On 7/26/17 FERC granted the request to	Q <del>2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		commence service on the remaining facilities. On 8/4/17 Transco notified FERC that the facilities had been placed in service. On 11/21/17 FERC denied requests for rehearing. On 12/14/17 the US Dept. of the Interior filed comments. On 3/12/18 FERC certified the record from this docket in the pending case before the DC Circuit: NCUC v. FERC (Case No. 18-1020). During third and fourth quarters 2018, Transco filed various reports. Post-construction activities continued.	
CP15-118	DEC, DEP	Transco's application for CPCN for Virginia Southside Expansion Project II, filed 3/23/15. On 4/22/15 DEC and DEP intervened. <del>On 4/22/15 NCUC and NYPSC filed comments and requested an evidentiary hearing. On 5/12/15 Transco filed its answer to NCUC/NYPSC protest. On 5/27/15 the NCUC and NYPSC responded to Transco's answer. During second quarter 2016, FERC revised the schedule for environmental review of the project.</del> On 7/7/18 FERC issued the CPCN, subject to certain conditions. On 8/8/16 NCUC and NYPSC filed a joint request for rehearing. On 9/6/16 FERC granted rehearing for further consideration. On 9/13/17 FERC issued an environmental inspection report. On 11/21/17 FERC denied requests for rehearing. On 11/27/17 FERC granted authorization to commence service. On 12/5/17 Transco filed notice that service commenced 12/1/17. On 3/12/18 FERC certified the record from this docket in the pending case before the DC Circuit: NCUC v. FERC (Case No. 18-1018). During fourth quarter 2018, FERC issued a construction inspection report. Post-construction activities continued.	Q <del>2</del> 2019
CP15-138	DEC, DEP	Transco's application for an abbreviated CPCN for Atlantic Sunrise Project, filed 3/30/15. On 4/29/15 DEC and DEP intervened. <del>On 4/22/15 NCUC and NYPSC filed comments and requested an</del>	Q <del>2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<p><del>evidentiary hearing. On 5/12/15 Transco filed its answer to NCUC / NYPSC protest. On 5/19/15, 6/10/15 and 6/17/15 FERC requested additional information, which Transco subsequently provided. On 5/27/15 the NCUC and NYPSC responded to Transco's answer. On 12/30/16 FERC issued its Final Environmental Impact Statement. On 2/3/17 FERC issued CPCN with conditions, and on 2/6/17 Transco accepted the CPCN. During first quarter 2017, many parties filed comments, protests, requests for rehearing and/or stay. On 3/13/17 FERC granted rehearing for further consideration of its 2/3/17 ruling. On 3/29/17 FERC granted Transco's request to proceed with constructing meter and regulator facilities in NC. On 5/11/17 Hilltop Hollow LP filed a petition for review of FERC's 2/3/17 and 3/13/17 orders with the DC Circuit Court. On 8/31/17 FERC denied Allegheny's 2/10/17 request for stay, which led to several requests for rehearing. On 9/26/17 Transco filed its answer to those filings. During fourth quarter 2017, a few parties filed comments and requests for rehearing of 8/31/17 order denying stay. On 1/19/18 NCUC filed petition for review with the DC Cir. Court. On 3/1/18 FERC denied Sierra Club's requests for rehearing. On 3/12/18 FERC certified the record from this docket in the pending case before the DC Circuit: NCUC v. FERC (Case No. 18-1018). On 5/15/18 FERC granted request to begin partial path service for certain facilities, which Transco placed in service 6/1/18. On 10/4/18 FERC issued authorization to place facilities in service. On 10/7/18 Transco notified FERC that facilities had been placed in service. On 11/14/18 Transco filed a motion to amend the Certificate. Post-construction activities continued.</del></p>	

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
CP15-499	DEC, DEP	Texas Eastern Transmission, LP's application for an abbreviated CPCN for its proposed South Texas Expansion Project, filed 5/21/15. On 6/23/15 DEC and DEP intervened. <del>During second quarter 2015, many other parties intervened. On 12/30/16 Texas Eastern amended its application, changing the target in-service date to 10/1/18. On 1/12/17 Texas Eastern amended its application. During third quarter 2017, FERC requested information from USF&amp;W and issued Environmental Impact Statement for the project. On 10/10/17 Texas Parks and Wildlife Dept. filed supplemental information. On 1/16/18 Texas Eastern requested immediate issuance of certificates. On 2/15/18 FERC issued certificates with conditions. On 3/27/18 Texas Eastern filed its notice of commencement of construction. During third quarter 2018, FERC issued an inspection report finding Texas Eastern in compliance with the 2/15/18 Order Issuing Certificate On 11/28/18 FERC authorized Texas Eastern to commence service. On 12/11/18 Texas Eastern notified FERC that certain facilities had been placed in service. Post-construction and restoration activities continued.</del>	Q <del>2</del> 2019
CP15-554; CP15-555; CP15-556	DEC, DEP	Atlantic Coast Pipeline (ACP) application, filed by Dominion Transmission, ACP and Piedmont Natural Gas Co. On 2/17/17 DEC and DEP filed supplemental comments in support of the pipeline. <del>During first quarter 2017, thousands of parties, including individuals, filed comments. During second quarter 2017, ACP and Dominion Transmission filed supplemental information. On 7/21/17 FERC issued the final EIS. During third quarter 2017, hundreds of parties filed comments, and ACP filed supplemental information and responses to FERC data requests. On 10/13/17 FERC granted certificate with conditions. During fourth quarter 2017, ACP filed</del>	Q <del>2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<p><del>various reports and supplemental information; more parties intervened, filed comments, protests, and answers to filings.</del> On 12/11/17 FERC granted rehearing for further consideration. On 3/14/18 Appalachian Voices filed petition for writ with 4<sup>th</sup> Circuit Court to stay FERC's 10/13/17 order authorizing construction. On 3/26/18 FERC granted rehearing for further consideration (of order allowing limited tree felling), following protests. On 3/28/18 FERC denied request to modify time-of-year tree felling restrictions. <del>During first quarter 2018, many parties filed comments, and ACP filed supplemental information and various reports.</del> On 5/11/18 Defenders of Wildlife et al. filed a motion for stay and request for rehearing. During second quarter 2018, ACP filed supplemental information, various reports, requests for variance, and a response to motion for stay; and FERC responded. On 6/28/18 FERC issued a data request. During third quarter 2018, ACP filed supplemental information and responses to FERC data requests; parties filed comments and petitions for review with the 4th Circuit Court of Appeals; and FERC issued environmental compliance monitoring reports, variance requests and authorizations to proceed with work in NC, WV and PA. FERC also granted rehearing for further consideration of several orders and letter orders. During fourth quarter 2018, FERC issued environmental monitoring reports; and opposition to the pipeline continued in the DC and 4th Circuit Courts. Construction activities continued. [See more detailed reports filed in NCUC Docket Nos. E-2, Sub 1052 and E-7, Sub 1062.]</p>	
CP16-3	DEC, DEP	Texas Eastern Transmission, LP's application for an abbreviated CPCN for proposed Access South, Adair Southwest and Lebanon Extension Projects, filed 10/8/15. On 11/9/15 DEC and DEP	Q <del>2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<p>intervened. <del>During fourth quarter 2015, several other parties intervened and Texas Eastern submitted data. On 11/24/15 Texas Eastern filed its answer to comments. On 12/21/15 FERC requested additional information. During first, second and third quarters 2016, Texas Eastern filed supplemental information and amended its application. On 6/16/16 and 9/8/16 USF&amp;W filed comments. On 12/21/16 FERC issued the CPCN and approved abandonment of facilities. On 12/29/16 Texas Eastern filed its Initial Implementation Plan. On 1/9/17 Texas Eastern accepted the CPCN terms. During first quarter 2017, 2 parties filed requests for rehearing.</del> On 3/31/17 FERC granted Texas Eastern's request to begin construction of the Berne Compressor Station in Ohio. During second quarter 2017, FERC issued an Environmental Inspection Report. On 7/19/17 FERC granted request to place facilities in service. On 8/8/17 Texas Eastern notified FERC that facilities commenced service 8/1/17. On 10/31/17 FERC authorized Texas Eastern to place Access South and Adair Southwest Projects into interim service. On 11/9/17 Texas Eastern filed notice that the 2 projects had been placed in interim service on 11/1/17. Texas Eastern filed on 5/3/18 its final notice of commencement of service. Restoration activities continued.</p>	
CP16-10	DEC, DEP	<p>Mountain Valley Pipeline (MVP) application for CPCN to build 301 miles of new interstate natural gas pipeline, 3 compressor stations and other facilities in WV and VA, filed 10/23/15, requesting a final order by 10/15/16. On 11/12/15 DEC and DEP intervened. On 3/31/17 FERC revised the schedule for environmental review. On 6/23/17 FERC issued the Final Environmental Impact Statement. On 10/13/17 FERC issued certificate, subject to 41 conditions. On 10/20/17 MVP accepted the certificate. <del>During fourth quarter 2017, many parties</del></p>	<del>Q2</del> 2019



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<del>requested rehearing of 10/13/17 order.</del> On 12/13/17 FERC granted rehearing for further consideration of 10/13/17 order. On 3/26/18 FERC granted rehearing for further consideration of various 2018 letter orders authorizing construction. During second and third quarters 2018, many parties filed comments and petitions for review with the DC Circuit Court of Appeals; and FERC authorized construction on some segments. During fourth quarter 2018, the Army Corps of Engineers suspended its permit after the 4th Cir. Court of Appeals vacated the permit; and FERC issued environmental monitoring reports. Construction activities continued.	
CP16-494	DEC	Transco abbreviated CPCN application to build and operate the Gulf Connector Expansion Project, filed 8/16/16, an expansion of its interstate transmission system in Texas and Louisiana. On 9/15/16 DEC and DEP intervened. <del>During third quarter 2016, several other parties intervened and Transco filed supplemental information. During fourth quarter 2016, Transco responded to data requests, and filed supplemental information; 2 parties filed comments. During first and second quarters 2017, Transco filed supplemental information and responded to a data request.</del> On 9/21/17 FERC issued its Environmental Assessment of the project. On 11/21/17 FERC granted a CPCN for the project, subject to conditions. On 12/1/17 Transco accepted the CPCN. On 12/6/17 Transco filed its implementation plan. On 1/10/18 FERC authorized construction of facilities. On 2/13/18 Transco notified FERC that construction began 2/8/18. During third and fourth quarters 2018, Transco filed status reports and a request to amend the certificate to reflect increased capital costs for the Project, replace the proposed phasing-in of the Project with a single in-service date, and revise the initial recourse rate for firm transportation	Q <del>2</del> 2019



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		service. On 11/20/18 FERC amended the CPCN that had been granted 11/21/17. On 12/6/18 Transco notified FERC that certain facilities had been placed in service. Post-construction activities continued.	
CP16-501	DEC, DEP	Texas Eastern's abbreviated application for CPCN for proposed Marshall County Mine Panel Project in WV, filed 9/15/16. On 10/20/16 DEC and DEP intervened. <del>During fourth quarter 2016 several other parties intervened, Texas Eastern filed supplemental information and responses to various FERC data requests.</del> On 1/26/17 FERC issued a CPCN, and on 2/7/17 Texas Eastern accepted the CPCN order. During first quarter 2017, Texas Eastern filed a notice to proceed with construction, and FERC approved it 3/7/17. On 4/5/17 Texas Eastern filed a Notice of Commencement of Construction. Texas Eastern filed a notice of commencement of service on 8/28/18. On 11/8/18 Texas Eastern notified FERC that construction and initial restoration activities have been completed; quarterly reports will be filed until restoration work is complete. Restoration activities continued.	<del>Q2</del> 2019
CP17-6	DEC, DEP	Texas Eastern abbreviated application for approval to abandon 16.5 miles of pipeline facilities previously removed from active gas service in OH, WV and PA, filed 10/28/16. On 11/30/16 DEC and DEP intervened. <del>On 12/21/15 FERC issued Notice of its Staff's intention to prepare an Environmental Assessment of the impacts of abandonment. On 3/7/17 FERC issued its schedule for environmental review of the project.</del> On 6/15/17 FERC approved abandonment of the facilities, with environmental conditions. <del>On 8/31/17 USF&amp;W filed supplemental information. On 10/31/17 the Teamsters National Pipeline Training Fund filed comments on the Environmental</del>	<del>Q2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<del>Assessment. During first quarter 2018, Texas Eastern filed status reports, an implementation plan for abandonment of facilities, and a request to proceed with abandonment.</del> On 2/21/18 FERC granted the request to proceed with abandonment. On 11/2/18 Texas Eastern notified FERC that abandonment activities had been completed. Restoration activities <del>began in first quarter 2019</del> <u>continued</u> .	
CP17-46	DEC, DEP	Southern Natural Gas Co. (SNG) CPCN application for the Fairburn Expansion Project in Georgia, filed 2/3/17. On 3/7/17 DEC and DEP intervened. <del>On 3/20/17 FERC issued notice of Environmental Assessment and asked for comments by 4/19/17.</del> On 8/18/17 FERC issued Environmental Assessment of the project. On 2/15/18 FERC issued CPCN for the project, and SNG filed its acceptance. On 3/15/18 SNG notified FERC that construction began on 3/7/18. On 5/16/18 SNG filed request to place SM2LL facilities in-service. SNG filed a notice of commencement of service on 6/27/18. During fourth quarter 2018, FERC authorized SNG to place Fairborn Expansion Project in service, and SNG notified FERC that the project was placed in service 12/18/18. Post-construction activities continued.	Q <del>2</del> 2019
CP17-58	DEC, DEP	Transco abbreviated CPCN application for the St. James Supply Project in Louisiana, filed 2/6/17. On 3/7/17 DEC and DEP intervened. <del>During first quarter 2017, several other parties intervened, and SNG filed supplemental information and responded to a FERC data request. On 3/17/17 FERC issued notice of Environmental Assessment and asked for comments by 4/14/17. On 6/12/17 FERC issued a notice of the schedule for environmental review of the project.</del> On 1/18/18 FERC issued CPCN with conditions. During first quarter 2018, Transco accepted the certificate, filed an implementation plan, filed notices of construction commencement	Q <del>2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		and execution of firm contract for capacity levels and terms in the signed precedent agreement. During second and third quarters 2018, Transco filed supplemental information, status reports and requests for variance; and FERC granted the requests and authorized construction of facilities in LA. Post-construction activities continued.	
CP17-101	DEC, DEP	Transco abbreviated CPCN application to construct and operate the Northeast Supply Enhancement Project, filed 3/26 /17. <del>During first and second quarters 2017, Transco filed supplemental information; hundreds of parties intervened and filed comments, including DEC and DEP intervened on 4/27/17; FERC issued several data requests; and Transco responded. During third quarter 2017, hundreds of parties intervened and filed comments, and Transco filed supplemental information. During fourth quarter 2017, hundreds of parties intervened and filed comments or protests, and FERC responded to comments from Congress. On 1/3/18 FERC issued notice of schedule for final Environmental Impact Statement (EIS). On 3/23/18 FERC issued notice of the draft EIS, with comments due 5/14/18. During first and second quarters 2018, parties intervened out of time and filed comments; FERC issued data requests; and Transco filed supplemental information and responded to data requests. During third and fourth quarters 2018, Transco filed status reports and responses to FERC data requests; parties filed comments; and FERC issued data requests and a revised schedule for environmental review. Comment period continued. On 5/3/19 FERC issued certificate. Requests for rehearing were filed. Waiting for FERC order.</del>	Q <del>2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
CP17-212	DEC	Transco variance request for a route modification in Luzerne and Wyoming counties, part of the Atlantic Sunrise Project, filed 4/19/17 and supplemented 4/27/17 and 5/16/17. <del>During second quarter 2017, several parties intervened, including</del> DEC <u>intervened</u> on 5/12/17. On 5/18/17 FERC granted the certificate to abandon, with conditions. On 5/24/17 Transco accepted the certificate. On 7/31/17 Transco filed implementation plan. On 9/5/17 Transco filed request for Notice to Proceed, which FERC granted 9/15/17. <del>During third quarter 2018, Transco filed status reports, supplemental information and request to place facilities in service; and parties filed comments and protests.</del> On 10/4/18 FERC authorized Transco to place the project in service. Post-construction activities continued.	Q <del>2</del> 2019
CP17-450	DEC, DEP	Transco application for approval to abandon gathering facilities located in offshore Texas, filed 5/25/17. During second quarter 2017, several parties intervened, including DEC and DEP on 6/19/17; FERC issued a data request, and Transco responded. On 7/25/17 Transco filed supplemental information. On 8/2/17 FERC issued the Environmental Assessment. On 8/8/17 FERC granted the request to abandon pipeline facilities and required Transco to notify the Commission within 10 days of abandonment. <del>No further activity.</del> <u>On 5/7/19 Transco filed motion to vacate abandonment authorization. Waiting for FERC order.</u>	Q <del>2</del> 2019
CP18-10	DEC, DEP	Texas Eastern LP abbreviated CPCN application for Texas Industrial Market Expansion and Louisiana Market Expansion Projects (TX-LA Projects), filed 10/19/17 requesting authorization by 9/1/18. On 11/21/17 DEC and DEP intervened. <del>During fourth quarter 2017, several other parties intervened and filed comments / protests, and Texas Eastern responded to FERC data requests. On 4/5/18</del>	Q <del>2</del> 2019

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		<del>FERC issued notice of schedule for environmental review, and on 5/31/18 FERC issued Environmental Assessment for the project.</del> On 7/19/18 FERC issued Certificate. On 7/25/18 Texas Eastern accepted Certificate. On 8/29/18 Texas Eastern filed implementation plan. On 2/7/19 FERC authorized modifications at Gillis Compressor Station, and 2/26/19 Texas Eastern filed its notice of commencement of construction. <u>On 5/30/19 Texas Eastern filed notice of commencement of service. Post-construction activities continued.</u>	
CP18-18	DEC, DEP	Transco abbreviated CPCN application for Gateway Expansion Project, filed 11/15/17. On 12/12/17 DEC and DEP intervened. <del>During fourth quarter 2017, several other parties intervened and filed comments / protests, and Transco filed supplemental information.</del> On 1/2/18 FERC issued notice of intent to prepare an environmental assessment (EA) for the project and requested comments by 2/2/18. On 5/24/18 FERC issued schedule for environmental review. On 12/12/18 FERC issued the CPCN, with Commissioner Glick dissenting. On 12/20/18 Transco filed an implementation plan. On 2/11/19 FERC granted rehearing for further consideration. Construction activities continued.	<del>Q2</del> 2019
<del>CP18-42</del>	<del>DEC, DEP</del>	<del>NCUC motion for order to show cause re whether Transco is operating its Eminence Storage Field (ESF) in accordance with its certificate in CP11-551 and, if not, whether Transco should be allowed to assess demand charges for the amount of firm service from ESF under 2 service schedules, filed 1/2/18. On 1/17/18 Transco filed its answer. On 3/15/18 DEC and DEP intervened. On 3/14/18 FERC requested information from Transco, which Transco provided 3/28/18. During first quarter 2018, several parties intervened. On 1/24/19 FERC denied motion to show cause. (Closed 3/31/19)</del>	<del>Q1</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
CP18-145	DEC, DEP	Transco abbreviated CPCN application for reduced operating pressures and partial abandonment of capacity of Caverns 5, 6, and 7 at the Eminence Storage Field located in Covington County, MI, filed 3/27/18. On 4/9/18 DEC and DEP intervened. <del>During second quarter 2018, several other parties intervened, and the NCUC filed a protest 4/19/18. On 5/8/18 Transco filed supplemental information and an answer to the protest. On 11/29/18 FERC issued Environmental Assessment Report.</del> On 1/24/19 FERC approved abandonment and amended Certificate. On 3/26/19 FERC granted rehearing for further consideration. <u>On 4/4/19 FERC granted clarification. On 4/5/19 Transco accepted FERC's 1/24/19 order. Abandonment activities continued.</u>	Q <del>2</del> 2019
CP18-186	DEC, DEP	Transco abbreviated CPCN application to construct Southeastern Trail Project, filed 4/11/18. On 5/24/18 DEC and DEP intervened. <del>During second quarter 2018, several other parties intervened or filed comments, including the NCUC on 4/19/18 and 5/24/18; and Transco filed supplemental information. On 6/8/18 Transco filed answer to protests. On 6/26/18 FERC issued a data request. During third quarter 2018, Transco filed supplemental information and responses to FERC data requests; parties filed comments; and FERC issued data requests. During fourth quarter 2018, Transco filed reports, supplemental information, and responded to FERC requests for additional information.</del> Comment period and filing of supplemental information continued.	Q <del>2</del> 2019
CP18-485	DEC, DEP	Joint Texas Eastern and Transco abbreviated application to abandon offshore gathering facilities, including a lateral line and related metering and other facilities, located in federal waters in the Gulf of Mexico near LA, filed 5/17/18. On 6/14/18 DEC and DEP intervened.	Q <del>2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<del>During second quarter 2018, several other parties intervened or filed protests; and Texas Eastern filed an answer to protests and supplemental information. During third quarter 2018, parties filed answers to each other's filings.</del> On 10/25/18 FERC issued Environmental Assessment. Waiting for FERC order.	
CP18-486	DEC, DEP	Joint Texas Eastern, Transco and Northern Natural Gas abbreviated application to abandon a 12-mile offshore supply lateral and related facilities located in offshore federal waters in the Gulf of Mexico near LA, filed 5/18/18. On 6/14/18 DEC and DEP intervened. During second quarter 2018, several other parties intervened, and Texas Eastern filed supplemental information. On 10/25/18 FERC issued Environmental Assessment. <del>No further activity.</del> <u>On 4/24/19 and 6/24/19 Texas Eastern requested prompt issuance of abandonment authorization. Waiting for FERC order.</u>	<del>Q2</del> 2019
CP18-493	DEC, DEP	Saltville Gas application to drill new injection and withdrawal wells, to replace abandoned wells and one existing well, to maintain the deliverability of the Early Grove depleted reservoir facility, as well as to abandon one existing monitoring well at its Early Grove storage facility in Scott and Washington Counties, VA, filed 5/31/18. <del>During second and third quarters 2018, Saltville filed supplemental information, variance requests and weekly reports; and several parties intervened, including DEC and DEP on 8/7/18.</del> On 8/7/18 FERC issued its Environmental Assessment. Construction activities continued.	<del>Q2</del> 2019
CP18-498	DEC, DEP	Dominion Energy Carolina Gas Transmission, LLC (DECG) 60-Day Notice Request for authorization to construct, own and operate a delivery point for Bushy Park Extension Project, filed 6/8/18. On 8/13/18 FERC issued an Environmental Assessment. During second	<del>Q2</del> 2019



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		and third quarters 2018, DECG filed supplemental information and responses to FERC data requests; and several parties intervened, including DEC and DEP on 8/9/18. <del>No further activity.</del> <u>On 12/14/18 DECG filed final report. (Closed 6/30/19)</u>	
CP18-533	DEC, DEP	Texas Eastern abbreviated application to abandon facilities (lateral pipeline and associated facilities located in Harrison and Marion Counties, TX), filed 7/24/18. <del>During third quarter 2018, several parties intervened, including</del> DEC and DEP <u>intervened</u> on 8/28/18. On 9/6/18 FERC issued notice of intent to prepare Environmental Assessment (EA). On 1/25/19 FERC issued Environmental Assessment. Comment period and filing of supplemental information continued.	<del>Q2</del> 2019
CP19-14	DEC, DEP	Mountain Valley Pipeline (MVP) CPCN application for the Southgate Project, filed 11/6/18. DEC and DEP intervened on 12/10/18. Comment period and filing of supplemental information continued.	<del>Q2</del> 2019
<del>CP19-54</del>	<del>DEC, DEP</del>	<del>Transeo application to abandon certain firm transportation services to National Grid and National Grid NY, filed 1/22/19. On 2/8/19 DEC and DEP intervened. On 2/14/19 FERC accepted Transeo filing. (Closed 3/31/19)</del>	<del>Q1</del> 2019
EL13-88; ER16-1969	Duke Energy, DEC, DEP, DEBS	Complaint of Northern Indiana Public Service Co. (NIPSCO) against MISO and PJM to remedy flaws in the interregional planning process between the two, filed on 9/11/13. Duke Energy intervened on 9/30/13 on behalf of its subsidiaries that sell into the PJM market. <del>Both MISO and PJM filed answers or motions for summary disposition in October 2013. On 12/19/13 FERC issued an order holding the complaint in abeyance, pending resolution of related matters that may materially affect certain elements of this complaint. On 7/30/14 NIPSCO asked FERC to no longer hold complaint in</del>	<del>Q2</del> 2019



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<p><del>abeyance. Several parties responded to NIPSCO's request in third quarter 2014, and on 9/9/14 NIPSCO responded to issues raised. On 12/18/14 FERC directed Staff to convene a technical conference to explore issues raised in the complaint and established a refund effective date of 9/11/13. On 12/19/14 Midwest TDUS intervened. On 2/12/15 FERC requested comments on the issues prior to the technical conference. Initial comments were filed in first quarter 2015. Reply comments were filed in second quarter 2015, and</del> <u>Comments and reply comments were filed, and</u> a technical conference was held 6/15/15 to explore issues raised in the complaint. <del>On 9/28/15 NIPSCO filed its answer. On 10/13/15 PJM filed a limited response to NIPSCO answer. During first quarter 2016, additional comments and answers were filed.</del> On 4/21/16 FERC granted complaint in part and directed MISO and PJM to submit compliance filings and informational filings pertaining to their interregional planning process. <del>During second quarter 2016, several parties requested rehearing, filed answers and intervened out of time.</del> On 6/17/16 FERC granted rehearing for further consideration. On 8/19/16 MISO and PJM filed a joint report on efforts to improve transmission expansion planning coordination, as required by 4/21/16 order. On 10/18/16 (supplemented 10/25/16) MISO and PJM filed another report in response to 4/21/16 order. <del>On 2/3/17 MISO and MISO TOs requested an extension of time, which FERC granted 2/10/17, making compliance filings due 4/24/17.</del> On 4/24/17 MISO filed compliance filing and motion for extension in ER16-1969, to allow MISO to continue working with stakeholders on cost allocation methodology. On 6/27/17 FERC granted the extension until 10/31/18. On 9/17/18 MISO and MISO TOs filed an expedited joint motion for</p>	

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		a limited extension of the compliance deadline and a request for a shortened comment period. During fourth quarter 2018, MISO and MISO TOs requested an extension of compliance deadline, which the Generator Group subsequently opposed. On 2/28/19 MISO filed compliance filing, <del>and comment period was extended.</del> <u>in Docket Nos. ER16-1969 and ER19-1156. On 6/24/19 FERC rejected the compliance filing.</u>	
EL14-37; ER17-1433	Duke Energy, DEC, DEP	Investigation to address whether PJM's current OATT applies the Financial Transmission Rights (FTR) forfeiture rule to Up to Congestion transactions justly, issued 8/29/14. On 9/22/14 Duke Energy intervened <del>on behalf of its subsidiaries; many other parties intervened as well during third quarter 2014.</del> On 9/24/14 the Financial Marketers Coalition (FMC) filed a motion for clarification and request for expedited consideration. On 10/22/14 FERC granted rehearing for further consideration of its 8/29/14 order initiating the investigation. <del>On 10/31/14 FERC scheduled a technical conference to be held 1/7/15 re PJM's FTR tariff provisions.</del> On 12/16/14 FERC denied the FMC motion. The technical conference was held 1/7/15. <del>During second quarter 2015, more parties intervened and filed comments following the technical conference. During third quarter 2015, PJM, the PJM Market Monitor and Exion Energy each filed answers, and the Financial Marketers Coalition filed reply comments. On 2/11/16 PJM advised that Up to Congestion Transactions were increasing again and encouraged resolution of this proceeding. Joint parties and FMC responded on 2/26/16 and 3/1/16 respectively.</del> On 1/19/17 FERC issued its Order on investigation, finding PJM's application of its FTR forfeiture rule to virtual transactions to be unjust and unreasonable; requiring PJM to make a compliance filing	Q <del>2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		within 90 days; and holding the issue of uplift in abeyance, pending the outcome of a NOPR in a separate proceeding. On 7/14/17 PJM filed a statement of support. On 4/18/17 PJM filed compliance filing in ER17-1433. During second and third quarters of 2017, parties intervened and filed protests. <del>No further activity.</del> <u>Waiting for FERC order.</u>	
EL15-67	DEC, DEP, DEBS	Complaint of Linden VFT, LLC against PJM re PJM's proposed cost allocations for projects resulting from PJM's 2013 Regional Transmission Expansion Plan, filed 5/22/15 and amended 7/10/15. On 7/1/15 DEBS intervened on behalf of several affiliates. <del>On 7/13/15 PSEG moved to reject the complaint, and on 7/24/15 Linden responded. On 8/20/15 PJM filed its answer to the complaint. During third and fourth quarters 2015, several parties filed comments and answers.</del> On 11/24/15 FERC accepted the tariff revisions but suspended them for 5 months, subject to refund, to become effective 4/25/16 or on a date set forth in future order following a technical conference. On 12/4/15 FERC scheduled technical conference to be held 1/12/16. During first quarter 2016, technical conference was held, and parties filed comments. On 4/22/16 FERC denied complaint. <del>During second quarter 2016, several parties requested rehearing of 4/22/16 order.</del> On 6/21/16 FERC granted rehearing for further consideration. On 5/16/17 ConEd filed a motion to reopen the record and lodge the 4/6/17 letter from PJM CEO which addresses questions FERC posed at the technical conference. On 5/31/17 PSEG responded in opposition to motions. On 9/11/17 ConEd filed a motion to reopen the record and to lodge a PJM report. During third quarter 2017, PJM and others responded to ConEd's motion. On 10/3/17 ConEd filed its answer to PJM's 9/29/17 response. On 3/20/18 ConEd	Q <del>2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		filed motion to reopen record and accept PJM's 2/27/17 answer in EL18-54 which is relevant to this case. During third quarter 2018, FERC established settlement judge procedures; <del>several parties requested rehearing and responded to such requests.</del> On 9/17/18 FERC granted rehearing for further consideration. Settlement judge procedures continued.	
EL15-79	Duke Energy, DEC, DEBS	Complaint of Tran Source LLC alleging that PJM refused to provide data underlying cost estimates in System Impact Studies for queue positions, filed 6/23/15. On 5/27/16 Duke Energy intervened on behalf of subsidiaries that sell into PJM. In second quarter 2016, FERC established hearing procedures (after having held proceeding in abeyance), scheduled and then rescheduled the prehearing conference for 8/2/16. On 6/16/16 FERC allowed Duke Energy and others to intervene. During third quarter 2016, a prehearing conference was held 8/22/16, and the Chief Judge issued a procedural schedule. In fourth quarter 2016, prehearing conferences were held on 10/26/16 and 12/20/16; On 8/29/17 FERC modified the unopposed procedural schedule that Transco had proposed. Testimony was filed, and hearing was held 9/12/17. During fourth quarter 2017, parties filed briefs. On 1/19/18 FERC ALJ issued initial decision finding PJM practices nontransparent and discriminatory and requiring PJM to refund monies TranSource paid and to restore TranSource's original queue position. On 2/20/18 parties filed briefs and exceptions. On 3/12/18 opposition briefs were filed. <del>No further activity.</del> <u>Waiting for FERC order.</u>	Q <del>2</del> 2019
EL15-95	DEC, DEP, DEBS	Complaint of Delaware PSC and MD PSC against PJM, alleging that use of DFAX cost allocation method is unreasonable, filed 8/28/15. On 10/12/15 DEBS intervened on behalf of several affiliates. On	Q <del>2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<p>12/4/15 FERC scheduled technical conference to be held 1/12/16. <del>During first quarter 2016, parties filed post-technical conference comments.</del> On 4/22/16 FERC denied complaint and accepted PJM's cost responsibility assignments for transmission projects. During second quarter 2016 several parties requested rehearing. On 6/21/16 FERC granted rehearing for further consideration. On 8/5/16 PJM advised that the Artificial Island project, a transmission line in the Regional Transmission Extension Plan approved by the PJM Board, has been suspended until analysis can be completed. On 8/23/16 complainants moved to defer ruling on requests for rehearing. On 4/12/17 PJM filed an informational filing on status of Artificial Island: PJM Board approved lifting of suspension. On 4/27/17 ODEC filed comments on 4/12/17 PJM filing. On 9/6/17 complainants jointly moved to reopen the record and to lodge a PJM report, which led to answers or comments of several parties during third quarter 2017. On 7/19/18 FERC granted rehearing and established paper hearing procedures. <del>During third quarter 2018, parties intervened out of time, filed requests for hearing and responses to such requests and filed comments on cost allocation methodology. On 9/17/18 FERC granted rehearing for further consideration. During fourth quarter 2018, parties filed answers and comments, and LS Power reiterated its request for an expeditious order setting the cost allocation methodology for the Artificial Island project.</del> On 2/28/19 FERC denied rehearing, established a reasonable rate for transmission facilities that address stability-related reliability concerns, and required a compliance filing in 30 days. <u>On 5/1/19 FERC granted rehearing for further consideration.</u> [See also ER15-2562 and ER15-2653 below.]</p>	

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
EL16-49	Duke Energy, DEC, DEBS	Complaint of Calpine Corp., Dynegy, et al. against PJM, asking FERC to expand the Minimum Offer Price Rule to prevent the artificial suppression of prices in the Reliability Pricing Model market by below-cost offers for existing resources whose continued operation is being subsidized by state-approved out-of-market payments, filed 3/21/16. On 4/5/16 Duke Energy intervened. <del>_on behalf of subsidiaries that sell in PJM. On 4/25/16 and 6/6/16 PJM filed its answer; other parties responded with comments. On 1/9/17 Calpine amended its complaint and requested expedited action. On 1/24/17 FirstEnergy filed a motion to dismiss the complaint. On 1/30/17 PJM and the PJM Independent Market Monitor (IMM) both filed answers to the amended complaint. On 8/30/17 EPSA filed a motion to lodge a federal court decision and requested expedited action on the complaint. During third quarter 2017, several parties filed answers to the motion to lodge. On 3/14/18 EPSA filed a motion to lodge PSEG's Form 8-K filed with the SEC 3/2/18. On 3/21/18 Ill. Commerce Commission recommended that FERC deny the motion to lodge. On 6/29/18 FERC rejected PJM tariff revisions, granted in part and denied in part Calpine's complaint, and instituted Section 206 proceeding in EL18-178. On 8/29/18 FERC granted rehearing for further consideration. During fourth quarter 2018, many parties filed comments, reply comments and briefs. On 3/11/19 PJM filed its information plan in preparation for 2022-23 Base Residual Auction. See EL18-178 for updates.</del>	Q <del>2</del> 2019
<del>EL17-8</del>	<del>DEC, DEP, DEBS</del>	<del>Complaint of Indianapolis Power &amp; Light (IPL) alleging that MISO's OATT doesn't compensate IPL for the reliability service provided by IPL's grid-scale battery installation and requesting fast-track processing, filed 10/21/16. On 11/7/16 DEC, DEP and DEBS</del>	<del>Q1 2019</del>

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<del>intervened. On 11/10/16 MISO filed a motion to dismiss. On 11/23/16 IPL responded to MISO's motion to dismiss. On 12/14/16 MISO filed an answer to IPL's response. On 2/1/17 FERC granted the complaint in part and denied in part. During first quarter 2017, MISO and IPL filed requests for rehearing. On 3/27/17 FERC granted rehearing for further consideration. During second quarter 2017, IPL filed a petition for review with 7<sup>th</sup> Circuit Court. On 3/23/18 FERC issued order accepting MISO's tariff revisions conditionally, requiring a compliance filing within 30 days, denying IPL's request for rehearing and granting in part MISO's request for rehearing. On 5/17/18 IPL filed a petition for review in the 7<sup>th</sup> Circuit Court of Appeals. On 12/4/18 MISO filed report on status of stored energy resources, type II. 7<sup>th</sup> Cir. Court dismissed case 1/28/19. (Closed 3/31/19)</del>	
EL17-29	Duke Energy, DEC, DEP, DEBS	Complaint of American Municipal Power (AMP) alleging that MISO's assessment of congestion and administrative charges associated with dispatches of an AMP generating resource by PJM is unjust and unreasonable, filed 12/19/16 and supplemented 12/20/16. On 1/17/17 Duke Energy intervened <del>on behalf of subsidiaries</del> . On 1/25/17 MISO filed its answer to the complaint and also a joint motion with PJM to hold the matter in abeyance. On 3/27/17 MISO and PJM filed an informational update on efforts to resolve the congestion overlap issue. On 5/26/17 MISO and PJM filed a status update. On 7/25/17 and 9/25/17 MISO/PJM filed a status update. On 11/22/17 MISO/PJM filed another update on the efforts of the RTOs to develop proposed solutions to the congestion overlap issue related to pseudo-ties. On 1/23/18 MISO/PJM filed another update, and AMP filed a response 2/7/18. On 4/6/18 MISO/PJM filed another update.	Q <del>2</del> 2019



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		On 6/1/18 AMP et al. filed a joint request for prompt action, to which MISO responded on 6/13/18. On 6/28/18 AMP et al. replied to PJM filing. <del>No further activity. Settlement judge procedures continued.</del>	
<del>EL17-32</del>	<del>Duke Energy, DEC, DEP</del>	<del>Complaint of Old Dominion Electric Cooperative (ODEC) requesting fast track processing to prevent an unreasonable and irreparable action by PJM that will prevent Seasonal Capacity Performance Resources from participating in the Base Residual Auction for the 2020-21 delivery year, scheduled for May 2017, filed 12/23/16. On 1/12/17 Duke Energy intervened on behalf of subsidiaries. On 1/25/17 PJM filed its answer, corrected on 1/26/17. On 2/9/17 ODEC responded. On 2/23/18 FERC ordered Staff to convene a technical conference to explore issues re PJM's transition to procurement of 100 percent Capacity Performance Resources and related components of PJM's resource aggregation rules. On 3/16/18 FERC scheduled technical conference on 4/24/18 and asked parties to file comments on 4 questions by 4/4/18. During first quarter 2018, additional parties intervened, requested rehearing or clarification of FERC's order, and filed comments or answers. During second quarter 2018, ODEC filed answer to PJM motion for clarification, and several parties filed pre-technical conference comments. Technical conference was held 4/24/18. On 4/24/18 FERC granted rehearing for further consideration. During third quarter 2018, several parties filed post-technical conference comments. On 8/17/18 FERC dismissed requests for rehearing and clarification. On 12/28/18 AEMA and NRDC filed motions to supplement the record. (Closed 3/31/19)</del>	<del>Q1 2019</del>
<del>EL17-36</del>	<del>Duke Energy, DEC, DEP</del>	<del>Complaint of Advanced Energy Management Alliance against PJM, seeking changes to PJM's OATT and Reliability Assurance Agreement to protect customers from unnecessary and dramatic</del>	<del>Q1 2019</del>



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<del>increases in costs if seasonal capacity resources are precluded from participating in the May 2017 Base Residual Auction for the 2020-21 delivery year, filed 1/5/17. On 1/12/17 Duke Energy intervened on behalf of subsidiaries. On 2/28/17 PJM filed its answer. During fourth quarter 2017, two parties filed motions to supplement the record, and several parties filed responses. On 8/17/18 FERC denied requests for rehearing and clarification. On 12/28/18 AEMA and NRDC filed motions to supplement the record. [See EL17-32 above for updates.] (Closed 3/31/19)</del>	
EL17-62	Duke Energy, DEC, DEP	Complaint of Potomac Economics asking FERC to direct PJM to revise its OATT and Reliability Assurance Agreement Among Load-Serving Entities in the PJM Region (RAA) to eliminate the existing requirement that resources located external to PJM seeking to offer as Capacity Performance Resources in PJM be pseudo-tied into PJM, filed 4/6/17. During second quarter 2017, many parties intervened and filed comments, including Duke Energy on 4/13/17. On 5/8/17 PJM filed a motion to dismiss, and several parties filed comments. On 6/20/17 PJM filed its answer to comments and protests. On 5/14/18 Brookfield Energy Marketing intervened out of time. On 6/19/18 PJM filed notice of appellate precedent on Market Monitor standing, and on 6/26/18 the Independent Market Monitor responded. On 7/2/18 Potomac Economics filed a letter opposing PJM's motion to lodge recent DC Cir. Court decision. No further activity.	<del>Q2</del> 2019
EL17-64	Duke Energy, DEC, DEP	Complaint of Energy Storage Association (ESA) alleging PJM's changes to its Frequency Regulation market have been discriminatory and capricious, causing harm to ESA members who participate in that market, filed 4/13/17. On 5/22/18 Duke Energy intervened out-of-	<del>Q2</del> 2019

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		time <del>on behalf of subsidiaries. Settlement judge procedures continued.</del> [See EL17-65 for updates.]	
EL17-65	Duke Energy, DEC, DEP	Complaint of Renewable Energy Systems Americas (RESA) and Invenenergy Storage Development LLD alleging that PJM's implementation of a frequency regulation signal inconsistent with the operational design of PJM's market for energy-limited resources negatively affected storage devices and is discriminatory, filed 4/14/17. <del>During second quarter 2017, many parties intervened and filed comments, including Duke Energy intervened on 5/12/17. On 5/31/17 RESA filed its answer to comments. On 6/9/17 PJM IMM filed its answer. On 6/27/17 PJM filed its answer. On 7/25/17 complainants requested appointment of a settlement judge, to which PJM and 2 other parties replied during third quarter 2017.</del> On 3/30/18 FERC issued order granting the Energy Storage Assoc. (ESA) complaint (EL17-64) in part and directing Staff to convene a technical conference on remaining issues (EL17-65). In response to a 5/30/18 joint request, on 6/6/18 FERC postponed the technical conference and appointed a settlement judge. Settlement conferences were held 6/14/18 and 6/26/18. <del>D Settlement judge procedures continued.</del> On 4/23/19 an Offer of Settlement was filed. On 6/26/19 settlement judge procedures were terminated. Waiting for FERC order.	Q <del>2</del> 2019
EL17-68	Duke Energy, DEC, DEP	Linden VFT complaint and request for fast track processing, alleging that PJM's cost reallocations for BLC project are unjust and unreasonable, filed 4/28/17. During second quarter 2017, several parties intervened, including Duke Energy on 5/2/17. <del>On 5/18/17 PJM filed its answer. Parties subsequently filed comments and</del>	Q <del>2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<del>protests, and Linden VFT filed its response to PJM's answer on 5/31/17. Settlement judge procedures continued.</del> See EL15-67 above.	
EL17-83	DEC	Complaint of Piedmont Municipal Power Agency (PMPA) alleging DEC has assessed charges in violation of service agreement for wholesale generation service, filed 8/1/17. On 9/11/17 DEC filed its answer and a motion for summary judgement. On 2/15/18 FERC granted complaint and established hearing and settlement judge procedures. During first quarter 2018, FERC designated a judge, and <del>scheduled</del> settlement conferences <del>were held, on 3/27/18 and 5/22/18.</del> On 4/10/18 DEC filed refund report. During second quarter 2018, PMPA and Wholesale Customer Group filed protests, and FERC scheduled two more settlement conferences. On 5/16/18 DEC filed answer to protests. On 5/25/18 Settlement Judge recommended that settlement procedures continue. On 7/3/18 FERC accepted refund report and denied protests. During third quarter 2018, settlement conferences were held, and the settlement judge recommended that settlement procedures continue. On 12/28/18 DEC filed notice that settlement had been reached and a settlement agreement filed in ER19-718. On 1/30/19 uncontested settlement was certified. On 2/4/19 settlement judge procedures were terminated. <u>(Closed 6/30/19)</u>	Q <del>2</del> 2019
EL17-84; ER17-2073	Duke Energy, DEC, DEP	Section 206 investigation into the justness of Hudson Transmission Partners LLC (HTP) being unable to convert its Firm Withdrawal Rights into Non-Firm Transmission Withdrawal Rights following FERC's rejection of PJM's unexecuted amendment to Interconnection Service Agreement, issued 9/8/17. On 9/18/17 Duke Energy intervened <del>on behalf of subsidiaries</del> . On 10/10/17 PJM responded to 9/8/17 show cause order. On 12/15/17 FERC issued	Q <del>2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		order requiring PJM to permit conversion of firm to non-firm transmission withdrawal rights and required PJM to amend the agreement with HTP. On 2/9/18 FERC granted rehearing for further consideration of 12/15/17 order. During first quarter 2018, several parties filed requests for rehearing/clarification and answers to same. Settlement judge procedures continued. See EL15-67 above.	
EL17-94	Duke Energy, DEC, DEP	NY Power Authority (NYPA) complaint against PJM, seeking refund of Regional Transmission Expansion Plan (RTEP) charges associated with the Hudson Transmission Project's Firm Transmission Withdrawal Rights following Hudson Transmission Partners, LLC's (HTP) surrender of those rights, filed 9/28/17. On 10/4/17 Duke Energy intervened. <del>During fourth quarter 2017, several other parties intervened.</del> On 10/18/17 PJM and PJM Transmission Owners filed answers. On 11/2/17 NYPA filed its response. On 2/16/18 NYPA filed a motion to lodge relevant documents from other dockets, supplemented on 2/23/18. Settlement judge procedures continued. See EL15-67 above.	Q <del>2</del> 2019
EL18-7	Duke Energy, DEC, DEP	AEP complaint against MISO, alleging that MISO failed to provide AEP and other PJM Transmission Owners with more than \$4.8 million of system elimination charge/cost adjustments (SECA), filed 10/10/17. On 11/10/17 Duke Energy intervened. On 11/13/17 MISO filed its answer to the complaint. On 1/28/18 AEP filed its response. On 2/7/18 FERC scheduled a conference call on 2/14/18 to discuss factual questions. On 3/7/18 MISO filed a supplemental answer. During first quarter 2018, other parties filed comments on MISO's answer. During third quarter 2018 FERC initiated settlement judge procedures in its 9/14/18 order. On 11/13/18 FERC granted rehearing for further consideration. Settlement judge procedures continued.	Q <del>2</del> 2019

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Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
<del>EL18-17</del>	<del>Duke Energy, DEC, DEP</del>	<del>Section 206 proceeding to determine whether MISO's Tariff is unjust, discriminatory or preferential because of inconsistencies between Section 4.4.4 of MISO's Generator Interconnection Procedures (GIP) and Article 2.3.1 of MISO's pro forma Generator Interconnection Agreement (GIA), issued 10/19/17. On 11/7/17 Duke Energy intervened. During fourth quarter 2017, several other parties intervened and filed briefs and reply briefs. On 6/21/18 FERC issued order finding MISO's initial provision unjust but accepting MISO's subsequently proposed Tariff revisions, subject to further revisions, and requiring MISO to submit a compliance filing within 30 days. Compliance filing was made in ER18-2064. (Closed 3/31/19)</del>	<del>Q1 2019</del>
EL18-34	Duke Energy, DEC, DEP	Order and Notice instituting investigation into whether PJM's practices re pricing of fast-start resources may be unjust and unreasonable, issued 12/21/17. On 1/3/18 Duke Energy intervened <del>on behalf of several subsidiaries</del> . On 2/12/18 PJM filed its initial brief. <del>During first quarter 2018, many parties intervened and filed comments and briefs. During second quarter 2018, PJM and other parties filed answers, and American Council on Renewable Energy intervened out of time. Comments, answers and briefs were filed. No further activity.</del> On 4/18/19 FERC directed PJM to revise tariff.	<del>Q1</del> 2019
<del>EL18-168</del>	<del>DEP</del>	<del>Formal challenge and Section 206 complaint by NCEMC alleging that DEP is violating its formula transmission rate, the Joint OATT and FERC regulations by failing to reflect the reduction in federal corporate income tax that went into effect 1/1/18, filed 6/1/18. After obtaining an extension of time, on 6/28/18 DEP filed its answer. During third quarter 2018, DEP, NCEMC and Fayetteville filed answers to each other's filings. On 1/17/19 FERC denied complaint. (Closed 3/31/19)</del>	<del>Q1 2019</del>

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
EL18-169	Duke Energy, DEC, DEP	Complaint of CPV Power Holdings, Calpine and Eastern Generation, LLC alleging that PJM's proposals to protect its Reliability Pricing Model (RPM) market from below-cost offers for resources receiving out-of-market subsidies are inadequate, filed 5/31/18. On 6/4/18 Duke Energy intervened <del>on behalf of subsidiaries</del> . During second quarter 2018, many other parties intervened and filed comments or protests. On 6/19/18 PJM filed its answer to protests. <del>On 7/12/18 NRDC intervened out of time. No further activity.</del> Waiting for FERC order.	Q <del>2</del> 2019
EL18-178	Duke Energy, DEC, DEP	Paper hearing proceeding initiated by FERC when it rejected complaint in EL16-49, issued 6/29/18. Notice of Section 206 proceeding and refund effective date, issued 7/2/18. During third quarter 2018, many parties intervened and filed comments, including Duke Energy on 7/23/18. During fourth quarter 2018, many parties filed comments, reply comments and briefs. On 3/11/19 PJM filed its information plan in preparation for 2022-23 Base Residual Auction. <del>Waiting for FERC order. [See EL16-49]</del>	Q <del>2</del> 2019
EL19-18	Duke Energy, DEC, DEP	AEP complaint alleging that PJM's OATT is unjust because it does not include indemnifications provisions consistent with FERC's <i>pro forma</i> Large Generator Interconnection Agreement, filed 11/16/18. On 12/19/18 Duke Energy filed comments. <u>On 5/10/19 FERC granted complaint in part and denied it in part. AEP filed a request for clarification.</u> Waiting for FERC order.	Q <del>2</del> 2019
<u>EL19-47</u>	<u>Duke Energy, DEC, DEP</u>	<u>Complaint of PJM IMM against PJM, alleging lack of effective market power mitigation in the capacity market, filed 2/21/19. On 4/17/19 Duke Energy intervened. Waiting for FERC order.</u>	<u>Q2 2019</u>
<u>EL19-58; ER19-1486</u>	<u>Duke Energy, DEC, DEP</u>	<u>PJM proposed revision to its Operating Agreement to implement reserve market enhancements. On 5/13/19 Duke Energy intervened. Waiting for FERC order.</u>	<u>Q2 2019</u>

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
<a href="#">EL19-79</a>	<a href="#">Duke Energy, DEC, DEP</a>	<a href="#">Complaint of LSP Transmission Holding II, LLC, Cardinal Point Electric, LLC and LS Power Mid-continent, LLC against MISO, alleging flaws in economic planning process, filed 6/5/19. On 6/24/19 Duke Energy intervened. Waiting for FERC order.</a>	<a href="#">Q2 2019</a>
ER13-1924	Duke Energy, DEC, DEP	PJM Order No. 1000 Compliance filing re interregional transmission planning and coordination per Joint Operating Agreement with MISO, filed 7/10/13. Duke Energy intervened <del>on behalf of DEC, DEP and other subsidiaries</del> on 9/5/13. <del>MISO Transmission Owners (TOs) submitted answer to NIPSCO protest on 10/31/13.</del> On 12/18/14 FERC rejected in part and conditionally accepted in part PJM's compliance filing and directed PJM to submit further compliance filing within 60 days. On 1/20/15 MISO TOs requested rehearing. <del>On 1/26/15 PJM et al. requested an extension to submit compliance filing, which FERC granted on 1/30/15, extending the deadline to 6/16/15.</del> On 2/9/15 FERC granted rehearing for further consideration of its 12/18/14 order. On 6/15/15 FERC held a technical conference to explore issues raised. <del>On 6/9/15 MISO and PJM requested an extension of time to draft tariff modifications, which FERC granted on 6/16/15. On 8/21/15 ITC Companies and NIPSCO filed a joint protest. On 9/11/15 PJM answered the protest, and on 9/25/15 ITC and NIPSCO responded to PJM's answer.</del> On 4/5/16 FERC denied rehearing, conditionally accepted MISO and PJM's second compliance filings, subject to further compliance filings. On 5/4/16 FERC granted an extension until 6/20/16 to comply with 4/5/16 order. <del>During second quarter 2016 several parties requested clarification of 4/5/16 order.</del> On 6/2/16 FERC granted rehearing for further consideration. On 6/13/16 PJM Transmission Owners requested an extension of time to comply with 4/5/16 order:	<del>Q2</del> 2019



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		until 30 days after FERC rules on pending motions for clarification. On 6/20/16 FERC denied the motion for extension. On 10/28/16 FERC denied rehearing and conditionally accepted third compliance filings subject to further compliance filings. On 12/27/16 MISO TOs filed a petition for review with the DC Circuit Court of Appeals. On 6/22/18 DC Circuit affirmed FERC decision on MISO cost allocation. Petition for review was filed 12/27/18. <u>No further activity.</u>	
ER14-972	Duke Energy, DEC, DEP, DEBS	PJM amendments to Schedules 6 and 12 to incorporate cost responsibility assignments for 111 upgrades in the recent update to its Regional Transmission Expansion Plan, filed on 1/10/14. Duke Energy intervened <del>on behalf of DEBS, DEC, DEP and other utilities</del> on 1/15/14. On 4/9/14 FERC accepted the filing but required a compliance filing. On 5/9/14 PJM submitted the compliance filing. Several parties requested rehearing, and on 6/9/14 FERC granted rehearing for further consideration. On 8/20/14 PJM filed a motion to hold docket in abeyance, to allow parties to discuss cost allocation for the contested projects. On 12/16/14 PJM filed a motion to release the stay for this docket but not for the related docket, ER14-1485. <del>During first quarter 2015, 2 more parties intervened out of time, and Linden VFT advised that no settlement discussions are pending with PJM.</del> On 6/18/15 FERC denied the complaint, denied rehearing and accepted ConEd's compliance filing. On 6/25/15 Con Ed filed a petition for review, and on 6/26/15 Linden VTF did likewise. <del>During third quarter 2015, two parties filed requests for rehearing, and ConEd intervened. On 8/10/15 PSEG Companies filed an answer, and on 8/19/15 Linden VFT filed its answer.</del> On 8/19/15 FERC granted rehearing for further consideration of its 6/18/15 order. On 11/25/15 FERC accepted and suspended PJM's proposed tariff revisions and	Q <del>2</del> 2019



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		scheduled a technical conference on 1/12/16. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. <del>During first quarter 2016, parties filed comments and reply comments/answers following 1/12/16 technical conference.</del> On 4/22/16 FERC accepted PJM's cost responsibility assignments for transmission projects in recent RTEP. In a separate order on 4/22/16, FERC denied rehearing requests associated with PJM tariff filings. <del>During second quarter 2016, several parties requested rehearing of 4/22/16 orders, and Linden filed answers to those requests.</del> On 6/17/16 and 6/21/16 FERC granted rehearing for further consideration of the two 4/22/16 orders. <del>Waiting for FERC order. No further activity.</del> [See also ER14-1485, ER15-2562 and ER15-2563.]	
ER14-1461	Duke Energy, DEC, DEP, DEBS	PJM revision of its Reliability Assurance Agreement and OATT Attachment DD to reform the current Reliability Pricing Model market rules that incent sellers in 3-year forward auctions to submit speculative offers that can undermine long-term reliability, filed 3/10/14 and amended 3/14/14. Duke Energy intervened <del>on behalf of DEBS, DEC, DEP and other utilities</del> on 3/28/14. On 5/9/14 FERC rejected tariff changes and instituted a Section 206 proceeding (EL14-48); a technical conference will be held. <del>On 6/9/14 PJM requested rehearing, as did PJM Utilities Coalition.</del> On 7/8/14 FERC granted rehearing for further consideration. On 8/18/14 PJM requested deferral until FERC has acted on PJM's capacity performance filing, which will be made in December 2014. On 9/10/14 AEP and Dayton P&L responded to PJM's request to defer action. On 10/29/15 PJM filed a report and requested continued deferral of action in this docket; PJM committed to file a further report by 11/23/16. On 11/23/16 PJM filed a further report and request for continued deferral	Q <del>2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		of action. On 12/30/16 PJM IMM filed a motion to lodge a report on the issues raised and a motion to commence the compliance process set forth in 5/9/14 order. On 1/17/17 PJM Load Group filed answer to IMM motions. On 2/9/17 PJM filed answer. On 1/23/18 PJM IMM filed motion to lodge an updated report on issues under investigation. On 3/9/18 PJM filed incremental auction reforms for approval and requested that FERC continue to defer action in the Replacement Capacity proceeding while it considers PJM's proposed tariff revisions. <u>Waiting for FERC order. No further activity.</u>	
ER14-1485	Duke Energy, DEC, DEP, DEBS	<del>PJM revision of Schedule 12 Appendix A to incorporate changes to the RTEP, filed 3/13/14. Duke Energy intervened on behalf of DEBS, DEC, DEP and other utilities on 4/16/14. On 6/6/14 FERC issued deficiency letter. On 7/7/14 PJM submitted a filing in response to deficiency letter, and several parties protested. On 8/21/14 PJM filed a motion to hold the docket in abeyance, to allow parties to discuss cost allocation for the contested projects, and on 9/26/14 PJM filed errata to its motion, to correct effective date entered in eTariff metadata. On 1/26/15 Linden VFT advised that no settlement discussions are pending with PJM. On 3/31/15 Con Edison filed a motion to lodge information related to the PSE&amp;G Sewaren Project. On 4/15/15 PSEG opposed ConEd's 3/31/15 motion to lodge, and on 4/23/15 ConEd filed its answer. On 4/9/15 NYPA intervened out of time; on 4/24/15 PJM Transmission Owners filed its opposition; and on 5/11/15 NYPA filed its answer. On 7/15/15 PJM requested FERC release the stay and resume the procedural schedule. On 9/11/15 FERC accepted PJM's proposed cost responsibility assignments for 19 baseline upgrades in the RTEP, effective 6/11/14. On 10/13/15 ConEd and Linden VFT filed requests for rehearing of 9/11/15 order.</del>	Q1 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<del>In fourth quarter 2015, PJM TOs and PSE&amp;G opposed ConEd and Linden requests for rehearing, and ConEd and Linden filed further answers. On 11/9/15 FERC granted rehearing for further consideration. On 11/25/15 FERC accepted and suspended the proposed tariff revisions and on 12/4/15 scheduled a technical conference to be held 1/12/16. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. During first quarter 2016, parties filed comments and reply comments/answers following 1/12/16 technical conference. On 3/22/16 ConEd filed motion to lodge FERC's 2/12/16 order holding that reliability projects driven by Form 715 criteria lack regional benefits; to reopen the record and vacate the cost allocation for the Sewaren Project. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. During first quarter 2016, parties filed comments and reply comments/answers following 1/12/16 technical conference. On 4/22/16 FERC accepted PJM's cost responsibility assignments for transmission projects in recent RTEP. In a separate order on 4/22/16, FERC denied rehearing requests associated with PJM tariff filings. During second quarter 2016, several parties protested or requested rehearing of 4/22/16 orders. On 6/17/16 and 6/21/16 FERC granted rehearing for further consideration of the two 4/22/16 orders. On 12/9/16 FERC denied rehearing, denied clarification and rejected PJM compliance filing that reassigned cost responsibility to PSEG; the latter had the incorrect effective date. [See also ER14-972, ER15-2562, and ER15-2563.] (Closed 3/31/19)</del>	
ER15-2562	DEC, DEP, DEBS	PJM revisions to OATT and Operating Agreement to incorporate cost responsibility assignments for 19 of 31 baseline upgrades included in recent RTEP update approved by PJM Board, filed 8/28/15. On	<del>Q2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<p>10/12/15 DEBS intervened on behalf of several affiliates. On 11/25/15 FERC issued order accepting and suspending tariff revisions and scheduled a technical conference on 1/12/16, to discuss reasonableness of DFAX cost allocation method. <del>On 12/23/15 Linden VFT requested clarification or rehearing of 11/25/15 order.</del></p> <p>On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. <del>During first quarter 2016, parties filed comments and reply comments/answers following 1/12/16 technical conference.</del> On 4/22/16 FERC accepted PJM's cost responsibility assignments for transmission projects in recent RTEP. <del>During second quarter 2016, several parties protested or requested rehearing of 4/22/16 order.</del> On 6/21/16 FERC granted rehearing for further consideration of the 4/22/16 order. On 5/16/17 ConEd filed a motion to reopen the record and lodge the 4/6/17 letter from PJM CEO which addresses questions FERC posed in the technical conference. On 5/31/17 PSEG responded in opposition. On 9/11/17 ConEd filed a motion to reopen the record and to lodge a PJM report. During third quarter 2017, PJM and other responded to ConEd's motion. <del>During fourth quarter 2017, FERC responded to governors who filed comments.</del> On 3/20/18 ConEd filed motion to reopen record and accept PJM's 2/27/17 answer in EL18-54 which is relevant to this case. Settlement judge procedures continued. [See also EL15-67, ER14-972, ER14-1485, and ER15-2563.]</p>	
ER15-2563	DEC, DEP, DEBS	<p>PJM revisions to OATT and Operating Agreement to incorporate cost responsibility assignments for 12 of 31 baseline upgrades included in recent RTEP update approved by PJM Board, filed 8/28/15. On 10/12/15 DEBS intervened on behalf of several affiliates. On 11/25/15 FERC issued order accepting and suspending tariff revisions</p>	<del>Q2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		and scheduled a technical conference on 1/12/16, to discuss reasonableness of DFAX cost allocation method. <del>On 12/23/15 Linden VFT requested clarification or rehearing of 11/22/15 order.</del> On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. <del>During first quarter 2016, parties filed comments and reply comments/answers following 1/12/16 technical conference.</del> On 4/22/16 FERC denied complaint and accepted PJM's cost responsibility assignments for transmission projects. <del>During second quarter 2016 several parties requested rehearing.</del> On 6/21/16 FERC granted rehearing for further consideration. On 4/12/17 PJM filed an informational filing on status of the Artificial Island: PJM board approved lifting the suspension. On 4/27/17 ODEC filed comments on the 4/12/17 PJM filing. On 9/6/17 complainants jointly moved to reopen the record and to lodge a PJM report, which led to answers or comments of several parties during third quarter 2017. During fourth quarter 2017, FERC responded to governors who filed comments. <del>Waiting for FERC order. No further activity.</del> [See also EL15-95, ER14-972, ER14-1485, and ER15-2562.]	
ER16-372	DEC, DEP, DEBS	PJM revision of its OATT and Operating Agreement to allow Market Sellers to submit day-ahead offers that vary by hour and to allow Market Sellers to update offers in real time on an hourly basis under certain circumstances, filed 11/20/15. On 12/10/15 DEBS intervened on behalf of several affiliates. <del>During fourth quarter 2015, several other parties intervened or filed comments. On 1/8/16 PJM filed its answer to comments. On 2/3/16 FERC advised that 11/20/15 filing was deficient and requested information in 30 days. On 3/4/16 PJM responded to FERC data request. During first quarter 2016, more parties intervened or filed comments on PJM's 3/4/16 response.</del> On	Q <del>2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<p>6/17/16 FERC rejected PJM's 11/20/15 filing and directed PJM to submit another compliance filing within 30 days. <del>On 6/24/16 PJM requested an extension of time, which FERC granted on 6/30/16, making the compliance filing due 8/16/16.</del> On 8/16/16 PJM submitted its compliance filing. <del>During third and fourth quarters 2016, several parties intervened and filed comments, protests or responses to the filings of others.</del> On 2/3/17 FERC accepted the compliance filing and required a further compliance filing in 30 days. <del>On 3/6/17 PJM requested clarification and also filed its compliance filing. On 3/10/17 the PJM IMM filed an answer to PJM's request for clarification, and on 3/27/17 the PJM IMM filed comments on the PJM compliance filing. On 3/31/17 the Joint State Commissions (PA, NJ and DE) filed a reply to the 3/10/17 IMM filing. On 4/11/17 and 4/13/17 PJM filed its answer to PJM IMM's 3/28/17 comments. During second quarter 2017, PJM IMM, filed comments on PJM's answer, as did several other parties.</del> On 7/31/17 PJM amended its 3/6/17 filing to better describe how PJM will implement hourly offers and calculate penalties. <del>During third quarter 2017, several parties filed comments.</del> On 9/29/17 PJM informed FERC of readiness to implement intraday offers by 11/1/17. On 6/19/18 PJM filed notice of appellate precedent on Market Monitor standing, and on 6/26/18 the PJM IMM responded. <del>No further activity.</del> <u>On 4/29/19 FERC conditionally accepted compliance filings and denied motions for clarification. On 6/24/19 FERC granted rehearing for further consideration. Waiting for FERC order.</u></p>	
ER17-905	Duke Energy, DEC, DEP	NYISO proposed revisions to Joint Operating Agreement (JOA) with PJM, to address interchange scheduling and the implementation of Market-to-Market (M2M) coordination at the ABC Interface and JK	Q <del>2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		Interface on the border of southeastern NY and northern NJ, filed 1/31/17. On 3/31/17 FERC accepted the filing. During second quarter 2017, parties intervened out-of-time, including Duke Energy on 4/13/17, and several requested rehearing. On 5/26/17 FERC granted rehearing for further consideration. On 10/6/17 FERC accepted PJM filing and denied NYISO request for rehearing. <del>During fourth quarter 2017, several parties requested rehearing. On 12/1/17 NYISO and PJM filed answers.</del> On 12/4/17 FERC granted rehearing for further consideration. <u>Waiting for FERC order. No further activity.</u>	
ER17-950	Duke Energy, DEC, DEP	PJM proposed revisions to its OATT Schedule 12 appendices to revise cost responsibility assignments for Regional Facilities and Necessary Lower Voltage Facility and Lower Voltage Facilities included in the PJM Regional Transmission Expansion Plan (RTEP) due to termination of long-term firm point-to-point transmission service agreements entered between PJM and ConEd, filed 2/8/17 and amended 2/13/17. During second quarter 2017, parties intervened out-of-time, including Duke Energy on 4/6/17, and several parties filed answers. On 4/25/17 FERC accepted PJM's filings and required a compliance filing. On 4/26/17 PJM filed its compliance filing. <del>During second quarter 2017, several parties filed requests for clarification or rehearing.</del> On 6/20/17 FERC accepted PJM's compliance filing, and on 6/23/17 FERC granted rehearing for further consideration. See EL15-67 above. Settlement judge procedures continued.	<del>Q2</del> 2019
ER17-1138	Duke Energy, DEC, DEP	PJM revision of OATT and Reliability Assurance Agreement re enhancements to rules governing resources physically outside the PJM region that serve as capacity for loads in the PJM region, filed 3/9/17. On 3/28/17 Duke Energy intervened. <del>-on behalf of</del>	<del>Q2</del> 2019



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<p><del>subsidiaries. On 5/8/17 FERC issued a deficiency letter. On 6/2/17 FERC granted PJM an extension until 9/17/17 to respond. On 9/18/17 PJM responded to FERC's 5/5/17 deficiency letter. On 11/17/17 FERC accepted PJM's proposal subject to conditions and required a compliance filing within 30 days. On 12/15/17 PJM filed compliance filing. On 12/18/17 3 parties filed requests for clarification or rehearing. On 1/16/18 FERC granted rehearing for further consideration of 11/17/17 order. On 1/18/18 PJM filed answer to request for clarification. On 5/9/18 Southern Power Co. withdrew its request for rehearing, due to FERC order in ER18-1455. <u>Waiting for FERC order.</u>No further activity.</del></p>	
ER17-1357	DEC, DEP	<p>Revision of Joint OATT to add Attachment W memorializing the methodology to calculate real power loss factors and to change the real power loss factor for DEC and DEP to be calculated June 1 annually, filed 3/31/17. <del>On 5/30/17 FERC issued deficiency letter. On 6/27/17 DEP and DEC responded to deficiency letter. On 7/18/17 NCEMPA filed a protest. On 7/31/17 DEP filed a correction to 6/27/17 filing. On 8/2/17 DEP/DEC filed answer to protest. On 8/25/17 NCEMPA, NCEMC and Fayetteville PWC responded jointly. On 9/27/17 FERC accepted and suspended rates, subject to refund, and established settlement judge procedures. During fourth quarter 2017, FERC designated a settlement judge; scheduled settlement conferences 10/25/17, 12/18/17, and 2/18/18; adopted a protective order at DEC's request; and issued a progress report. During first quarter 2018, FERC issued reports of the settlement judge and scheduled settlement conferences 3/28/18 (cancelled) and 5/14/18. On 5/9/18 Settlement Judge issued report recommending that settlement procedures continue. During third quarter 2018, the FERC settlement</del></p>	Q <del>2</del> 2019



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<del>judge issued reports recommending that settlement procedures continue.</del> On 12/13/18 DEP and DEP filed an offer of settlement and a motion to implement interim settlement rates. On 12/27/18 FERC granted that motion. On 3/7/19 the ALJ certified the uncontested settlement to the Commission. On 3/12/19 settlement judge procedures were terminated. <del>DEC and DEP are awaiting final action from FERC.</del> On 4/18/19 FERC approved settlement agreement. On 5/17/19 DEC and DEP filed a settlement compliance filing. (Closed 6/30/19)	
ER17-1420	Duke Energy, DEC, DEP	PJM proposed revisions to OATT Schedule 12 Appendix A to incorporate cost responsibility assignments for new baseline upgrades included in the recent RTEP approved by the PJM Board of Managers, filed 4/13/17 and amended 4/28/17. During second quarter 2017, parties intervened, including Duke Energy on 5/18/17. On 6/1/17 FERC accepted PJM's filing. <del>On 6/30/17 Dominion filed a request for rehearing.</del> On 10/5/17 FERC issued order that accepted PJM's Tariff revisions, subject to the outcome of pending rehearing requests in EL15-95 and ER15-2563, with new cost assignments for Artificial Island Project to become effective 10/10/17 as requested and the proposed ministerial revisions to become effective 11/30/16. No further activity. [See EL15-95 and ER15-2563.]	Q <del>2</del> 2019
ER17-1553	DEP	Proposed revision to PPA with Fayetteville PWC (FPWC), filed 5/5/17 and supplemented 5/25/17. <del>On 5/9/17 FPWC intervened.</del> On 7/20/17 FERC accepted filing. On 10/18/17 FPWC challenged DEP's 2015 true-up. <del>On 11/17/17 DEP responded. On 12/4/17 FPWC filed its answer, and on 12/19/17 DEP responded.</del> On 4/23/18 Order granted formal challenge. On 5/23/18 DEP requested rehearing. <del>On 6/7/18 FPWC filed answer to request for rehearing.</del> On 6/20/18 FERC	Q <del>2</del> 2019

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		granted rehearing for further consideration. On 8/31/18 DEP filed a refund report, per the 4/23/18 order. On 3/19/19 FERC granted DEP's motion to hold request for rehearing in abeyance and to shorten answer period for responding to that motion. <u>No further activity.</u>	
ER18-136; ER18-137	Duke Energy, DEC, DEP	MISO and PJM amendment to Joint Operating Agreement with PJM to provide for a phased resolution of issues involving overlapping congestion charges affecting pseudo-tied generation in both RTOs, filed 10/23/17. <del>On 12/18/17 FERC issued a deficiency letter, and on 1/29/18 MISO filed its response.</del> On 5/31/18 MISO revised the effective date for the Phase 1 solution. On 6/12/18 Duke Energy intervened out-of-time. On 7/31/18 FERC accepted the tariff revisions and required informational filings monthly detailing progress towards filing and implementing a solution to the remainder of the overlapping congestion charges. On 8/30/18 MISO submitted the required filing, and American Municipal Power requested rehearing of 7/31/18 order. On 10/1/18 FERC granted rehearing for further consideration. <u>Waiting for FERC order.</u> <del>No further activity.</del>	Q <del>2</del> 2019
ER18-459; ER18-460	Duke Energy, DEC, DEP	PJM and Ohio Valley Electric Corp. (OVEC) revisions to OATT, Operating Agreement, Resource Adequacy Agreement and Consolidated Transmission Owners Agreement (CTOA) in connection with OVEC's proposed integration into PJM, filed 12/15/17 and with errata filing for CTOA on 12/19/17. On 1/3/18 Duke Energy intervened <del>on behalf of several subsidiaries.</del> <u>During first quarter 2018, several other parties intervened and filed comments.</u> On 2/13/18 FERC accepted the PJM/OVEC filing. On 2/26/18 PJM and OVEC requested that FERC defer the 3/1/18 effective date until 6/1/18. <del>On 2/27/18 FERC issued notice allowing parties until 3/5/18 to file comments.</del> On 7/2/18 FERC granted the motion to delay the	Q <del>2</del> 2019

## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		effective date and the request for limited waiver. On 7/12/18 OVEC submitted its compliance filing. On 8/31/18 FERC accepted the 7/12/18 filing. On 9/27/18 PJM submitted a further compliance filing. On 10/29/18 FERC accepted the filing. On 11/30/18 OVEC filed compliance tariffs. <del>Waiting for FERC order.</del> <u>On 4/4/19 FERC accepted compliance filings. (Closed 6/30/19)</u>	
ER18-462	Duke Energy, DEC, DEP, DEBS	MISO request that FERC reaffirm that its existing Resource Adequacy-related Tariff provisions are just and reasonable, filed 12/15/17. On 12/21/17 Duke Energy intervened. On 2/28/18 FERC accepted MISO's filing. On 3/30/18 several parties requested rehearing of the 2/28/18 order. On 4/27/18 FERC granted rehearing for further consideration. <del>Waiting for FERC order.</del> <u>No further activity.</u>	Q <del>2</del> 2019
ER18-614	Duke Energy, DEC, DEP	PJM revisions to OATT and Operating Agreement to incorporate cost responsibility assignments for 45 new baseline upgrades, including the first interregional transmission project between PJM and MISO, filed 1/5/18 and amended 1/9/18. On 2/1/18 Duke Energy intervened <del>on behalf of several subsidiaries.</del> On 7/2/18 FERC issued order on cost allocation report and tariff revisions and instituted a Section 206 proceeding. On 7/31/18 PJM submitted a compliance filing. <del>During third quarter 2018 several parties requested rehearing or filed protests.</del> On 8/1/18 PJM TOs submitted compliance filing. <del>During fourth quarter 2018, Dominion filed a motion for order on remand, and PJM Transmission Owners filed an answer.</del> On 8/28/18 FERC granted rehearing for further consideration. <del>No further activity.</del> <u>On 6/20/19 FERC denied rehearing and accepted compliance filing. (Closed 6/30/19)</u>	Q <del>2</del> 2019
<del>ER18-870</del>	<del>Duke Energy, DEC, DEP</del>	<del>PJM revisions to OATT and Reliability Assurance Agreement to establish a procedure to facilitate implementation of restrictions that</del>	<del>Q1 2019</del>

## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<del>may be imposed on Energy Efficiency Resources (EERs) by a Relevant Electric Retail Regulatory Authority authorized by FERC to opt out and restrict the sale of EERs into PJM's market, filed 2/16/18. On 3/9/18 Duke Energy intervened on behalf of several subsidiaries. During first quarter 2018, several other parties intervened. On 4/17/18 FERC accepted tariff revisions. (Closed 3/31/19)</del>	
ER18-1314	Duke Energy, DEC, DEP	PJM amendment to Reliability Pricing Model (RPM) rules in its OATT to propose 2 alternatives to address the price suppressing effects of state out-of-market support for certain resources, filed 4/9/18. On 4/30/18 Duke Energy intervened <del>on behalf of subsidiaries</del> . On 6/29/18 FERC rejected the proposed tariff revisions, granted in part and denied in part Calpine's complaint in Docket EL16-49 on PJM's Minimum Offer Price Rule, established a refund effective date, and invited comment in a new Section 206 proceeding, EL18-178. On 7/10/18 Calpine expressed concern about remedy FERC proposed. <del>During third quarter 2018, numerous parties filed requests for rehearing, and On</del> 8/29/18 FERC granted rehearing for further consideration. On 3/11/19 PJM filed its information plan in preparation for 2022-23 Base Residual Auction. [See EL18-1786-49 for update.]	Q <del>2</del> 2019
ER18-1730	Duke Energy, DEC, DEP	PJM amendment to OATT and Operating Agreement (OA) related to pseudo-ties from PJM into MISO, filed 6/1/18. On 6/12/18 Duke Energy intervened <del>on behalf of subsidiaries</del> . On 7/31/18 FERC accepted tariff revisions. <del>On 8/30/18 AMP requested rehearing. On 10/1/18 FERC granted rehearing for further consideration. Waiting for FERC order. No further activity.</del>	Q <del>2</del> 2019
ER18-2068	Duke Energy, DEC, DEP	PJM request for temporary waiver of certain Financial Transmission Rights (FTR) rules in the PJM OATT Attachment K Appendix and identical provisions of Operating Agreement Schedule 1, to ensure an	Q <del>2</del> 2019

## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		orderly and efficient liquidation of the large FTR portfolio of a recently defaulted PJM member, to minimize distortion to the FTR markets, filed 7/26/18 and supplemented 8/24/18. During third quarter 2018, several parties intervened and filed comments, including Duke Energy on 8/16/18. <del>On 8/24/18 PJM filed answer to comments.</del> On 1/30/19 FERC denied request for waiver. On 3/26/19 FERC granted rehearing for further consideration. <u>Settlement judge procedures were initiated.</u>	
ER18-2231	DEC	Revised depreciation accrual rates for use in formula rates for wholesale power sales and transmission service, filed 8/16/18. <del>On 9/6/18 NCEMC intervened.</del> On 10/11/18 FERC accepted the filing. On 11/9/18 DEC filed compliance tariff. On 1/11/19 DEC filed revised tariff. <u>On 4/29/19 FERC accepted DEC filing. (Closed 6/30/19)</u>	Q <del>2</del> 2019
ER18-2362	Duke Energy, DEC, DEP	NTE Ohio, LLC proposed cost-based revenue requirement for the provision of Reactive Supply and Voltage Control from Generation Sources Service under Schedule 2 of the PJM OATT, filed 8/30/18. On 9/11/18 Duke Energy intervened. On 10/29/18 FERC established hearing and settlement judge procedures. Settlement judge procedures continued. <u>On 6/21/19 FERC granted motion for interim implementation of settlement rates.</u>	Q <del>2</del> 2019
ER19-19; ER19-25	Duke Energy, DEC, DEP	PJM revision to its OATT Attachment K and Operating Agreement, filed 10/1/18 in ER19-19. PJM also filed an alternative solution in the event that FERC declined to adopt the FTR proposal, filed 10/1/18 in ER19-25. On 10/22/18 Duke Energy intervened. On 11/29/18 FERC accepted the first proposal subject to conditions and rejected the alternative proposal. On 12/28/18 PJM filed a compliance filing. <u>(Closed 6/30/19)</u>	Q <del>2</del> 2019

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Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
<del>ER19-24</del>	<del>Duke Energy, DEC, DEP</del>	<del>PJM revisions to its OATT Attachment K and Operating Agreement, filed 10/1/18. On 10/22/18 Duke Energy intervened. On 11/30/18 FERC found the PJM filing deficient. On 12/18/18 PJM moved to withdraw its proposal. On 2/6/19 FERC accepted motion to withdraw. (Closed 3/31/19)</del>	<del>Q1 2019</del>
<del>ER19-155; ER19-156</del>	<del>DEC, DEP</del>	<del>As Available Capacity Agreement between DEC and DEP, filed 10/22/18. On 12/7/18 FERC found the filing deficient and requested additional information. During first quarter 2019, DEC and DEP responded to deficiency letter. On 3/6/19 FERC accepted filings. (Closed 3/31/19)</del>	<del>Q1 2019</del>
ER19-210	Duke Energy, DEC, DEP	PJM revision to its OATT and Operating Agreement, filed 10/29/18. On 11/16/18 Duke energy intervened, and on 11/19/18 filed comments. On 2/14/19 PJM filed revised tariff following deficiency letter. <u>On 4/15/19 FERC accepted PJM filing. (Closed 6/30/19)</u>	<del>Q1</del> 2019
ER19-366	Duke Energy	Public Service Co. of Colorado (PSCO) revisions to its Large Generator Interconnection Procedures, filed 11/19/18. On 12/12/18 Duke Energy filed comments. On 1/31/19 FERC rejected filing. On 3/4/19 rehearing was requested. <u>On 5/16/19 FERC denied rehearing and clarification requests. (Closed 6/30/19)</u>	<del>Q1</del> 2019
<del>ER19-382</del>	<del>DEC</del>	<del>Revised NITSA with City of Concord, NC, filed 11/20/18. On 1/8/19 FERC accepted filing. (Closed 3/31/19)</del>	<del>Q1 2019</del>
<del>ER19-463</del>	<del>DEC, DEP</del>	<del>Revised Joint OATT Schedule 10-B formula rates, filed 12/3/18 and amended 12/27/18. On 1/2/18 and 1/9/19 FERC accepted filing. (Closed 3/31/19)</del>	<del>Q1 2019</del>
<del>ER19-518</del>	<del>DEC</del>	<del>Revised NITSA with City of Kings Mountain, NC, filed 12/7/18. On 1/25/19 FERC accepted filing. (Closed 3/31/19)</del>	<del>Q1 2019</del>
<del>ER19-658</del>	<del>DEC</del>	<del>Revised NITSA with City of Greenwood, SC, filed 12/21/18. On 2/14/19 FERC accepted filing. (Closed 3/31/19)</del>	<del>Q1 2019</del>



## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
ER19-663	DEC	Revised NITSA with SEPA, filed 12/21/18. On 2/14/19 FERC accepted filing. (Closed 3/31/19)	Q1 2019
ER19-664	<del>Duke Energy, DEC, DEP</del>	<del>PJM revisions to its OATT Attachment K, Attachment DD, and to the Amended and Restated Operating Agreement Schedule re gas pipeline contingency provisions, filed 12/21/18. On 1/9/19 Duke Energy intervened. On 2/19/19 FERC rejected PJM's filing. (Closed 3/31/19)</del>	Q1 2019
ER19-694	DEP	<del>Revised Power Supply and Coordination Agreement (Rate Schedule 184) between DEP and Fayetteville PWC, filed 12/27/18. On 2/21/19 FERC accepted filing. (Closed 3/31/19)</del>	Q1 2019
ER19-718	DEC	<u>Settlement agreement with Piedmont Municipal Power Agency and NCEMC to resolve complaint in EL17-83, filed 12/28/18. On 2/4/19 FERC accepted filing. On 4/29/19 DEC filed revised tariffs reflecting approval. On 6/7/19 FERC accepted compliance filing. (Closed 6/30/19)</u>	Q2 2019
ER19-761	DEC	<del>Revised NITSA and Meter Agreement with SCPSA, filed 1/3/19. On 2/22/19 FERC accepted filing. (Closed 3/31/19)</del>	Q1 2019
ER19-762	DEC	<del>Revised NITSA and Meter Agreement with Central Electric Power Cooperative, filed 1/3/19. On 1/29/19 FERC accepted filing. (Closed 3/31/19)</del>	Q1 2019
ER19-789	DEC, DEP	Notice of Cancellation of 4 OATT service agreements with Cube Hydro Partners, filed 1/9/19. On 3/6/19 FERC accepted the filing. (Closed 6/30/19)	Q2 2019
ER19-818	DEP	<del>Revised Amended and Restated Full Requirements Power Purchase Agreement with NCEMPA (Rate Schedule No. 200), filed 1/15/19. On 3/4/19 FERC accepted the filing. (Closed 3/31/19)</del>	Q1 2019
ER19-833	DEC	<del>Revised NITSA with North Carolina Municipal Power Agency No. 1, filed 1/18/19. On 3/12/19 FERC accepted filing. (Closed 3/31/19)</del>	Q1 2019

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Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
<del>ER19-835</del>	<del>DEP</del>	<del>Revised Amended and Restated Power Purchase Agreement for Requirements Service with French Broad EMC, filed 1/18/19. On 3/4/19 FERC accepted filing. (Closed 3/31/19)</del>	<del>Q1 2019</del>
<del>ER19-863</del>	<del>DEC</del>	<del>Interconnection Agreement with SCE&amp;G (Rate Schedule No. 294), filed 1/24/19. On 3/28/19 FERC accepted filing. (Closed 3/31/19)</del>	<del>Q1 2019</del>
<del>ER19-865</del>	<del>DEP</del>	<del>Revised Amended and Restated Full Requirements Power Purchase Agreement with the City of Camden, SC, Rate Schedule No. 197, filed 1/24/19. On 3/12/19 FERC accepted filing. (Closed 3/31/19)</del>	<del>Q1 2019</del>
ER19-915	Duke Energy, DEC, DEP	MISO revisions to certain generator outage provisions of its Open Access Transmission, Energy, and Operating Reserve Markets Tariff, filed 1/30/19. On 2/19/19 Duke Energy intervened. <u>Waiting for FERC order.</u>	<del>Q1</del> 2019
<del>ER19-974</del>	<del>DEC</del>	<del>Concurrence in the Amended and Restated Interconnection Agreement with Southern Company Services, Inc. (submitted in Docket No. ER19-884 by Southern Company Services), filed 2/4/19. On 3/28/19 FERC accepted the filing. (Closed 3/31/19)</del>	<del>Q1 2019</del>
ER19-1124; ER19-1125	Duke Energy, DEC, DEP	MISO revision to its Open Access Transmission, Energy and Operating Reserve Markets Tariff and new Attachment FF-7 to expand and clarify identification and cost allocation of transmission facilities providing regional and local economic benefits in the MISO footprint, filed 2/25/19. Duke Energy intervened on 2/25/19 (ER19-1125) and 2/28/19 (ER19-1124). <u>On 6/24/19 FERC rejected tariff revisions.</u>	<del>Q1</del> 2019
ER19-1156	Duke Energy, DEC, DEP	MISO revision to its Open Access Transmission, Energy and Operating Reserve Markets Tariff to cost allocation methodology for MISO's share of the cost of certain Interregional Economic Projects with PJM and Southwest Power Pool, Inc., filed 2/28/19. On 3/25/19 Duke Energy intervened. <u>On 6/24/19 FERC rejected proposed</u>	<del>Q1</del> 2019



## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<u>revisions and compliance filing and required further compliance filing.</u>	
ER19-1160	DEP	Revision to Amended and Restated Power Supply and Coordination Agreement with NCEMC (Rate Schedule No. 182), filed 3/1/19. <u>On 4/19/19 FERC accepted filing. (Closed 6/30/19)</u>	Q <del>2</del> <u>1</u> 2019
ER19-1167	DEC	Notice of Cancellation of 3 OATT service agreements with NRG Power Marketing LLC and Noble Americas Gas & Power Corp., filed 2/28/19. <u>On 4/1/19 FERC accepted filing. (Closed 6/30/19)</u>	Q <del>2</del> <u>1</u> 2019
ER19-1250	DEC	Revised NITSA with Rutherford EMC, filed 3/12/19. <u>On 4/26/19 FERC accepted filing. (Closed 6/30/19)</u>	Q <del>2</del> <u>1</u> 2019
ER19-1312	DEP	Notice of Cancellation of Facilities Upgrade Agreement with Dominion, Rate Schedule No. 203, filed 3/15/19. <u>On 4/23/19 FERC accepted filing. (Closed 6/30/19)</u>	Q <del>2</del> <u>1</u> 2019
ER19-1339	DEP	Revisions to Joint OATT Formula Transmission Rates to allow recovery of DEP 2018 storm costs, filed 3/12/19 <u>and revised 5/3/19. On 5/14/19 FERC accepted filing. (Closed 6/30/19)</u>	Q <del>2</del> <u>1</u> 2019
ER19-1403	DEC	Notice of Cancellation of 3 OATT service agreements with J.P. Morgan Ventures Energy Corp. and Calpine Power Services Corp., filed 3/21/19. <u>On 4/23/19 FERC accepted filing. (Closed 6/30/19)</u>	Q <del>2</del> <u>1</u> 2019
ER19-1476	DEP	Revision to Amended and Restated Power Supply and Coordination Agreement with Fayetteville Public Works Commission (Rate Schedule No. 184), filed 3/29/19. <u>On 5/20/19 FERC accepted filing. (Closed 6/30/19)</u>	Q <del>2</del> <u>1</u> 2019
<u>ER19-1507</u>	<u>DEC, DEP</u>	<u>Amendment to Joint OATT provisions re Large Generator Interconnection Procedures, in compliance with Order Nos. 845 and 845-A, filed 4/2/19, 4/24/19 and 5/20/19. On 6/13/19 FERC found the filings deficient.</u>	<u>Q2 2019</u>

## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
<a href="#"><u>ER19-1526</u></a>	<a href="#"><u>DEC</u></a>	<a href="#"><u>Notice of Cancellation of power purchase agreements with cities of Concord and Kings Mountain, NC and Greenwood, SC, filed 4/5/19. On 5/9/19 FERC accepted filing. (Closed 6/30/19)</u></a>	<a href="#"><u>Q2 2019</u></a>
<a href="#"><u>ER19-1565</u></a>	<a href="#"><u>DEC</u></a>	<a href="#"><u>Notice of cancellation of NITSAs with AEP Service Corp., filed 4/12/19. On 5/21/19 FERC accepted filing. (Closed 6/30/19)</u></a>	<a href="#"><u>Q2 2019</u></a>
<a href="#"><u>ER19-1576</u></a>	<a href="#"><u>DEC, DEP</u></a>	<a href="#"><u>Clean-up revisions to Joint OATT formula transmission rates to delete obsolete provisions, eliminate ambiguity, and correct formatting errors, filed 4/15/19. On 6/11/19 FERC accepted filing. On 6/20/19 DEP filed compliance tariffs.</u></a>	<a href="#"><u>Q2 2019</u></a>
<a href="#"><u>ER19-1651</u></a>	<a href="#"><u>Duke Energy, DEC, DEP</u></a>	<a href="#"><u>PJM Offer of Settlement for 2 complaint dockets on automated frequency regulation (EL17-64 and EL17-65) and request for waiver of regulations necessary to effectuate settlement, filed 4/23/19. On 5/21/19 Duke Energy intervened. Waiting for FERC order.</u></a>	<a href="#"><u>Q2 2019</u></a>
<a href="#"><u>ER19-1692</u></a>	<a href="#"><u>DEP</u></a>	<a href="#"><u>Notice of termination of 5 power supply and coordination agreements with towns of Black Creek, Lucama, Sharpsburg, Stantonburg and Winterville, filed 4/29/19. On 6/7/19 FERC accepted filing. (Closed 6/30/19)</u></a>	<a href="#"><u>Q2 2019</u></a>
<a href="#"><u>ER19-1722</u></a>	<a href="#"><u>DEC</u></a>	<a href="#"><u>Revised power purchase agreements with towns of Prosperity, SC and Due West, SC, filed 4/30/19. On 6/10/19 FERC accepted filing. (Closed 6/30/19)</u></a>	<a href="#"><u>Q2 2019</u></a>
<a href="#"><u>ER19-1724</u></a>	<a href="#"><u>DEC</u></a>	<a href="#"><u>Revised power purchase agreement with Central EPC, filed 4/30/19. On 6/11/19 FERC accepted filing. (Closed 6/30/19)</u></a>	<a href="#"><u>Q2 2019</u></a>
<a href="#"><u>ER19-1786</u></a>	<a href="#"><u>DEP</u></a>	<a href="#"><u>Revised Partial Requirements Services Agreement with Haywood EMC, filed 5/6/19. On 6/18/19 FERC accepted filing. (Closed 6/30/19)</u></a>	<a href="#"><u>Q2 2019</u></a>
<a href="#"><u>ER19-1788</u></a>	<a href="#"><u>DEP</u></a>	<a href="#"><u>Revised Partial Requirements Services Agreement with Piedmont EMC, filed 5/6/19. On 6/18/19 FERC accepted filing. (Closed 6/30/19)</u></a>	<a href="#"><u>Q2 2019</u></a>

## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
<a href="#"><u>ER19-1789</u></a>	<a href="#"><u>DEC</u></a>	<a href="#"><u>Amended power purchase agreements with Blue Ridge EMC, Piedmont EMC, Rutherford EMC and Haywood EMC, filed 5/6/19. On 6/3/19 FERC accepted filing. (Closed 6/30/19)</u></a>	<a href="#"><u>Q2 2019</u></a>
<a href="#"><u>ER19-1876</u></a>	<a href="#"><u>DEC, DEP</u></a>	<a href="#"><u>Petition for waiver of provisions of transmission formula rates to address discrete effects of the Tax Cuts &amp; Jobs Act in 2019 annual updates, filed 5/15/19. Waiting for FERC order.</u></a>	<a href="#"><u>Q2 2019</u></a>
<a href="#"><u>ER19-1932</u></a>	<a href="#"><u>DEP</u></a>	<a href="#"><u>Certificate of Concurrence to Amended Joint Operating Agreement with PJM Interconnection, filed 5/22/19. Waiting for FERC order.</u></a>	<a href="#"><u>Q2 2019</u></a>
<a href="#"><u>ER19-2068;</u></a> <a href="#"><u>ER19-2170</u></a>	<a href="#"><u>DEC, DEP</u></a>	<a href="#"><u>Dynamic Transfer Agreements with Towns of Black Creek, Lucama, Sharpsburg, Stantonsburg and Winterville, filed by DEC 6/7/19 and Certificate of Concurrence filed by DEP 6/17/19. Waiting for FERC order.</u></a>	<a href="#"><u>Q2 2019</u></a>
<a href="#"><u>ER19-2105</u></a>	<a href="#"><u>DEC, DEP</u></a>	<a href="#"><u>PJM Transmission Owners' revisions to PJM OATT Schedule 7 and 8 to update the PJM Border rate, filed 6/11/19. Duke Energy intervened on 6/28/19.</u></a>	<a href="#"><u>Q2 2019</u></a>
<a href="#"><u>ER19-2106</u></a>	<a href="#"><u>DEP</u></a>	<a href="#"><u>Petition for waiver of provisions of formula rate power sales agreements with wholesale customers to address discrete effects of the Tax Cuts &amp; Jobs Act in annual process to true-up 2018 rates, filed 6/11/19. Waiting for FERC order.</u></a>	<a href="#"><u>Q2 2019</u></a>
<a href="#"><u>ER19-2258</u></a>	<a href="#"><u>DEC</u></a>	<a href="#"><u>Revised NITSA with NCEMC, filed 6/26/19. Waiting for FERC order.</u></a>	<a href="#"><u>Q2 2019</u></a>
PL18-1	Duke Energy, DEC, DEP	Notice of Inquiry re certification of new interstate natural gas facilities in light of changes in the natural gas industry and increased stakeholder interest in how FERC reviews natural gas pipeline proposals, issued 4/19/18. <del>During second and third quarters, thousands of parties filed comments.</del> On 8/16/18 Duke Energy filed comments on behalf of its subsidiaries. <del>During fourth quarter 2018</del>	<del>Q2</del> 2019

## Attachment A

Open FERC Dockets – ~~Second~~**First** Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<del>additional comments were filed.</del> Comment period continued. <u>No further activity</u>	
<u>PL19-3</u>	<u>Duke Energy, DEC, DEP</u>	<u>Notice of Inquiry re FERC's electric transmission incentives policy, issued 3/21/19. On 6/26/19 Duke Energy filed comments. Comment period continued.</u>	<u>Q2 2019</u>
P-432	DEP	Walters Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	<del>Q2</del> 2019
P-2206	DEP	Yadkin-Pee Dee Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. <u>On 10/29/18 FERC acknowledged notification of the inoperability of the Blewett Falls Hydro Station due to extreme flooding conditions experienced during Hurricane Florence.</u> License Amendment: On 11/20/18 DEP filed a Non-Capacity License Amendment Application for several changes to the license, one of which is the installation of an inflatable gate on the Blewett Falls Spillway that will replace the existing wooden flash board system. Other changes include minor edits to Exhibit A, a revised Authorized Installed Capacity due to the calculation using 18 CFR 11(i), and revisions to the upstream American eel and downstream American shad passage requirements of the New License. <del>On 10/29/18 FERC acknowledged notification of the</del>	<del>Q2</del> 2019

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Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<del>inoperability of the Blewett Falls Hydro Station due to extreme flooding conditions experienced during Hurricane Florence. On 5/8/19 FERC issued an Order approving the License Amendment, with the exception of the Authorized Installed Capacity. This will be recalculated to include the house unit at the Tillery Development.</del>	
P-2232	DEC	Catawba-Wateree Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. We <del>will</del> filed our compliance report for 2018 operations by 6/30/19.	Q <del>2</del> 2019
P-2331	DEC	Ninety-Nine Islands Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. <del>On 5/25/18 DEC filed a request for approval of non-project use of project lands and waters to allow Thomas Sand Mine, a commercial hydraulic sand mining concern, to operate in the upper reaches of the project reservoir. On 3/18/19 FERC issued an order modifying and approving the non-project use of project lands and waters for the mining operation, contingent on DEC issuing a lake use permit requiring the operator's protection of cultural resources and maintaining compliance with applicable environmental regulations, including employment of best management practices for sediment and suspended solids controls. The FERC order was subject to any party filing a request for</del>	Q <del>2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<del>rehearing within 30 days, or until 4/17/19. Responding to our submittal to the FERC on 12/6/16, on 5/14/19 FERC issued an Order approving our proposed water balance methodology utilizing sequential reservoir storage changes adjusted by measured Project flow releases, to quantify an estimate of 15-minute Project inflows (used in certain continuous minimum flow compliance determinations). In adopting our proposed methodology, FERC amended the Streamflow and Reservoir Gaging Plan to remove a former requirement to install and maintain an additional US Geological Survey gaging station at the Project headwaters.</del>	
P-2332	DEC	Gaston Shoals Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 8/9/18 DEC filed an application with FERC to transfer Project License to Northbrook Carolina Hydro II, LLC consistent with a pending sales agreement that includes the Gaston Shoals Project and other DEC hydro assets. In response, FERC issued a Notice of Application for Transfer of Licensee 10/9/18. <del>No motions to intervene were filed within the allotted 30-day period.</del> FERC issued <u>an</u> Order Approving Transfer of Licenses for Gaston Shoals and the other projects on 12/27/18, contingent on the parties fulfilling the transfer of licensee records and FERC receipt from Northbrook of all instruments of conveyance and signed acceptance sheets. Due to extended timeframe for approval of the sale of assets by the NCUC and the PSCSC, on 1/18/19 DEC and Northbrook requested an extension until 8/26/19 to file documents	Q <del>2</del> 2019

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Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		required by the Order Approving Transfer of License. <u>On 6/6/19 and 6/26/19, the NCUC and the PSCSC, respectively, had issued Orders granting approval of the (collective NC/SC hydro projects) asset sale and transfer.</u> The sale and final transfer of license remained pending, <u>at the end of Q1 2019 with a proposed 8/9/19 closing date.</u>	
P-2380	DEP	Marshall Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	<del>Q2</del> 2019
P-2503	DEC	Keowee-Toxaway Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	<del>Q2</del> 2019
P-2601	DEC	Bryson Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 8/9/18 DEC filed an application with FERC to transfer the Project License to Northbrook Carolina Hydro II, LLC (Northbrook) consistent with a pending sales agreement that includes the Bryson Hydro Project and other DEC hydro assets. In response, FERC issued a Notice of Application for Transfer of Licensee on 10/9/18. <del>No motions to intervene were filed within the</del>	<del>Q2</del> 2019



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Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<del>allotted 30-day period.</del> FERC issued Order Approving Transfer of Licenses for Bryson and the other projects on 12/27/18, contingent on the parties fulfilling the transfer of licensee records and FERC receipt from Northbrook of all instruments of conveyance and signed acceptance sheets. Due to extended timeframe for approval of the sale of assets by the NCUC and the PSCSC, on 1/18/19 DEC and Northbrook requested an extension until 8/26/19 to file documents required by the Order Approving Transfer of License. <u>On 6/6/19 and 6/26/19 the NCUC and the PSCSC, respectively, had issued Orders granting approval of the (collective NC/SC hydro projects) asset sale and transfer.</u> The sale and final transfer of license remained pending, <u>at the end of Q1-2019 with a proposed 8/9/19 closing date.</u>	
P-2603	DEC	Franklin Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 8/9/18 DEC filed an application with FERC to transfer the Project License to Northbrook Carolina Hydro II, LLC (Northbrook) consistent with a pending sales agreement that includes the Franklin Hydro Project and other DEC hydro assets. In response, FERC issued a Notice of Application for Transfer of Licensee 10/9/18. <del>No motions to intervene were filed within the allotted 30-day period.</del> FERC issued Order Approving Transfer of Licenses for Franklin and the other projects 12/27/18, contingent on the parties fulfilling the transfer of licensee records and FERC receipt from Northbrook of all instruments of conveyance and signed acceptance sheets. Due to extended timeframe for approval of the sale	<del>Q2</del> 2019



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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		of assets by the NCUC and the PSCSC, on 1/18/19 DEC and Northbrook requested an extension until 8/26/19 to file documents required by the Order Approving Transfer of License. <u>On 6/6/19 and 6/26/19 the NCUC and the PSCSC, respectively, had issued Orders granting approval of the (collective NC/SC hydro projects) asset sale and transfer.</u> The sale and final transfer of license remained pending, <u>at the end of Q1 2019 with a proposed 8/9/19 closing date.</u>	
P-2619	DEC	Mission Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 8/9/18 DEC filed an application with FERC to transfer the Project License to Northbrook Carolina Hydro II, LLC (Northbrook) consistent with a pending sales agreement that includes the Mission Hydro Project and other DEC hydro assets. In response, FERC issued a Notice of Application for Transfer of Licensee on 10/9/18. <del>No motions to intervene were filed within the allotted 30-day period.</del> FERC issued Order Approving Transfer of Licenses for Mission and the other projects on 12/27/18, contingent on the parties fulfilling the transfer of licensee records and FERC receipt from Northbrook of all instruments of conveyance and signed acceptance sheets. Due to extended timeframe for approval of the sale of assets by the NCUC and the PSCSC, on 1/18/19 DEC and Northbrook requested an extension until 8/26/19 to file documents required by the Order Approving Transfer of License. <u>On 6/6/19 and 6/26/19 the NCUC and the PSCSC, respectively, had issued Orders granting approval of the (collective NC/SC hydro projects) asset sale</u>	Q <del>2</del> 2019

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Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<del>and transfer.</del> The sale and final transfer of license remained pending, <del>at the end of Q1 2019</del> with a proposed closing date of 8/9/19.	
P-2686	DEC	West Fork Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q <del>2</del> 2019
P-2692	DEC	Nantahala Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q <del>2</del> 2019
P-2694	DEC	Queens Creek Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q <del>2</del> 2019
P-2698	DEC	East Fork Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.	Q <del>2</del> 2019
P-2740	DEC	Bad Creek Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan	Q <del>2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 4/23/18 DEC filed a Non-Capacity Amendment Application with the FERC to upgrade all four generating units at the project resulting in an increase of the installed capacity from 1065 MW to 1400 MW. On 8/6/18 FERC issued an Order approving the license amendment request to upgrade the generating units.	
RP13-1359	DEC, DEP	Transco cash-out report for the period 8/1/12 – 7/31/13 and report of cash-out refunds during that period, filed 9/27/13. DEC and DEP intervened on 10/9/13. <del>No further activity.</del> (Closed 6/30/19)	<del>Q2</del> 2019
<del>RP17-587</del>	<del>DEC, DEP</del>	<del>East Tennessee's cashout report for November 2015 through October 2016, filed 3/30/17. During second quarter 2017, several parties intervened, including DEC and DEP on 4/12/17. (Closed 3/31/19)</del>	<del>Q1</del> 2019
<del>RP17-1049</del>	<del>DEC, DEP</del>	<del>Transco cash-out report for the period 8/1/16 through 7/31/17, filed 9/14/17. On 9/26/17 DEC and DEP intervened. During third quarter 2017, several parties intervened. (Closed 3/31/19)</del>	<del>Q1</del> 2019
<del>RP18-314</del>	<del>DEC, DEP</del>	<del>Transco pro forma filing to revise firm transportation no-notice and priority of service provisions in its Gas Tariff, filed 1/2/18. On 1/16/18 DEC and DEP intervened and requested a technical conference to discuss issues raised by Transco's proposal. On 3/1/18 FERC directed Staff to convene a technical conference and file a report within 120 days. On 3/18/18 FERC scheduled a technical conference on 3/28/18. On 9/7/18 FERC issued order following technical conference, finding that Transco's proposed pro forma language is just and requiring Transco to file tariff records. During fourth quarter 2018, a request for rehearing was filed. On 11/8/18</del>	<del>Q1</del> 2019

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Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		<del>FERC granted rehearing for further consideration. On 3/27/19 FERC denied rehearing. (Closed 3/31/19)</del>	
RP18-415	DEC, DEP	Petition by coalition of natural gas industry for FERC to initiate a show cause proceeding to require interstate pipeline and storage companies to demonstrate that rates are just and reasonable following passage of the federal tax reform legislation in December 2017. On 2/13/18 DEC and DEP intervened. On 7/18/18 FERC issued Order No. 849 which adopts procedures and Form 501-G for determining which interstate natural gas pipelines may be collecting unreasonable rates in light of the Tax Cuts and Jobs Act and FERC's revised policy concerning tax allowances to address the double recovery issue. On 8/17/18 National Gas Pipeline of America requested rehearing. On 9/17/18 FERC granted rehearing for further consideration. <del>No further activity.</del> <u>On 4/18/19 FERC issued Final Rule, Order No. 849-A, and denied rehearing. (Closed 6/30/19)</u>	<del>Q2</del> 2019
RP18-1126	DEC, DEP	Transco rate increase application, filed 8/31/18. During third quarter 2018, many parties intervened, filed comments and protests including DEC and DEP on 9/12/18. On 9/28/18 FERC issued an order accepting and suspending the tariff records, subject to refund and establishing hearing and settlement procedures. Settlement conferences were held, and status reports filed. <u>Settlement procedures continued.</u>	<del>Q2</del> 2019
RP18-1221	DEC, DEP	Transco cash-out report for the year ended 7/31/18, filed 9/26/18. On 10/9/18 DEC and DEP intervened. <del>No further activity.</del> <u>(Closed 6/30/19)</u>	<del>Q2</del> 2019
RP19-63	DEP	East Tennessee Natural Gas report on effect of Tax Cuts and Jobs Act, filed 10/11/18. On 10/23/18 DEP intervened. On 11/30/18 FERC accepted the rate reduction and established a hearing schedule to	<del>Q2</del> 2019

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Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
		consider whether a further rate decrease is justified. Procedural schedule was set 1/2/19 (with decision expected by 1/8/20). <u>Proposed settlement was filed 5/23/19. Waiting for FERC order.</u>	
<del>RP19-77</del>	<del>DEC</del>	<del>Trunkline Gas Co. report on effect of Tax Cuts and Jobs Act, filed 10/11/18 and revised 11/5/18. On 10/23/18 DEC intervened. On 3/25/19 FERC found that Trunkline had complied with reporting requirement and terminated proceeding. (Closed 3/31/19)</del>	<del>Q1 2019</del>
RP19-270	DEP	Dominion Energy Carolina Gas Transmission report on effect of Tax Cuts and Jobs Act, filed 11/8/18. On 11/20/18 DEP intervened. <del>No further activity.</del> <u>On 4/4/19 FERC terminated the Form 501-G proceeding. (Closed 6/30/19)</u>	<del>Q2</del> 2019
<del>RP19-544</del>	<del>DEC, DEP</del>	<del>Saltville Gas Storage (SGS) revised reservation charge credit rates for the injection, withdrawal and storage space rates, filed 1/4/19. On 1/16/19 DEC and DEP intervened. On 1/25/19 FERC accepted SGS filing. (Closed 3/31/19)</del>	<del>Q1 2019</del>
<del>RP19-697</del>	<del>DEC, DEP</del>	<del>Midwestern Gas Transmission Co. (MGT) Fuel Retention Percentage Implementation, filed 2/26/19. On 3/11/19 DEC and DEP intervened. On 3/14/19 FERC accepted MGT filing. (Closed 3/31/19)</del>	<del>Q1 2019</del>
<del>RP19-798</del>	<del>DEC, DEP</del>	<del>Transeo Annual Fuel Tracker, filed 3/1/19. On 3/13/19 DEC and DEP intervened. On 3/26/19 FERC accepted Transeo filing. (Closed 3/31/19)</del>	<del>Q1 2019</del>
<del>RP19-800</del>	<del>DEC, DEP</del>	<del>Transeo Annual Electric Power Tracker, filed 3/1/19. On 3/13/19 DEC and DEP intervened. On 3/26/19 FERC accepted Transeo filing. (Closed 3/31/19)</del>	<del>Q1 2019</del>
<u>RP19-987</u>	<u>DEC, DEP</u>	<u>East Tennessee Natural Gas, LLC 2017-2018 Cashout Report, filed 3/28/19. On 4/9/19 DEC and DEP intervened.</u>	<u>Q2 2019</u>

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Open FERC Dockets – ~~Second~~First Quarter 2019

Docket No(s).	Affiliate with Party Status (Duke Energy, DEC, DEBS)	Description of Proceeding	Quarter Reported Pursuant to Reg. Condition 3.10
<u>RP19-1098</u>	<u>DEC</u>	<u>Trunkline Gas Co. revised gas tariff, filed 4/2/19. On 4/15/19 Duke Energy intervened. On 5/24/19 FERC accepted Trunkline filing. (Closed 6/30/19)</u>	<u>Q2 2019</u>
<u>RP19-1225</u>	<u>DEC, DEP</u>	<u>Transco compliance filing per 9/7/18 order in RP18-314, filed 5/16/19. On 5/28/19 DEC and DEP intervened. On 6/18/19 FERC accepted Transco filing. (Closed 6/30/19)</u>	<u>Q2 2019</u>
<u>RP19-1308</u>	<u>DEC, DEP</u>	<u>Transco tariff to track rate changes attributable to storage service purchased from Texas Eastern, filed 6/5/19. On 6/17/19 DEC and DEP intervened. On 6/26/19 FERC accepted Transco filing. (Closed 6/30/19)</u>	<u>Q2 2019</u>
TS19-1	Duke Energy, DEC, DEP	AMP Transmission, LLC application for waiver of standards of conduct requirements, filed 10/12/18. On 11/1/18 Duke Energy intervened on behalf of utilities. No further activity.	<del>Q2</del> 2019

## Attachment B

Periodic Reports Filed with FERC – ~~Second~~**First** Quarter 2019

Docket No(s).	Filing Entity (Duke Energy, DEC, DEBS)	Description of Report
<u>N/A</u>	<u>DEC, DEP</u>	<u>Form 1 – Annual Report for Major Electric Utilities, Licensees and Others</u>
<u>N/A</u>	<u>DEC, DEP</u>	<u>Form 3Q – Quarterly Financial Report</u>
<u>N/A</u>	<u>DEBS</u>	<u>Form 60 – Annual Report by Centralized Service Company</u>
<u>N/A</u>	<u>Duke Energy</u>	<u>FERC-61 – Narrative Description of Service Company Functions</u>
<u>ID-3916, et al</u>	<u>Duke Energy</u>	<u>Form 561 – Annual Report of Interlocking Positions</u>
<u>N/A</u>	<u>DEC, DEP</u>	<u>FERC No. 582 – Annual Charges Report</u>
<u>N/A</u>	<u>DEC, DEP</u>	<u>Form 566 – Report of Utility's 20 Largest Purchasers</u>
<u>N/A</u>	<u>DEC, DEP</u>	<u>Form 714 – Annual Electric Balancing Authority Area and Planning Report</u>
<u>N/A</u>	<u>DEC, DEP</u>	<u>Form 715 – Annual Transmission Planning and Evaluation Report</u>
<u>RM94-14</u>	<u>DEC</u>	<u>Nuclear Plant Decommissioning Trust Fund Guidelines Financing Report</u>
<u>ER09-1165;</u> <u>ER11-3585</u>	<u>DEC, DEP</u>	<u>Annual Update for OATT Formula Transmission Rates</u>
<u>OA07-53;</u> <u>OA08-100</u>	<u>DEC, DEP</u>	<u>Annual Operational Penalty Assessments and Distributions</u>
<u>ZZ19-1</u>	<u>DEC, DEP</u>	<u>Independent Auditor's Report</u>